

**EFFECTIVENESS OF SANITATION AND HYGIENE
INTERVENTIONS IN CHANGING MOTHERS'
BEHAVIOUR AND IMPROVING CHILD HEALTH IN
TURKANA DISTRICT KENYA**

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**Effectiveness of sanitation and hygiene interventions in
changing mothers' behaviour and improving child health in
Turkana District, Kenya.**

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**A thesis submitted in partial fulfilment for the degree of Doctor of
Philosophy in Public Health in the Jomo Kenyatta
University of Agriculture and Technology**

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DECLARATION

This thesis is my original work and has not been presented for a degree in any other university or institution.

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DEDICATION

The work is dedicated to my wife Margaret, children (Kenneth, Lysbeth, Ann and Peter) and to all Public Health Practitioners worldwide.

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LIST OF ABBREVIATIONS AND ACRONYMS

ADB	African Development Bank
APD	Administration, Planning and development
ASAL	Arid and Semi-Arid Lands
BC	Before Christ
CBOs	Community Based Organisations
CBS	Central Bureau of Statistics
Cspro	Census for population processing
DALYS	Disability Adjusted Life Years
DFID	Department For International Development
DHS	Demographic and Health Survey
DPD	Diethyl-p-phenylenediamine
EA	Enumeration Area
GOK	Government of Kenya
IRC	International water and Sanitation research Centre
JKUAT	Jomo Kenyatta University of Agriculture and Technology
JMP	Joint Monitoring Programme
KEMRI	Kenya Medical Research Institute.
KNBS	Kenya National Bureau of Statistics
MDG	Millennium Development Goals
NGOs	None Governmental Organisations

OECD	Organization for Economic Co-operation and Development
PHAST	Participatory Hygiene and Sanitation Transformation
PPS	Probability Proportion to Size
PSU	Primary Sampling Unit
SPSS	Statistical Package for
SS	Social Scientist
TNTC	Too numerous to count
TOT	Training of trainers
UK	United Kingdom
UNESCO	United Nations Educational, Scientific and Cultural Organisation
UN-HABITAT	United Nations Human Settlement programme
UNICEF	United Nation Children Fund
WASH	Water Sanitation and Hygiene
WEDC	Water Engineering Development Centre
WHO	World Health Organisation
WSP	Water and sanitation Program
WSSC	Water Supply and Sanitation Collaborative centre
XLD	Xylose Lysine Dextrose

OPERATIONAL DEFINITIONS

Basic Sanitation - Refers to the management of human faeces at the household level. This terminology is used as an indicator for measuring targets of the Millennium Development Goal on sanitation.

Household - The basic residential unit in which economic production, consumption, inheritance, child rearing, and shelter are organized and carried out. This may be synonymous with family.

Hygiene -The practice of keeping oneself and surrounding environment clean.

Open defecation –Indiscriminate disposal of human waste that allows access by flies and provides conducive environment for transmission of pathogens to human.

Sanitation -Generally refers to the provision of facilities and services for the safe disposal of human urine and faeces.

Shared facility –Any hygiene and/or sanitation utility used by more than one household

ABSTRACT

It has been established that lack of access to safe drinking water together with inadequate sanitation and hygiene is globally an overwhelming contributor to approximately 4 billion cases of illness annually. In developing countries, diarrhoea accounts for nearly 1.6 million deaths of children aged less than five years, which is approximately 15% in all deaths for this population age group. Poor sanitation and hygiene are among the main factors associated with diarrhoea, worm infestation, eye and skin infections.

This study was set out to test the extent to which sanitation and hygiene promotion influenced mothers and children's health in Turkana District of Kenya. A longitudinal/cohort design incorporating clustered, stratified and random sampling methods was employed to select a sample of 300 mothers and their children aged less than five years at baseline (2007). Interventions undertaken included capacity building and empowerment approach to trigger communities to demand hygiene and sanitation facilities. A post-intervention survey with the same baseline participants was carried out in 2008.

Interviews, laboratory analysis and spot observations/inspection were used to collect study data on demographics, socio-economic status, waste disposal parameters and testing faecal coliform count in drinking water as well as diarrhoea related microbes in children stool. Data were entered into the computer and analyzed using SPSS for frequencies, descriptive and multivariate analysis.

After intervention, the results showed there was significant change in sanitation and hygiene parameters. Household ownership of traditional pit latrine increased from 45.5% in 2007 to 63.6% in 2008 ($\chi^2=4.43$, $P=0.035$). For hand washing practice, those who washed hands regularly in Turkana District increased from 73.5% to 91.3% ($\chi^2=9.34$, $P=0.053$).

Similarly, improvements in hand washing practice were observed to increase by age group with those aged 36 and 45 years increasing significantly from 66.7% in 2007 to 88.9% in 2008 ($\chi^2=10.01$, $P=0.04$). In addition, presence of soap in households increased significantly from 65.4% to 77.9% ($\chi^2=3.87$, $P=0.049$) within the population with no formal education. The mean faecal coliforms in drinking water reduced from 88 faecal coliform units in 2007 to 30.2 faecal coliform units in 2008 ($P=0.005$) in Kakuma Division, 91 to 17.3 faecal coliforms units ($P=0.003$) in Lodwar Central, and from 63.8 to 23.6 units ($P=0.006$) in Lokichogio Division.

Overall, community health outcomes before and after the intervention were significantly improved, with comparative reduction in faecal coliform count in drinking water ranging from 40.2% to 73.7% ($P=0.003$ to $P=0.006$) across the three Divisions within Turkana District. Similarly, diarrhoea related microbes in children's stool reduced by 13% ($P=0.003$) while diarrhoea prevalence in children aged less than five years reduced from 43.7% in 2007 to 30.7% in 2008 ($P=0.001$).

Promotion of hygiene and good sanitation practices in the study area improved mother's hygiene behaviour and child's health with an associative strength of about 40% (R-square of 39.6%, $P=0.048$). These associated gains were strongly related to age of the

mother ($P=0.015$), presence of latrine ($P=0.038$), and reasons given at baseline for not having latrine ($P=0.005$). On the other hand, multivariate analysis showed that diarrhoea related microbes presence or absence could be predicted with an overall precision of 92.7% with core determinants/predictors being mothers education level ($P=0.033$), toilet presence ($P=0.022$), distance to latrine ($P=0.004$), source of drinking water ($P=0.019$), treatment of drinking water at point of use ($P=0.013$), and storage methods of drinking water ($P=0.067$).

In addition, the main risk factors associated with diarrhea in children aged less than five years after intervention (2008) were strongly linked to behavioural characteristics; namely if the child had diarrhoea at baseline ($P=0.029$), mother's education ($P=0.011$), latrine availability ($P=0.029$), latrine structure ($P=0.002$) and chlorine level in the drinking water after the intervention ($P=0.054$).

In conclusion therefore, it is evident that promotion of improved sanitation and hygiene using community participatory approaches such as Participatory Hygiene and Sanitation Transformation (PHAST) in the context of community led total sanitation (CLTS) led to significant reduction of diarrhoea prevalence in children aged less than five years and its application should therefore be up-scaled in disadvantaged communities.

CHAPTER ONE

1.0 INTRODUCTION

1.1 Background

Turkana District has three international borders; Ethiopia to the East, South Sudan to the North, and Uganda to the West. Nationally, it borders Samburu, Baringo and West Pokot Districts. It is the largest District in Kenya, covering an area of 77,000 square kilometres; and constitutes about 42% of the Rift Valley Province. It is dry and semi arid, with less than one-third of the land mass being arable. Its population is about 860,000 people (KNBS, 2009). The topographical features include mountain ranges to the west, open plains in the middle, and several seasonal rivers and Lake Turkana to the east. Lake Turkana is the largest and most saline of the Rift Valley lakes.

Turkana District is classified as arid and semi-land (ASAL) and experiences prolonged spells of inadequate water supply and limited access. As result, people walk long distances to collect water from rivers and streams. In areas where water exists, it is likely to be contaminated due to common use by both humans and animals. The scarcity and low quality of water influences the level of health status in many households owing to poor sanitation and hygiene practices, especially those related to excreta disposal and hand washing.

Children especially under the age of 5 years mostly in developing countries suffer mostly from diarrhoea, where every episode reduces calorie and nutrient uptake, reducing growth and development for those affected. Lack of access to safe drinking water and inadequate sanitation and hygiene practices are overwhelmingly associated with 1.8 million deaths globally and cause approximately 4 billion cases of illness annually (World Health Organization, 2007).

1.2 Problem Statement

Diarrhoeal diseases continue to be responsible for childhood mortality and morbidity, primarily in developing Countries, although in the last several decades a significant reduction on deaths from diarrhoeal diseases has been observed (Ahmed *et al.*, 1994). Despite advances in case management of diarrhoeal diseases, the diseases are a major cause of morbidity and mortality among young children in developing countries (Bern *et al.*, 1992., Murray *et al.*, 1994).

In developing countries, diarrhoea accounts for the deaths of nearly 1.6 million children aged less than five years annually or almost 15% of all deaths in this segment of the population (World Health Organization, 2003). Sanitation and human health are closely connected as lack of appropriate hygiene policies and disposal of human excreta can lead to transmission and spread of diseases that cause diarrhoea. Contaminated water and indiscriminate disposal of faecal matter account for 5.7% of diarrhoea amongst children. Poor sanitation and hygiene has been associated with diarrhoea, worm infestation, and eye and skin infections. Out of all the sanitation and hygiene related

diseases, diarrhoea disease is the most deadly especially for children (Pruss, *et al.*, 2008).

The burden of illness for children under five years of age that arises from diarrhoeal diseases linked to inadequate water, sanitation and hygiene is up to 240 times higher in Africa than in high income Nations (Pruss *et al.*, 2002). It is estimated that 94% of these diarrhoeal cases are preventable through modification of the environment, including interventions to increase the availability of clean water and improvement in sanitation and hygiene (Ustun and Corvalan, 2006).

Improvement in environmental sanitation has significant positive impact on environmentally related diseases such as malaria, diarrhoea, skin and eye infections and the overall dignity and well-being of the populations (Harvey and Reed, 2004). Improved access to safe water supply is attributable to reduction of diarrhoea incidences by about one fifth and the number of deaths due to diarrhoea by more than half (Black and Fawcett, 2008a).

In many developing countries, inadequate sanitation is associated with several public health problems and several infectious, faecal-oral -related diseases such as cholera and diarrhoea. Over 2.2 million people die each year with more than 70% being children under five years of age from diarrhoea related diseases (Black and Fawcett, 2008b). In Turkana District, several outbreaks of cholera and diarrhoea diseases have previously been reported according to Ministry of Health weekly disease outbreak reports, in May

2006 there was cholera outbreak in Kakuma Division of Turkana District, with 53 cases and 2 deaths (GOK, 2006). According to (GOK, 2005) there was cholera outbreak in Turkana District with a total of 370 cases and 7 deaths. During this period, infant mortality rate in Turkana District was 170 per 1000 live births and child mortality rate was 220 per 1000 live births. These rates are twice higher than the national average 78/1000 and 114/1000 respectively (KDHS, 2001). The purpose of this study therefore is to evaluate hygiene and sanitation interventions in Turkana in reference to their impacts on mother's behaviour and children health.

1.3 Study Justification

Access to safe water and sanitation stimulates changes in hygiene behaviour, hence a key reason for investing in hygiene and sanitation services. Starting at household level, people are most likely at risk of contamination especially where they spend most of their time. Health benefits are accrued to families who have latrines even where neighbours do not; additional benefits then accrue as coverage extends to the whole neighbourhood (IRC and WEDC, 2002).

Improved sanitation and hygiene are critical for improvement of child health through reduction of diarrhoea, worm infestation, eye and skin infections. According to WHO reports, more than three million children die from diarrhoea each year, and over 500 million children are infected with common worms, approximately six million people become blind due to trachoma as a result of poor access to safe water, sanitation and hygiene (Shordt and Caincross, 2004). Several studies have shown that improved sanitation and hygiene promotion significantly reduce sanitation and hygiene related diseases. Unfortunately, very few studies have been conducted to assess the effectiveness of the sanitation and hygiene interventions in reduction of these diseases among under privileged communities in Kenya such as those of Turkana District.

In recent past, several projects on improvement of hygiene and sanitation have been initiated in Turkana District by the Government, NGOs, CBOs and other organizations. However, it has been difficult to evaluate and demonstrate the effectiveness of these

interventions because of lack of baseline information. Majority of these projects in Turkana District do not target sanitation and hygiene practices and the prevalence of related diseases among children aged under five years but largely focus on the processes and the direct outputs of the projects with little regard to actual impact on the burden of the diarrhoea diseases. As a result, this has contributed greatly to the poor health indicators in the District and especially among the under fives hence the need for this study which covers both baseline and end-line data.

The results of this study will be useful to the District health managers and other public health practitioners in the area of sanitation and hygiene, particularly in formulating appropriate policies and strategies to address the problem of poor sanitation and hygiene.

1.4 Study Questions

- 1.4.1 Was there any change in knowledge on sanitation and hygiene among mothers after the interventions?
- 1.4.2 Did the interventions have any effect on mothers sanitation and hygiene behaviour and practices?
- 1.4.3 What was the effect of the interventions on the prevalence of microbials in drinking water at the point of use after?
- 1.4.4 What was the effect of the interventions on the diarrhoea prevalence among children aged less than five years?
- 1.4.5 What was the effectiveness of hygiene and sanitation interventions in changing mother's hygiene behaviour and improving child health in Turkana District?

1.5 Objectives

1.5.1 Broad Objective

The aim of the study was to determine the effectiveness of sanitation and hygiene interventions in changing mothers' behaviour and practices and improving child health in Turkana District.

1.5.2 Specific objectives

1.5.2.1 To assess change in sanitation and hygiene knowledge of mothers after the interventions.

1.5.2.2 To determine the changes in sanitation and hygiene behaviour and practices of mothers after the hygiene and sanitation interventions.

1.5.2.3 To determine the change in prevalence of microorganisms in drinking water at the point of use after hygiene and sanitation interventions.

1.5.2.4 To determine the change in diarrhoea prevalence among children aged less than five years after hygiene and sanitation interventions.

1.5.2.5 To determine the effectiveness of hygiene and sanitation interventions in changing mother's hygiene behaviour and improving child health in Turkana District.

1.6 Hypotheses

Null hypotheses:

- 1.6.1 Sanitation and hygiene interventions have no effect in changing mother's knowledge in sanitation and hygiene before and after the intervention.
- 1.6.2 Sanitation and hygiene promotion has no effect in changing mother's behaviour and practices after the interventions.
- 1.6.3 Sanitation and hygiene interventions have no effect in changing faecal coliform count in drinking water at the point of use before and after the intervention.
- 1.6.4 Sanitation and hygiene interventions have no effect in changing diarrhoea prevalence among children aged less than five years before and after the intervention.
- 1.6.5 Sanitation and hygiene promotion has no effect on changing mother's hygiene behaviour and improving child health in Turkana District.

1.7 Theoretical Framework: precede-proceed model

The study applied excerpts of the Precede-Proceed model which is a framework that has been used widely by health program planners, policy makers, and evaluators to analyze the situation and design a health program efficiently. This model is multidimensional, founded in the social/behavioural sciences, epidemiology, administration and education constructs. As such, the model recognizes that health outcomes and health behaviours have multiple causations which must be evaluated in order to assure appropriate intervention.

This planning model was initiated as a cost-benefit evaluation framework (Green, L.W. 1974). It provides a comprehensive structure for assessing health and quality of life needs and for designing, implementing, and evaluating health promotion and other public health programs to meet those needs. The most fundamental assumption of the model is the active participation of its intended audience – that is, the participants will take an active part in defining their own problems, establishing their goals, and developing their solutions. In the case of this study participants engagement was ensured therefore this fundamental assumption was met.

The **PRECEDE** model is a framework for the process of systematic development and evaluation of health education programs. An underlying premise of this model is that health education is dependent on voluntary cooperation and participation of the client in a process which allows personal determination of behavioural practices; and that the degree of change in knowledge and health practice is directly related to the degree of active participation of the client. Therefore, in this model, appropriate health education is

considered to be the intervention for a properly diagnosed problem in a target population (Green, 1992). The model can be applied in a variety of settings such as school health education, patient education, community health education, and direct patient care settings.

PROCEED was added to the framework later in recognition of the emergence of and need for health promotion interventions that go beyond traditional educational approaches to changing unhealthy behaviours. The administrative diagnosis is the final planning steps to "precede" implementation. From there "proceed" to promote the plan or policy, regulate the environment, and organize the resources and services, as required by the plan or policy. The components of **PROCEED** take the practitioner beyond educational interventions to the political, managerial, and economic actions necessary to make social systems environments more conducive to healthful lifestyles and a more complete state of physical, mental and social well-being for all.

The purpose of the **PRECEDE/PROCEED** model is to direct initial attention to outcomes rather than inputs. This forces planners to begin the planning from the outcome point of view. In other words, you as a program planner begin with the desired outcome and work backwards to determine what causes it, what precedes the outcome. Intervention is targeted at the preceding factors that result in the outcome.

Although the study did not apply all the phases its noteworthy to understand that the **PRECEDE/PROCEED** model has a total of nine phases, namely: *social* assessment,

epidemiological assessment, behavioural and environmental assessment, educational and ecological assessment, administrative and policy assessment, implementation, process evaluation, impact evaluation and finally outcome evaluation.

Phase one to five fall under PRECEDE while phase six to nine fall under PROCEED. PRECEDE stage of this model mainly focuses on the situational assessment surrounding a health issue while Proceed focuses on implementation and subsequent evaluation. Phase one and two deal with social and epidemiological assessment. Social assessment deals with identifying the aspects in the community that impact on living healthy and productive lives, from the community's own perspective or felt needs. Epidemiological assessment involves using epidemiological data to prioritise the health problems identified in the social assessment phase.

This study focused on adopted phase three (behavioural and environmental assessment) and four (Educational and Ecological assessment) of the Precede-Proceed model. Phase three involves identifying behavioural and environmental correlates of a health issue. A correlate is here defined as a factor associated with the existence of a particular health problem. This focussed on the existence of behaviours, which have a direct relation with spread of diarrhoeal diseases like poor hygiene practices among others. This phase also focussed on the social environmental issues such as poverty, socio-economic disparities among socio-cultural and familial control on behaviour.

Phase four focuses on the factors influencing the correlates identified in phase three above. These factors are divided into Predisposing, Reinforcing and Enabling. These factors must be changed in order to initiate and sustain the process of behaviour change. Predisposing factors are the affective and cognitive components of behaviour. These factors can help or hinder a person's motivation to change.

In this study, the researcher conceptualised predisposing factors focused on; knowledge, perception, hygiene and sanitation. Under reinforcing factors, the study focused on community values such as cleanliness which leads to healthier environments. Enabling factors were identified as access to sanitation services and water. All these factors were conceptualized based on the access to sanitation services/facilities. The Figure 1 depicts the researcher's concept.

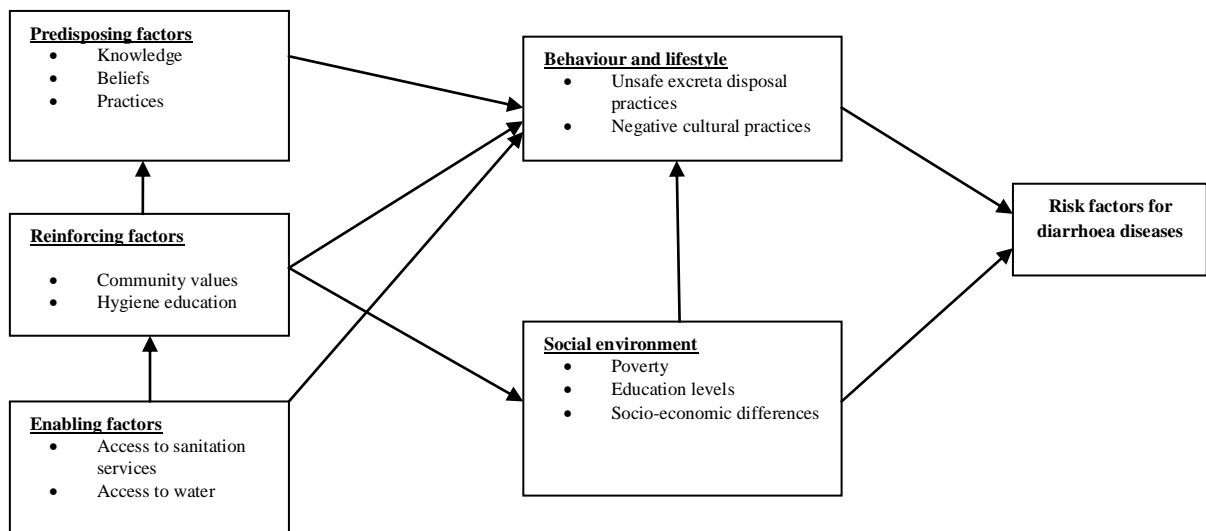


Figure 1: Theoretical framework based on PRECEDE-PROCEDE model

CHAPTER TWO

2.0 LITERATURE REVIEW

2.1 Global concern on water and sanitation impacts on health

Water supplies and sanitation were first highlighted on the development agenda about 30 years ago. This was a result of the 1977 United Nations Conference in Mar del Plata, Argentina that recommended proclaiming the 1980s to be the International Drinking Water Supply and Sanitation Decade with the goal of providing every person with access to water of safe quality and adequate quantity, along with basic sanitary facilities, by 1990” (World Water Development Programme, 2003). International water policies and management practices have generally considered water to be a free and renewable resource. Governments in developing countries have often subsidized water supplies, typically in an attempt to achieve social and health benefits for low-income households that comprise a large majority of the rural population (Lammerink, 1998., Whittington, 1998). Furthermore, developing countries have made huge investments in their rural water supplies under the presumption that local communities will be involved in their maintenance and operation. In sub-Saharan Africa, reducing the number of people without access to safe drinking water and basic sanitation has proved to be a significant challenge. The region is lagging behind the rest of the world with respect to achieving the Millennium Development Goal on water supply and sanitation which aims at reducing the proportion of people without access to safe drinking water and

basic sanitation by half before 2015. Kenya appears to be on track towards achieving the water MDG while the sanitation aspect is lagging behind (WSP, 2006).

Sanitation on the other hand, generally refers to the provision of facilities and services for the safe disposal of human urine and faeces. Inadequate sanitation is a major cause of infectious diseases globally and improving sanitation is known to have a significant beneficial impact on health both at household level and across communities (www.who.int/sanitation/en, 2007). The UNESCO World report for water, (2003) outlines the health effects emanating from poor water and sanitation as follows:

- Almost half the people in the developing world have one or more of the main diseases or infections associated with inadequate water supply and sanitation: diarrhoea, intestinal helminth infections, dracunculiasis, schistosomiasis, and trachoma.
- More than half the hospital beds in the world are occupied by people who have these diseases.
- Eighty eight percent of diarrhoeal diseases, the second leading cause of death in children younger than five years after respiratory illnesses are attributed to unsafe drinking water, inadequate sanitation, and poor hygiene. Diarrhoea morbidity is reduced by around 21% through improved water supply and by around 37% through improved sanitation.
- Though not well documented, the trauma of watching a child die from a preventable disease such as diarrhoea as does happen in one out of five in the

poorest parts of the world can have lasting impacts on the psychological and emotional health of surviving parents and siblings.

- Six million people worldwide are blind because of trachoma, the leading cause of preventable blindness and more than 150 million people need treatment. Improving access to water and better hygiene can reduce trachoma morbidity by 27%.
- Intestinal helminths (*Ascaris*, *Trichuris*, hookworm) affect hundreds of millions of people; 133 million have high intensity intestinal helminth infections, which often have severe consequences such as cognitive impairment, massive dysentery, or anaemia. Safe drinking water and basic sanitation combined with better hygiene can reduce morbidity from ascariasis, for example, by 29%. Overall, healthy people, as opposed to those sickened by helminthiases, are better able to derive nutritional benefit from food.
- More than 160 million people are infected with schistosomes, causing tens of thousands of deaths every year, mainly in sub-Saharan Africa. Basic sanitation can reduce schistosomiasis by up to 77%.

2.2 Disease burden due to environmental hygiene and sanitation

In 400 BC, Hippocrates noted the ecological basis for disease in Airs, Waters, and Places. In assessing the state of health across the globe, Smith *et al.* (1999) contend that “many of the critical health problems in the world today cannot be solved without major improvement in environmental quality.” A recent update of this analysis “confirms that approximately one-quarter of the global disease burden, and more than one-third of the burden among children, is due to modifiable environmental factors (Ustun & Corvalan 2006).

Diarrhoea continues to be one of the leading causes of death and loss of disability adjusted life years in the developing world (Lopez *et al.*, 2001) Estimates from the past four decades indicate that, although there has been a decrease in mortality rates, diarrhoeal disease-related morbidity has remained high (Kosek *et al.*, 2003) Interventions to reduce diarrhoea incidence generally focus on water supply, water quality, sanitation and hygiene for all age groups, as well as breastfeeding, adequate nutrition and immunizations specifically for children less than 5 years of age. Although there has been some attempt to utilize large-scale and widely available cross-sectional studies such as the Demographic and Health Surveys (Gunther & Fink, 2010) in many studies, most guidelines and policies relevant to diarrhoea in developing countries, such as those developed by the WHO with reference to household storage or disinfection of water, micronutrient supplementation or use of oral rehydration solution, have been

informed by longitudinal intervention trials that are conducted with smaller cohorts followed over time.

According to Black and Fawcett (2008c), more than 1.2 billion people worldwide gained access to improved sanitation between 1990 and 2004. However, even with this progress, some 41 percent of the world's population- an estimated 2.6 billion people, including 980 million children lack access to proper sanitation. Lack of adequate sanitation, poor hygiene and safe portable water are serious global health problems and contribute to deaths of 1.5 million children under the age of five years annually due to diarrhoeal diseases.

Although infant and child mortality rates have reduced significantly in most nations in the recent decades, 1.5 to 2 million children still die every year from water and sanitation related diseases (Murray *et al.*, 2001). According to WHO (2008), an estimated 2.2 million children aged less than 5 years die from diarrheal diseases each year. More children are debilitated by illness, pain and discomfort primarily from diarrhoeal diseases, intestinal worms, from various eye and skin diseases and diseases related to insufficient and unsafe water (UNICEF, 2007). According to WHO (2007), 4 billion cases of diarrhoea occur annually, of which 88% are attribute to unsafe water and inadequate sanitation and hygiene. Diarrhoeal diseases also account for 1.8 million deaths every year with, the vast majority being children under five years. World Health Organisation data on the burden of disease shows that, “approximately 3.1% of deaths

(1.7million) and 3.7% of disability adjusted life- years (*DALYs*) or equivalent to 54.2 million sufferings worldwide is attributed to unsafe water and sanitation and hygiene”. In Africa and other developing Countries in South East Asia, 4-8% of all disease burdens are attributable to these factors. Over 99.8% of all the deaths occur in developing countries and 90% are deaths of children (WSSCC and WHO, 2005).

Helminthic infections are also important causes of morbidity and mortality in many developing Countries. An estimated 1.5 billion cases of infection with *Ascaris lumbricoides*, 1,200 million cases of infection with hookworm, 1,049 million cases of infection with *Trichuris trichiura*, and 200–300 million cases of *Schistosomiasis* occur worldwide. School age children in developing countries bear the greatest health burden due to helminthic infections, accounting for an estimated 20% of the disability-adjusted life years lost due to infectious diseases in children less than 14 years old (Ezeamama *et al.*, 2005).

According to Black and Lanata, (1995a), diarrhoeal diseases, which are frequently transmitted by faecally-contaminated water, continue to be a leading cause of morbidity and mortality among children in developing countries. Improved water supply reduces diarrhoea morbidity by 21%. While improved sanitation reduces diarrhoea morbidity by 37.5% (WHO, 2004). Diarrhoea has been associated with up to 75% of all illnesses in young children. The risk factors include lower socio-economic status, an unclean domestic environment, use of unsafe water, absence of soap (Sharon

et al., 1987). Most of the burden of diarrheal disease is thought to be preventable with improvement in sanitation, water quality, and hygiene. However, in rural areas of low income countries it is often prohibitively expensive to provide residents with networked sanitation and water treatment that provide microbiologically and chemically safe water and consistently remove faeces from the environment (Ezzati *et al.*, 2003).

In Kenya, World Health Organization estimated that in 2002, 52% of Kenyans did not have access to improved sanitation and in rural areas, 57% of the people lacked sanitation coverage (WHO, 2004). About 11% of all Kenyans used flush toilets. The most common form of sanitation facility was a pit latrine, which was used by nearly 64% of the population, while more than 16% had no facility and defecated in the brush, field or in the open. Apart from those that do not use a latrine, 49% shared their toilet with other households (CBS, 2004).

2.3 Notable environmental hygiene and sanitation interventions

There is a striking impact on the reduction of incidence of all cases of diarrhoea, including dysentery and persistent diarrhoea in children less than 5 years arising from water, sanitation and hygiene education interventions. According to a study published by Aziz *et al* (1990), about 25% of children in the intervention area were experiencing fewer episodes of diarrhoea than those in the control area. Such interventions include general hygiene practices, hand washing, improved access to safe water supply with advocacy being the vehicle of choice.

A cost-benefit analysis by Organization for Economic Co-operation and Development Roundtable on Sustainable Development showed that achieving the global MDG target in water and sanitation would bring substantial economic gains from both health and other benefits: each US\$1 invested would yield an economic return of between \$3 and \$34, depending on region. The benefits would include an average global reduction of diarrhoeal episodes of around 10%. If the goal for water and sanitation were met, the health-related costs avoided would reach \$7.3 billion per year, and the annual global value of adult working days gained as a result of less illness would be almost \$750 million. Improvement in sanitation, hygiene, and water contributes to improved health, generates savings for households and national health budgets, and contributes to poor households' economies through reduced costs and losses of time. Saving time may enable productive activity and school attendance, especially for girls. Investment in water and sanitation whether through development assistance at the national or community levels or by poor households themselves—makes sound economic sense (OECD and ADB, 2004)

2.3.1 General Hygiene Practices

Improved personal and environmental hygiene reduce infections and reduces the spread of infections (Aiello and Larson, 2002). Thus appropriate hygiene practices are necessary for maintaining good health. The function of hygienic behaviour is to prevent the transmission of the agents of infection. Impact of several environmental sanitation conditions and hygiene practices on occurrence of diarrhoea among children under five years was associated with washing and purifying fruit and vegetables; domestic water reservoir conditions; faeces disposal, presence of vectors in the house and flooding in the lot (Heller *et al.*, 2003).

Sanitation and hygiene, given their direct impact on infectious disease, especially diarrhoea, are important for prevention of malnutrition. According to WHO/UNICEF (2010), improved sanitation include use of flush toilet, ventilated pit latrine, pit latrine with slab and composting toilet whereas unimproved pit latrine are shared toilet facilities, no facilities, pit latrine without a slab and bucket. In their assessment they found out that access to toilet/latrine facility was by 48.3% of the households. Of the 51.7% who did not access a toilet facility, they used the bush, open land, laga or near a river. These results are not significantly different from the 2010 findings in a study done in Marsabit where 44.6% of the households had access to toilet facilities. Of the caregivers, less than half, 42.6% disposed of the child's stool immediately and hygienically while 38.7% disposed the child's stool in the bush and 18.7% did not

dispose the child's stool. The unhygienic practices of stool disposal predispose children to diseases such as diarrhoea.

Approximately 80 percent of the hospital attendance in Kenya is due to preventable diseases. About 50 percent of these illnesses are water, sanitation, and hygiene related. In 1999 alone, more than 2,500 Kenyans died from diarrhoea and related diseases. Diarrhoea and gastroenteritis were the highest causes of infant hospitalization in 1999. These diseases are a result of poor hygiene and unsanitary living conditions, which could be prevented by instituting appropriate sanitation and hygiene practices (GOK, 2007).

2.3.2 Hand washing

Hygiene related practices such as the safe disposal of faecal material and hand washing after contact with faecal material could reduce the rates of intestinal infection considerably (Astier *et al.*, 1997). Hand washing efficacy studies carried out in the form of randomized controlled trials and the outcome interests have shown health related benefits (Luby *et al.*, 2005). Different field friendly methods of evaluations can also now be used.

Data on hand washing can be inferred, observed or reported. Observed data is considered the most objective data available for measuring hand washing behaviour. Observations may be conducted using spot checks or continuous observation. Reduced

hand contamination has been demonstrated among persons exposed to hand washing promotion or persons specifically instructed to wash hands with a cleansing agent, compared to persons who were not exposed (Hoque *et al.*, 1995). Presumably the level of hand contamination at critical times, such as preparing food or feeding a young child impacts the degree to which pathogens are transmitted at those times.

In terms of measuring contamination that is relevant to pathogen transmission, it would necessitate collection of samples at those critical times, after defecation, before eating and food handling. In addition, testing for the presence of and quantification of faecal coliforms including the *Escherichia coli* on the subject stool using microbiologic test may be considered an inferred data on the overall hygienic practice including, hand washing behaviour (Kaltenthaler and Pinfeld, 1995).

Hand washing with soap and water can reduce diarrhoeal diseases by 35% or more. Safe disposal of faecal material serves as primarily intervention to prevent faeces from contaminating the environment. It is particularly important to isolate the faeces of people with diarrhoea, most of whom are usually young children. Pit latrines, when used by adults and for the disposal of young children's faeces can reduce diarrhoea by 36% or more (Astier *et al.*, 1997). A study done in Bangladesh confirmed the importance of hand washing and compound cleanliness in reducing diarrhoeal diseases among mothers with small children. In this study, soap was supplied to sixty five mothers who were encouraged to wash their hands after, defecation before eating and

food handling. They were also encouraged to clean children excreta around their homes promptly and then dispose it into pit latrines or bury it properly and then wash their hands with soap and water. A marked decline of diarrhoea was observed (Gracey, 1993).

The most effective way of preventing diarrhoeal diseases is to prevent faecal material from getting into the child's environment by safe disposal of faeces and washing hands with soap once faecal material has contaminated them. Hand-washing interrupts the transmission of disease agents and so can significantly reduce diarrhoea and respiratory infections, as well as skin infections and trachoma.

A recent review (Curtis and Cairncross, 2003) suggests that hand-washing with soap, particularly after contact with faeces (post-defecation and after handling a child's stool), can reduce diarrhoeal incidence by 42-47 percent, while a publication by Rabie and Curtis (2006) suggests a 30 percent reduction in respiratory infections is possible through hand-washing. This remains true even in areas with high faecal contamination and poor sanitation. They further demonstrated effectiveness of hand washing in reducing respiratory infection risk by 16%. A recent study shows that hand washing with soap by birth attendants and mothers significantly increased newborn survival rates by up to 44 per cent (Rhee *et al.*, 2008)

Another recent study found that children under 15 years living in households that received hand-washing promotion and soap had half the diarrhoeal rates as children living in control neighborhoods (Luby *et al.*, 2004). Because hand washing can prevent the transmission of a variety of pathogens, it is said to be more effective than the use of any single vaccine in disease prevention. Promoted on a wide-enough scale, hand washing with soap could be thought of as a ‘do-it-yourself’ vaccine. A study by Bowen *et al.*, (2007), suggests that hand washing promotion in schools can play a role in reducing absenteeism among primary school children. In China, for example, promotion and distribution of soap in primary schools resulted in 54 per cent fewer days of absence among students compared to schools without such an intervention.

Habits are ingrained and sustained behaviours, often developed in childhood. Research has shown that once people anywhere acquire ingrained and habitual behaviours, they are not easily lost. The task for hand-washing promotion is not to achieve a single hand-washing event, but to instil a routine and sustained habit that happens automatically with every contaminating event. While habits are often learned at an early age, there are opportunities for change, especially at life-changing events. Many mothers report that hand hygiene did not become important to them until a baby was born and that if midwives or others involved with peri-natal care recommended hand washing with soap, it would likely take hold.

Another life-changing event for many mothers is moving to the husband's home after marriage and learning the habits of the new household. Drivers are innate and learned modules in the brain that motivate particular behaviours. They come in the form of emotions and the feelings that people report when carrying out particular behaviours. Discovering drivers is the key to successful promotion of hand washing. As with risk practices, determining drivers can be difficult because they may be buried in the subconscious where as much as 95 percent of human thought takes place (Zaltman, 2003). There may be perceptions of shame or embarrassment in reporting them, for example, using soap to heighten sexual attractiveness. Notably, hand washing success is attributable to high level of political commitment, state or Division level action and community mobilization by village level authorities.

2.3.3 Improved access to safe water supply

A silent humanitarian crisis kills some 3900 children every day and thwarts progress towards all the Millennium Development Goals (MDGs), especially in Africa and Asia. The root of this unrelenting catastrophe lies in these plain, grim facts: four of every ten people in the world do not have access to even a simple pit latrine; and nearly two in ten have no source of safe drinking water (WHO, 2004)

Presence of improved sanitation and hygiene practices, supplemented with satisfactory supply of water is essential for improved life with tangible benefits to health. Access to safe drinking water is essential to health. As a basic human right and a component of

effective policy for health protection, investments in the water supply and sanitation can yield a net economic gain (UN-HABITAT, 2003).

Knowledge on disease transmission suggests that 100% of infections caused by soil-transmitted helminthes can be prevented by adequate water, sanitation and hygiene (WHO, 2007). Evidence indicates that lack of safe water increases the prevalence of microbial hazards and continues to be a primary concern in both developing and developed Countries. A report by WHO (2006) indicated that the greatest disease causing microbial risk is associated with ingestion of water contaminated with human or animal (including bird) faeces. Faeces are a major source of pathogenic bacteria, viruses, protozoa and helminthes and faecal derived pathogens form principal concern in setting health-based targets for microbial safety. Microbial contamination of drinking water contributes to disease outbreaks and to emergence of diseases in developed and developing Countries. Control of waterborne diseases is an important element of public health policy and objective of water supplies (WleChevallier and Kwock-Keug, 2004).

According to WHO analysis, faecal indicator bacteria provide a sensitive measure of pollution of drinking water supplies. Verification of the microbial quality of drinking water includes testing for *Escherichia coli* as an indicator of faecal pollution. *E. coli* provides conclusive evidence of recent faecal pollution. In practice, testing for thermo tolerant coliform bacteria can be acceptable alternative in many circumstances (WHO, 2006).

In Kenya, Access to safe water was estimated at 89.7% in urban areas and 43.5% in rural areas, or a national average of about 57% (as reported in the 2000 Multiple Indicator Cluster Survey). In addition, about 81% of the population had access to safe sanitary means, with 94.8% in urban areas and 76.6% in the rural areas. The World Bank's 2004 Water and Sanitation Country Assessment had put the coverage at 49% for water supply (urban 86% and rural 31%) and 86% for sanitation (urban 96% and rural 81%). Among the main synergies between the water and sanitation sector (WSS) sector and other MDGs were reduced incidence of water-borne diseases, empowerment of women and girls through savings on time and energy especially in provision of water, improvement in the living conditions in slum areas, business opportunities in the envisaged private sector participation (especially for women entrepreneurs in water and sanitation service delivery), and higher retention of girls in school due to improved provision of water and sanitation facilities (CBS, 2003)

2.4 Effectiveness of WASH interventions in Reducing Diarrhoea Morbidity

Diarrhoeal diseases, which are frequently transmitted by faecally-contaminated water, continue to be a leading cause of morbidity and mortality among children in developing countries (Black and Lanata, 1995b). According to WHO 2004 fact sheets, 1.8 million people die every year from diarrhoeal diseases (including cholera) of which 90% are children under 5, mostly in developing countries. Accordingly, 88% of diarrhoeal disease is attributed to unsafe water supply and inadequate sanitation and hygiene. For

a while now, it has been widely accepted that water supplies and sanitation, though necessary for the prevention of diarrhoeal diseases in young children, are not sufficient by themselves unless they are accompanied by changes in domestic hygiene behaviour (Mertens *et al.*, 1992., Cairncross, 1990). In the lancet Series on Maternal and Child Under nutrition Bhutta et al (2008) states that of several disease prevention strategies that reduce the burden of infections (and hence affect nutritional status), hygiene interventions (hand washing, water quality treatment, sanitation and hygiene) are among the core interventions to affect nutritional status.

Previous studies have reported the results of interventions to reduce illness through improvements in drinking water, sanitation facilities, and hygiene practices in less developed countries. One of the initial systematic review and meta-analysis (Lorna *et al.*, 2005) comparing the evidence of the relative effectiveness of these interventions reported that all the interventions studied were found to significantly reduce the risks of diarrhoeal illness. The relative risk estimates from the overall meta-analyses ranged between 0.63 and 0.75. The results showed that multiple interventions (consisting of combined water, sanitation and hygiene measures) were not more effective than interventions with a single focus.

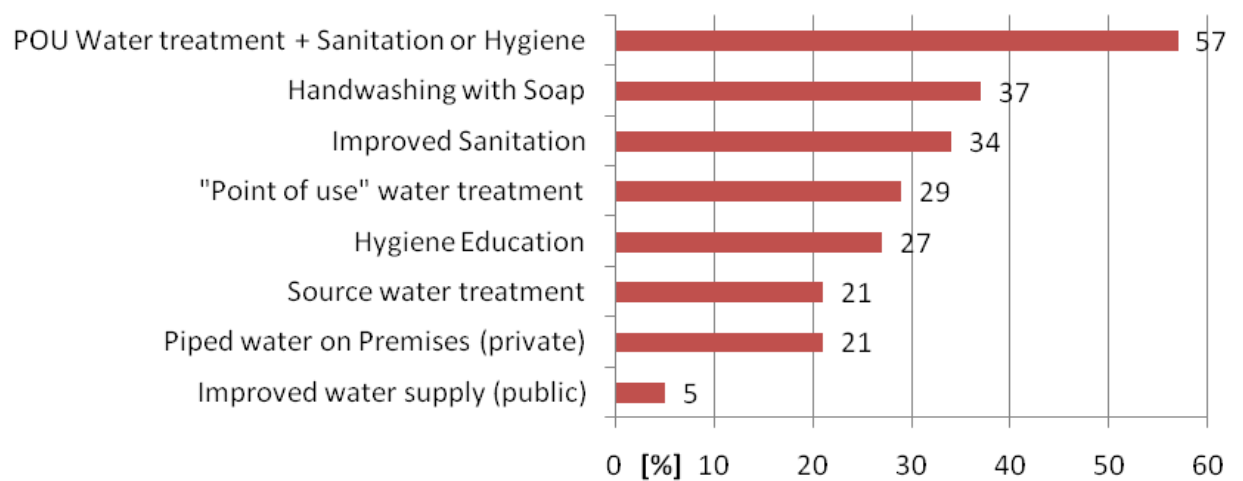
A meta-analyses by Curtis and Cairncross (2003) examined the impact of hand-washing on diarrhoea risk and found a reduced risk of 50 per cent (Aiello *et al.*, 2008), a reduced risk of gastrointestinal illness of 34 per cent across 12 studies conducted in developing

countries and also found a reduced diarrhoea risk of one-third across five randomized-controlled trials (RCTs) in developing countries.

A recent meta-analysis (Waddington et al., 2009) has combined the results of various analyses and reviews conducted in the recent past and have pooled the respective results. The results confirm the conclusion of earlier reviews that the three most effective interventions to reduce diarrhoea morbidity in children under 5 are hand washing with soap (37%), improved sanitation (34%) and point of use (POU) water treatment (29%). One reason for the relatively low effectiveness of source water treatment interventions (21% in the chart below) is the risk of microbiological contamination of drinking water during collection and storage in the home (Clasen *et al.*, 2006). A systematic meta-analysis of 22 studies measuring bacteria counts for sources of water supplies and stored water in the home concluded that approximately half of the included studies identified significant contamination of water after collection (Wright *et al.*, 2004).

According to Luby et al.(2007) and colleagues who studied hand washing behaviour in 347 households from 50 villages across rural Bangladesh. The researchers compared a non-intervention control group with communities that were part of a large hand washing, hygiene/sanitation, and water quality improvement programme — Sanitation, Hygiene Education and Water Supply in Bangladesh (SHEWA-B), organised and supported by the Bangladesh Government, UNICEF, and the UK's Department for

International Development (DFID,1998). The following are the results of their study. The following are the results of their study showing Reduction in diarrhoea morbidity in children aged <5 years as per intervention



Source: (Waddington et al., 2009)

Figure 2: Effectiveness of WASH Interventions to reduce diarrhoea morbidity in children aged less than 5 yrs

They concluded that washing of hands with soap, or simply rinsing hands without soap prior to preparation of food can reduce the occurrence of diarrhoea in children.

2.5 Role of advocacy as a software approach in hygiene and sanitation promotion

The World Health Organisation and the United Nations Children Fund Joint Monitoring Programme (JMP) for water and sanitation estimate that despite the huge efforts to increase access to sanitation, over 700 million people are forced to defecate in the open (WHO/UNICEF-JMP, 2008). Furthermore, a recent assessment of the existing evidence suggest that poor sanitation may be linked to as much as a quarter of all the under five deaths (Cumming, 2008).

Advocacy and promotion on sanitation and hygiene contributes to a significant increase in access to sanitary means of excreta disposal and reduced disease burden, (Bajracharya, 2003). A study in Mpande and Bukalimo villages in Uganda reported an increase in construction of traditional latrines by 80.9% and 87.8% respectively after intervention (Narathius *et al.*, 1994).

Multi- level efforts such as media, planning workshops, training sessions and house-to-house visits by village authorities and health officials raised greater awareness of sanitation and hygiene issues and led to construction of latrines on a self-help basis (Bajracharya, 2003). The study underscores the importance of advocacy, health education and promotion in improvement of hygiene practice (Gilman *et al.*, 1993, Bajracharya 2003).

Hygienic behaviour in some cases is determined by beliefs that run counter to biomedical knowledge. In urban Karachi, Pakistan, for instance, infant diarrhoea was frequently considered to be related to teething or weather and thus was considered a normal event (Quareshi and Lobo, 1994). In 2003, UN-HABITAT identified a range of behaviours that could facilitate transmission of diarrhoea diseases including cholera and taeniasis. Therefore, knowledge on transmission routes of diarrhoea diseases and sources of infections was found to reduce oral-faecal infections (Halvorson, 2004).

CHAPTER THREE

3.0 METHODS AND MATERIALS

3.1 Study Area

The study was conducted in Lokichoggio, Kakuma and Central Divisions of Turkana District. The three Divisions were purposively selected as the Ministry of Health and UNICEF had planned to implement a sanitation and hygiene programme in these areas. A map of the referenced areas is provided in appendix 4.

3.2 Study population

The study participants were mothers living in the three Divisions who had children aged less than five years.

3.3 Inclusion/Exclusion criteria

A household with children of five years of age and below, mothers living in the selected Divisions who consented to participate in the study after having being identified using the randomized selection. Any person who did not meet the criteria was not involved in the study.

3.4 Ethical Issues

Prior to data collection, clearance was obtained from the National Council for Science and Technology, Ethics and Research Review Committee of Ministry of Health. The District Medical Officer of Health in Turkana District (appendix 5) was also informed and permission sought. The purpose of the study was explained to the participants in the Turkana language before seeking their consent. Participants were treated with respect

and dignity and informed of their rights to withdraw at any stage of the interview. The participants were assured that all the information given would be kept confidential and used only for the purpose of the study and no names were required. All the participants were informed that there was no unforeseen risk, pain or discomfort to be occasioned participation in the study and neither the relatives nor partners would suffer the same. Community leaders were consulted in the whole process of data collection and to further involve the communities.

Respondents were informed that there was no direct benefit to be accrued and there was no money to be paid for participating in the study. However, their participation would generate knowledge which could be used for the general benefit of the public and especially their community.

3.5 Study design

The study adopted a longitudinal/cohort design. This design was chosen because a control or comparison condition could not be found neither was it desirable. For each participating household, baseline measures were taken two months before the interventions and end line measures taken two months to the end of the one year interventions.

The intervention entailed distribution of 6,150 water filters and PUR sachets to 10,000 households for home based water treatment and development of the capacities of

women as duty bearers to create awareness, knowledge and demand for the water filters and ensure that safe water is used in households to reduce environmental risks to children health. TOTs were trained on Participatory Hygiene and Sanitation Transformation (PHAST) coupled with Community Led Total Sanitation (CLTS) combined with animated video. Upon completion of the training, the TOTs were given the PHAST training tools to enable them carry out sensitization at household levels. They used audio-visual shows blended with CLTS to ignite community dialogue among the people. The process also involved the production of culture-specific participatory training tools and videos tailored with appropriate messages.

3.6 Sample size determination

The formula by Lemeshow *et al.*, (1990) used in WHO health related studies was employed to determine the required sample size for this study.

$$n = \frac{[Z_{\alpha/2} \sqrt{P(1-P)} + Z_{\beta} \sqrt{P_1(1-P_1) + P_2(1-P_2)}]^2}{(P_1 - P_2)^2}$$

Where;

n = Population sample

$P = \frac{P_1 + P_2}{2}$; Pooled proportion of disease (diarrhoea)

$Z_{\alpha/2} = 1.96$; Significance level, 0.05

$Z_{\beta} = 95\% \text{ CL} = 1.28$; Study power

1-P = Proportion without disease

P_1 = Baseline diarrhoea prevalence, where from previous studies it is estimated at 25%

P_2 = Post intervention diarrhoea prevalence estimated at 12.5%, assuming that the intervention will reduce diarrhoea prevalence by 50%. This gave a minimum sample size of approximately 140. Considering a maximum design effect of 2, this gave a sample size of $(140 \times 2) = 280$. This was approximated to 300.

3.7 Population Sampling Procedure

A comprehensive sampling frame was required from where the sample would be drawn. In this study the Primary Sampling Unit (PSU) was the Central Bureau of Statistics (CBS) Enumeration Area (EA)¹, which was taken as cluster. The Eas have maps showing the boundaries and structures as well as total households and population by sex as per the 1999 Housing and Population Census. In order to have updated details and representative sampling frame, a listing exercise was done. The listing involved updating the number of households, numbering the structures and updating the age composition of the household. After the listing exercise, the sampling interval for the Division was then determined and a filter done to include in the sample only the households with women having children less than 5 years of age. A total of 30 clusters in all the 3 Divisions were selected for study using the systematic Probability Proportional to Size (SPPS) sampling method.

¹ For ease of carrying out the 1999 Housing and population Census, CBS created small non-overlapping units called Enumeration Areas (EAs), from each of the sub location, which were defined according to a specified measure of size (MoS).

3.8 Data collection methods

3.8.1 Field Questionnaire

Research assistants were recruited with consideration given to those who had completed twelve years of formal education and were conversant in English and Turkana language. Research assistants were given a two day's training on the data collection tools and pre-tested a few questions. Results of the pre-testing exercise were discussed and corrections incorporated in the questionnaire.

A household survey was carried out before and after interventions based on a structured household questionnaire and observations. The spot checks were made on structural elements around the homesteads to determine hand washing behaviours particularly use of soap during hand-washing. The key indicators used to determine hand-washing behaviour among respondents were; dedicated hand washing station, use of soap for washing hands, proportion of respondents who reported washing of their hands during the critical junctures and percent of care givers who reported washing hands with soap during most times that hands are washed. These indicators were used to collect information on hygienic behaviour and practices. On gauging changes in knowledge, structured questions were asked on two parameters; importance of latrine use and knowledge of diseases associated with use of contaminated water prior and after the intervention. Correct response was treated to mean that the person was knowledgeable on the subject matter.

3.8.2 Laboratory specimen processing

1. Water Quality Assessment Procedure

Water samples were taken at the point of use in the households for further examination in the laboratory for chlorine level and presence or absence of faecal coliforms. The levels of chlorine were detected using DPD complex tablets. The DPD tablets dissociated if any chlorine compounds were present in the sample. The samples were analysed for chlorine levels using calorimetric machine from which the chlorine optical density (OD) in the sample was taken and recorded. The calorimeter was calibrated using pure water with zero traces of chlorine and chlorine level of less than 0.2 mg/l was regarded as low and in-effective while chlorine levels of more than 0.2 mg/l was regarded as effective in protecting the water from bacterial contamination.

Bacterial contamination was detected by presence or absence of faecal coliforms using Filter Membrane Technique. One hundred millilitres (100 ml) of water sample was filtered through a membrane filter embedded with media. The membrane was then cultured on a pad of sterile selective broth containing an indicator. After incubation, the number of coliforms colonies was counted. This gave approximate number of *E.coli* in One hundred millilitres (100 ml) of water. Detailed description of Filter Membrane Technique is as outlined in the next sub-section below.

Water microbiological assessment Procedure using Filter Membrane Technique

Water microbiological analysis was done by determining the Total Coliforms(TC) and Escherichia coli(EC) by Membrane Filtration using a simultaneous detection technique(MI Medium) as described by Brenner et al 1993.This is a method that describes a sensitive and differential membrane filter (MF) medium using MI agar or MI broth for the simultaneous detection and enumeration of both total coliforms (TC) and Escherichia coli (E. coli) in water samples in 24 hours on the basis of their specific enzyme activities. Two enzyme substrates, the fluorogen 4-Methylumbelliferyl- β -Dgalactopyranoside (MUGal) and a chromogen Indoxyl- β -D-glucuronide (IBDG), are included in the medium to detect the enzymes β -galactosidase and β -glucuronidase respectively produced by TC and E. coli, respectively.

Briefly, 100ml of Water samples were collected in sterile polypropylene sample containers with leak proof lids and filtered through a 47-mm, 0.45- μ m pore size cellulose ester membrane filter that retains the bacteria present in the sample. The filter was then placed on an absorbent pad saturated with 2-3mL of MI broth and the plate incubated at 35°C for up to 24 hours. The bacterial colonies that grow on the plate were inspected for the presence of blue color from the breakdown of IBDG by the E. coli enzyme β -glucuronidase and fluorescence under longwave ultraviolet light (366 nm) from the breakdown of MUGal by the TC enzyme β -galactosidase. The total E.coli and TC counts Per ml of the water sample was then determined according to the guidelines of the Microbiology Manual described by Bordner et al 1978.

Stool microbiological analysis

The mothers and guardians were requested to collect stool specimen from their children aged less than five years for analysis. Laboratory investigations for sanitation and hygiene related diseases were carried out. The stool specimens were examined for presence or absence of diarrhoea causing agents such as *E.coli*, *Salmonella* and *Shigella*, through procedure and techniques described by Cheesbrough (2000).

On arrival in the laboratory, the stool samples collected from the field were examined carefully and noted the consistency of the specimens, including the following; the colour of the stool, whether the stool was formed or semi- formed, uniformed or fluid and the contents (i.e. blood, mucus or pus)

Stool microscopy for ova and cysts

All stool samples were tested for the presence or absence of intestinal helminths and protozoa using direct faecal smears (saline and iodine mount preparation) as described Cheesbrough (2000).

Briefly, the stool samples were appropriately labelled in order to match with laboratory number of the subjects. A drop of saline was put on the center of the left half slide and a drop of iodine was placed in the center of the right half of the slide; then with an applicator stick, a small portion of the faeces (approx. 2g) which is about the size of the match stick head was added to the drop of iodide, and mix with the faeces to form a suspension ;then this was covered with a cover slip, after which the preparation were

examined using the $\times 10$ objective or if needed for identification by using higher power in a systematic manner so that the entire area is observed. When organisms were seen, one may switch to a higher magnification to see a more detailed morphology of the object in question.

Stool Culture, isolation and identification of pathogens

Processing of stool specimens

The isolation of enteric pathogens was done as described by Ewing and Edwards (1986). Briefly, stool specimens were cultured for salmonella Shigella and E. Coli spp in Xylose lysine dextrose (XLD), Salmonella shigella medium (SS) and MacConkey agar (Difco Laboratories, Detroit, Mich.). Stool culture for *vibrio* spp was done on Thiosulphite citrate bile salts agar (TCBS) medium.

Enrichment for *Shigella, salmonella and E.coli* was performed by culturing the specimens into selenite F broth (Oxoid, UK) while that for *vibrio* was done on Alkaline peptone water (APW). All the inoculated culture media were incubated at 37°C for 24 hours. The selenite F broth and APW were then sub cultured onto SS, XLD and MacConkey agar plates and TCBS respectively and incubated as described above. The agar plates were examined colonies presenting as non lactose fermenters and non hydrogen actose (H_2S) gas producers. These characteristics are used to distinguish

e.coli,salmonella and Shigella spp from each other. The actose fermenters colonies from TCBS were selected as suspect vibrio spp.

Identification of pathogens

Biotyping with test tube media

Biochemical identification of the enteric bacterial pathogens was done using Triple sugar iron agar (Oxoid, UK),Lysine indole motility medium(Oxoid, UK),Simmons citrate (Oxoid, UK),Methyl Red-Vogues Proskeur medium(MR-VP)(Oxoid, UK) and Urea agar (Oxoid, UK).The tests were performed as described in the clinical microbiology procedures handbook (Isenberg,1992). In addition string test and catalase test were performed for identification of vibrio cholera spp. The identity of the respective pathogens was done based on their specific reactions in the above biochemical media as shown in the chart (Appendix 3).

Biochemical identification by serotyping

The identity of the pathogens was confirmed by serotyping the colonies using the genius/type specific antisera (Oxoid, UK) according to manufacturer instructions.

3.9 Data Management and analysis

The quantitative data was entered into SPSS version 17.0. Frequencies were run and then data cleaning was done. For qualitative data, the tapes were transcribed then content analysis (identifying, coding, and categorizing the primary patterns in the data) was then done. Actual analysis involved calculation of case prevalence, independence tests and goodness of fit using χ^2 test and associations using regression lines; these tests are as detailed below;

For those variables with nominal or ordinal scale, the chi-square goodness of fit test was applied to ascertain the significance of any observed changes between 2007 (baseline) and 2008 (post-intervention). The null hypothesis for this test is that there was no difference in the observed proportions within the two periods (similar).

For tables with two rows and two columns, Pearson chi-square, the likelihood-ratio chi-square, Fisher's Exact test, and Yates' corrected Chi-square (continuity correction) were applied. For 2×2 tables, Fisher's Exact Test was computed when a table that does not result from missing rows or columns in a larger table has a cell with an expected frequency of less than 5. Yates' corrected chi-square was computed for all other 2×2 tables. For tables with any number of rows and columns, it is understood that when both table variables are quantitative, Chi-square yields the linear-by-linear association test.

For variables with continuous data, Student's t-test was used to compare sample means by calculating Student's t and display the two-tailed probability of the difference between the means.

Overall measurement of association between the independent variable (core outcome) namely, chlorine level in mg/l and faecal coli forms in 100mls with key dependent variables (modifiable risks targeted during intervention was ascertained through logistic regression modeling.

3.10 Study Limitations

From literature, it is indicated that evaluation of handwashing practices should best be observed, however, this was not possible in this study since the population is sparsely distributed and therefore self-reported information was gathered.

There could also have been Hawthorns effect due to the fact that the households had been visited at baseline and a repeat survey was done at end line (within 1 year).

In addition, Turkana District is an ASAL area and water is scarce, limiting water availability for hand washing.

CHAPTER FOUR

4.0 RESULTS

4.1 General overview

A total of 300 respondents interviewed at baseline (2007) were followed up and re-interviewed at end-line (2008). All of them were mothers with children aged less than five years as stated in the inclusion criteria. Their demographic and distribution characteristics are as outlined in subsequent sub-sections.

4.2 Key Demographic Variables

This sub-section covers the core demographic variables relevant to this study. Figure 3 shows the overall distribution of respondents across the three Divisions of Turkana District: -

4.2.1 Distribution of Respondents by Division

Notably, Lodwar Central Division had the highest proportion of respondents at 46.7% (n=140) whereas Kakuma and Lokichogio had equal proportion of respondents each at 26.7% (n=80).

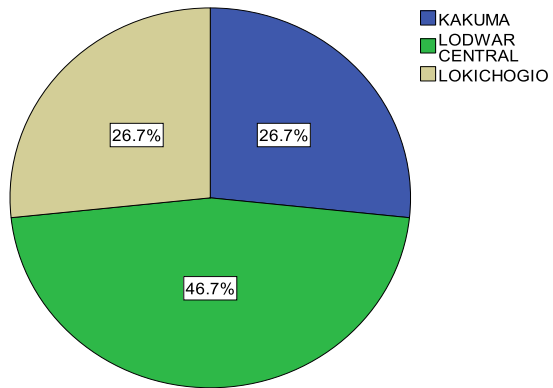


Figure 3: Distribution of Respondents by Division

The respondent distribution was proportional to the population density in each of the three Divisions.

4.2.2 Distribution of Respondents by Age

High proportion of respondents (36.3%) were aged between 26 and 35 years, followed by those aged between 14 and 25 years (26.3%) and the rest were aged above 36 year.

The age distribution of the respondents is as shown in Figure 4.

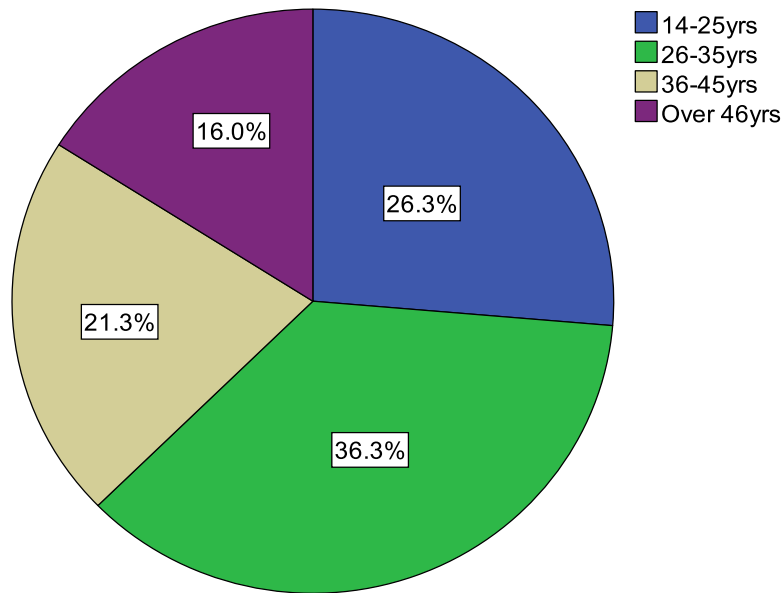


Figure 4: Age distribution of study respondents

Overall, respondents aged between 26-35 years formed the majority of the sampled population in all the three Divisions except in Kakuma where those aged over 46 years formed a slightly higher proportion (24%). Figure 5 shows age distribution of respondents across the three Divisions.

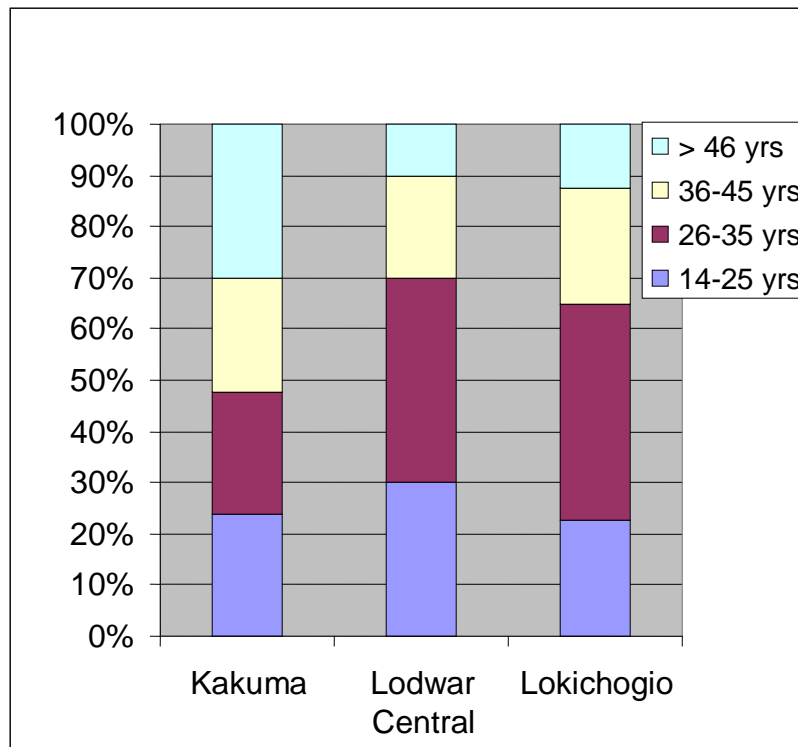


Figure 5: Respondent's Age Distribution by Division

Notably, the observed differences in age distribution of respondents across the three Divisions were significant ($P=0.003$). This shows that there is potential of masking inter-divisional differences and confounding if data segregation by Division and age group is not considered during analysis.

4.2.3 Education level of Respondents

Regarding education level of the respondents, out of the 300 interviewees, the highest proportion (43%) had primary level education followed by those with no education (35%) whereas 22% had post-primary education. This is depicted in Figure 6: -

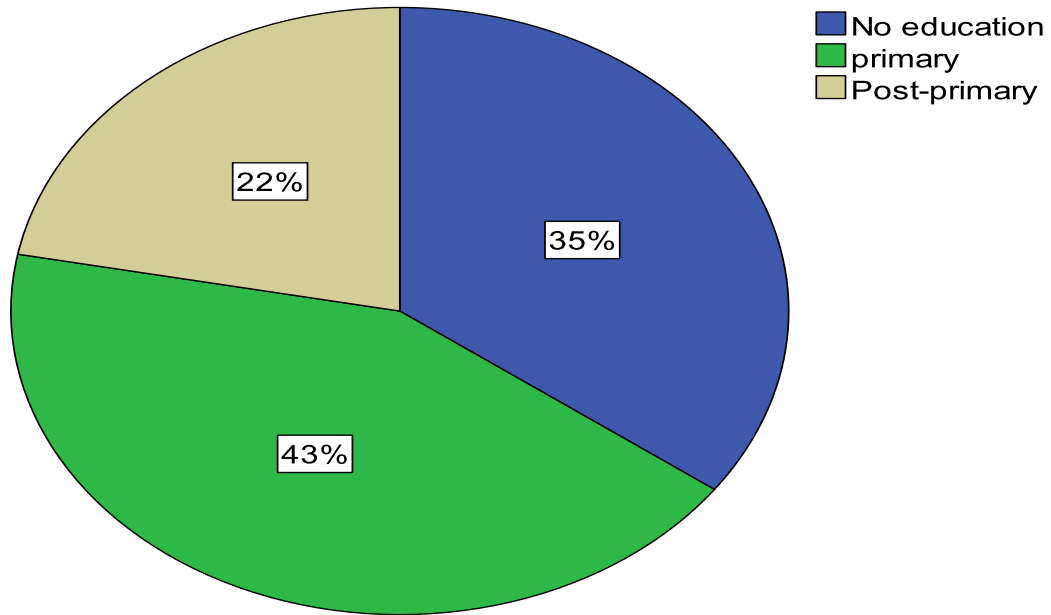


Figure 6: Highest Education Level of the Respondent

In addition, the proportions on education level were however different across the Divisions. For Kakuma, majority 39 (49%) had primary education, 24 (30%) had no education, while 17 (21%) had post-primary education. For Lodwar central, majority 67 (48%) had primary education, 50 (36%) had no education, while 23 (16%) had post-primary education. For Lokichogio, majority 31 (39%) had no formal education, 26 (33%) had post-primary education, while 23 (29%) had primary education. This is as shown in Figure 7: -

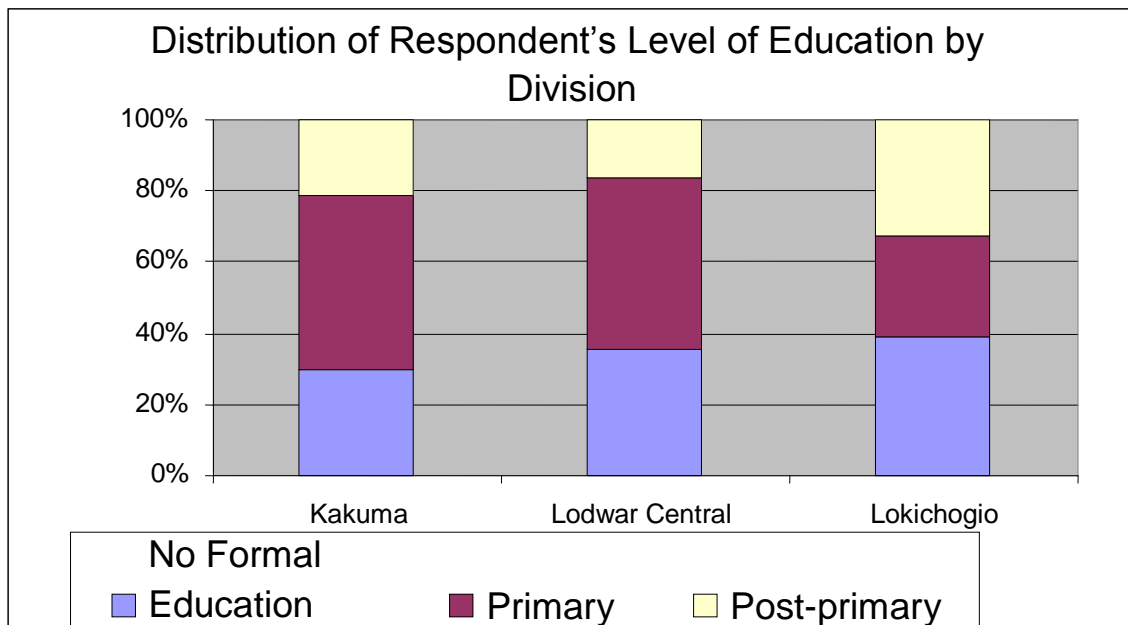


Figure 7: Distribution of Respondent's Level of Education by Division

These observed differences in distribution of education level of respondents across the three Divisions were significant ($P=0.017$), hence not attributable to chance. This shows that there is potential of masking inter-regional differences and confounding if data segregation by Division and level of education is not considered during analysis.

4.2.4 Latrine Distribution by Division

Latrine structure and distribution across the three Divisions was only checked at baseline and classified as either temporary or permanent based on material used for the super-structure. Out of the 243 (81%) of households with latrine, it was noted that majority (54.7%) were temporary. Within the Divisions, Lokichogio had the highest proportion of temporary latrines at 58% (39), followed by Lodwar central having 56%

(67) and then Kakuma with 48% (27). The alternative proportions represent permanent latrine structures as shown in Figure 8: -

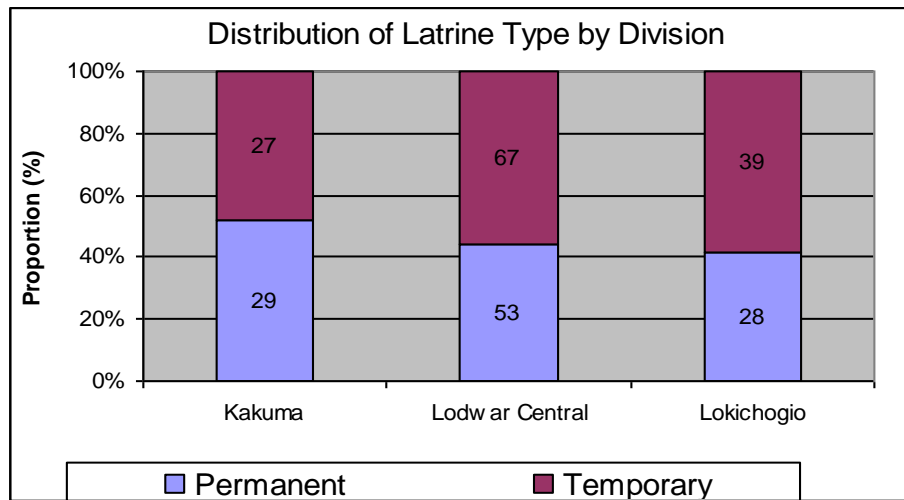


Figure 8: Distribution of Latrine Type by Division

Notably, the observed differences in distribution of latrine structure by Division were not statistically significant ($P=0.51$).

The study also sought to highlight the factors that affect provision of toilets at household level. For those households without toilet facilities at baseline (19%), the main reasons for not having a toilet in the household level were geophysical and economic constraints to construct (51.7%) followed by availability of a bush hence pointed that they did not need one (48.3%). The trend and reasons did not change after

the intervention (P=0.242) as geophysical and affordability was still identified as the main set back to having a toilet in the households. This is as detailed in Table 1: -

Table 1: Reason for not having toilet facility (2007 and 2008)

		Reasons for no toilet facility after intervention (2008)		Total
		geophysical & economic constraint	Do not need one	
Reasons for no toilet facility at baseline (2007)	geophysical and economic constraints	36.4% (104)	15.4% (44)	51.7% (148)
	Do not need one	(30.8%) 88	17.5% (50)	48.3% (138)
Total		67% (192)	32.9% (94)	100% (286)
X ² =1.368; P=0.242				

4.3 Knowledge and Practices of Sanitation and Hygiene Before and After Intervention

This part considered changes in latrine management, drinking water handling methods and hand-washing practices among mothers before and after the intervention.

4.3.1 Change in Knowledge of latrine importance

There was a slight increase in the proportion of population that regarded latrines as important from 70% in 2007 to 80.2% in 2008. This noted change was not of statistical significance ($\chi^2=1.196$ P=0.274). The breakdown in Table 2;

Table 2: Cross-tabulation of whether latrine is important in 2007 and in 2008

Is latrine important	2007 Count (% of Total)	2008 Count (% of Total)	χ^2	P-value
Yes	205 (70%)	235 (80.2%)	1.196	0.274
No	88 (30%)	58 (19.8%)		

Regarding change in latrine importance, those who associated latrine with reduction in diseases increased from 44.3% to 62.3%. The study expectation was that respondents would associate latrines with disease prevention which was the focus of sanitation promotion. The noted change was of no statistical significant ($\chi^2 = 8.588$, $P=0.476$) and details are as outlined in the Figure 9: -

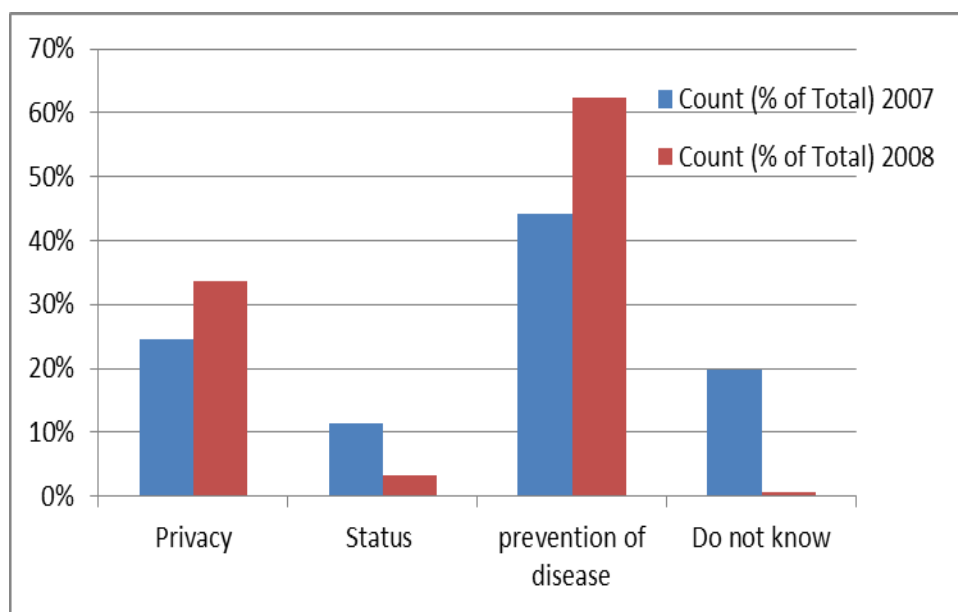


Figure 9: Distribution of knowledge on latrine importance (2007 and 2008)

Regarding change in knowledge on diseases associated with drinking contaminated water, it was noted that knowledge on typhoid increased from 8.7% in 2007 to 44.2%,

cholera from 27.3% to 37.7%. More over that of general diarrhoeal diseases reduced from 20.3% to 6.1% owing to the enhanced awareness that diarrhoea diseases are not necessarily due to unsafe water but to general hygiene and sanitation factors including hand washing with soap. The overall change was however not statistically significant ($P=18.7$, $\chi^2=.768$), details are as shown in Table 3: -

Table 3: Knowledge on diseases associated with drinking unsafe water

		Disease associated with unsafe water (2008)							Total
		Typhoid	Cholera	intestinal worms	Diarrhoea	Malaria	URTI	HIV/AIDS	
Diseases associated with unsafe water (2007)	Typhoid	10 (4.3%)	6 (2.6%)	1 (0.4%)	1 (0.4%)	1 (0.4%)	1 (0.4%)	0	20 (8.7%)
	Cholera	28 (12.1%)	24 (10.4%)	2 (0.9%)	6 (2.6%)	1 (0.4%)	1 (0.4%)	1 (0.4%)	63 (27.3%)
	intestinal worms	33 (14.3%)	23 (10%)	2 (0.9%)	3 (1.3%)	3 (1.3%)	1 (0.4%)	2 (0.9%)	67 (29%)
	Diarrhoea	22 (9.5%)	20 (8.7%)	1 (0.4%)	1 (0.4%)	2 (0.9%)	1 (0.4%)	0	47 (20.3%)
	Malaria	9 (3.9%)	14 (6.1%)	3 (1.3%)	3 (1.3%)	4 (1.7%)	1 (0.4%)	0	34 (14.7%)
Total		102 (44.2%)	87 (37.7%)	9 (3.9%)	14 (6.1%)	11 (4.8%)	5 (2.2%)	3 (1.3%)	231 (100%)

4.3.2 Change in hand washing practice

Washing of hands in the three universally accepted critical times, namely; after visiting a toilet, before cooking and before eating was also evaluated. Regarding change in hand washing practice in Turkana District, those who wash hands regularly increased from 73.5% to 91.3%. The detailed distribution is as shown in the Table 4: -

Table 4: Change in hand washing prevalence (2007 and 2008)

		Hand washing frequency 2008			
		Yes Count (%)	No Count (%)	Not always Count (%)	Total Count (%)
Hand washing frequency 2007	Yes	205 (68.8%)	6 (2%)	8(2.7%)	219 (73.5%)
	No	14 (4.7%)	0 (0.0%)	3 (1%)	11 (5.7%)
	Not always	53 (17.8%)	3 (1%)	6 (2%)	62 (20.8%)
	Total	272 (91.3%)	9 (3%)	17 (5.7%)	298 (100.0%)

On the above observed changes in hand washing in 2007 and 2008, the overall change was slightly significant ($\chi^2=9.34$, $P=0.053$).

The results of hand-washing practice by age showed that there were changes across age-groups. The most significant change was observed in age group 36-45 years, whereby the proportions increased from 66.7% to 88.9% ($\chi^2 =10.014$, $P=0.04$). Some improvements were noted in other age groups but were not statistically significant. In age group 14-25 years, the proportion of those who wash hands regularly increased from 83.5% before intervention to 94.9% after intervention ($\chi^2 =0.515$, $P=0.773$). Hand-washing practice also improved in age group 26-35 years, with those who wash hands regularly increasing from 76.9% in 2007 to 93.5% in 2008 ($\chi^2 =1.369$, $P=0.849$). In age group above 46 years, the proportion increased from 58.3% to 83.3%. The detailed distribution is as shown in Table 5: -

Table 5: Change in hand washing in 2007 and 2008, grouped by age group

Washing of hands by age group		2007 Count (% of Total) n=298	2008 Count (% of Total) n=298	χ^2	P-value
14-25yrs (n=79)	Yes	66 (83.5%)	75 (94.9%)	0.515	0.773
	No	2 (2.5%)	0 (0%)		
	Not always	11 (13.9%)	4 (5.1%)		
26-35yrs (n=108)	Yes	83 (76.9%)	101 (93.5%)	1.369	0.849
	No	7 (6.5%)	3 (2.8%)		
	Not always	18 (16.7%)	4 (3.7%)		
36-45yrs (n=63)	Yes	42 (66.7%)	56 (88.9%)	10.014	0.04
	No	5 (7.9%)	2 (3.2%)		
	Not always	16 (25.4%)	5 (7.9%)		
Over 46yrs (n=48)	Yes	28 (58.3%)	40 (83.3%)	4.105	0.392
	No	3 (6.3%)	4 (8.3%)		
	Not always	17 (35.4%)	4 (8.3%)		

4.3.3 Change in presence of hand washing practice

Presence of hand washing soap in the house was used as a proxy indicator to handwashing practices. It was observed that within respondents with no education, soap presence increased from about 65% to 78%, those with primary education increased from about 58% to 69% while hand washing soap presence in households among those with post-primary education increased from about 68% to 81%. This is as shown in Table 6: -

Table 6: Presence of soap in the house in 2007 and 2008, grouped by education level

Presence hand washing soap		2007 Count (% of Total)	2008 Count (% of Total)	χ^2	P-value
No education	Yes	68 (65.4%)	81 (77.9%)	3.871	0.049
	No	36 (34.6%)	23 (22.1%)		
Primary	Yes	74 (57.8%)	89 (69.5%)	0.031	0.860
	No	54 (42.2%)	39 (30.5%)		
Post-primary	Yes	44 (67.7%)	53 (81.5%)	0.359	0.549
	No	21 (32.3%)	12 (18.5%)		

The changes on presence of soap in house in 2007 and 2008 observed above shows that those with no education was significant ($\chi^2=3.87$, $P=0.049$) hence not likely to have been by chance whereas that of those with primary or post primary education might be attributed to chance error ($P>0.05$).

4.3.4 Change in storage of drinking water practices

Regarding storage of drinking water practices, in Kakuma Division, those who kept drinking water from closed containers increased from 35.5% to 80.3%. In Lodwar Central, they increased from 37.7% to 77.5% while in Lokichogio, they increased from 39.5% to 80.3%. The detailed distribution is as shown in Table 8: -

Table 7: Drinking water storage in 2007 and 2008, grouped by Division

Storage of water for drinking		2007 Count (% of Total)	2008 Count (% of Total)	χ^2	P-value
Kakuma	open container	49 (64.5%)	15 (19.7%)	1.967	0.161
	closed container	27 (35.5%)	61 (80.3%)		
Lodwar Central	open container	86 (62.3%)	31 (22.5%)	0.953	0.329
	closed container	52 (37.7%)	107 (77.5%)		
Lokichogio	open container	46 (60.5%)	15 (19.7%)	1.503	0.220
	closed container	30 (39.5%)	61 (80.3%)		

The changes noted above in drinking water storage were not statistically significant ($P>0.05$), hence may be attributable to chance error.

4.3.5 Change in handling and disposal of children faeces

The study also assessed methods of disposal of children faeces by observations and interviews. At baseline, 61.8% (173) of the respondents disposed their children faeces in the open by crudely throwing it in the compound or in the nearby bush. After the intervention, open disposal of children faeces by the mothers decreased significantly to 43.2% (121). Very few mothers buried or covered the faeces with soil at baseline (38.2%), however the practice changed significantly after intervention with 56.8% of the mothers covering the faeces of their children with soil. This is as detailed in Table 9:

-

Table 8: Way of disposing off children faeces

		Way of disposing off faeces (2008)		Total
		Leave it in the yard and do nothing	Bury it	
Way of disposing off faeces (2007)	Leave it in the yard and do nothing	25% (70)	36.8% (103)	61.8% (173)
	Bury it	18.2% (51)	20% (56)	38.2% (107)
Total		43.2% (121)	56.8% (159)	100% (280)

The study also assessed faecal contamination within the compound mainly through spot observations. There was some non-statistically significance improvement against indiscriminate disposal of faeces in the compound after the intervention. Prior to the intervention, it was observed that 131 (43.7%) of the compounds were littered with faeces compared to 95 (31.7%) after intervention. This is as detailed in Figure 10: -

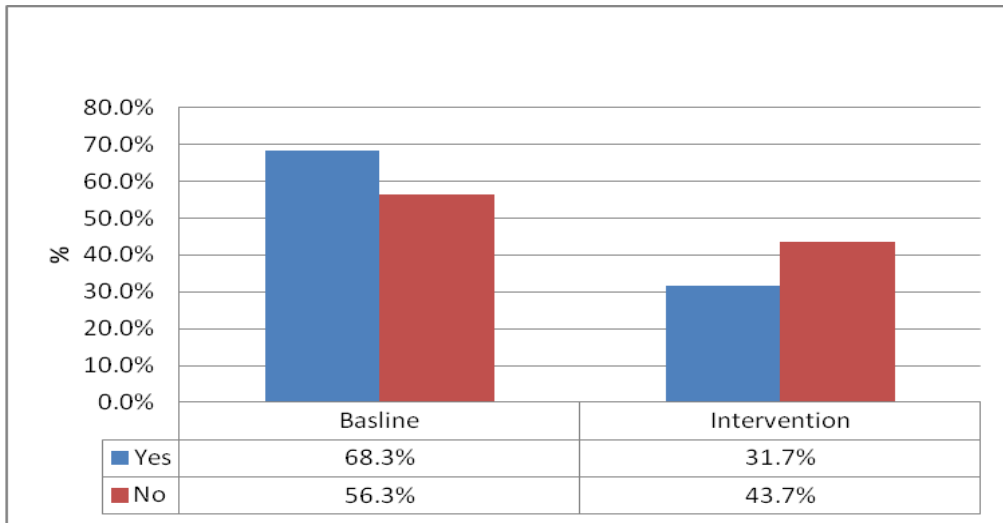


Figure 10: Contamination of compound with faecal matter

4.4 Change in Health Outcome as Measured by Surrogate Parameters

The results in this section detail the observed changes in the quality of drinking water at the point of use as measured by chlorine level, faecal coliform counts, changes in faecal oral transmitted diseases, changes in microbes in stool of children aged below five years.

4.4.1 Chlorine level and Faecal Coliform Count before and after intervention

As an indicator and measure to reduced risk of ill health, residual chlorine test was carried out in households that claimed to be treating their water or consuming treated water. Chlorine level of less than 0.2 mg/l as cut off was regarded low and in-effective against harmful microbes; levels of chlorine of more than 0.2 mg/l in water were regarded effective in protection against bacterial contamination. Overall, there was marginal change in proportion of households whose drinking water had residual chlorine of more than 0.2 mg/l; in Kakuma, the proportion of households whose drinking water had residual chlorine of more than 0.2 mg/l increased from 12.9% in

2007 to 22.6 in 2008. Similar marginal changes were noted for Lodwar Central and Lokichogio Divisions as shown in Table 10: -

Table 9: Chlorine Level in 2007 and in 2008

Chlorine Level		2007 Count (% of Total)	2008 Count (% of Total)	χ^2	P-value
Kakuma	>0.2 mg/l	8(12.9%)	14(22.6%)	2.679	0.102
	<0.2 mg/l	54 (87.1%)	48 (77.4%)		
Lodwar Central	>0.2 mg/l	10(15.6%)	9(14.1%)	0.162	0.687
	<0.2 mg/l	54 (84.4%)	55 (85.9%)		
Lokichogio	>0.2 mg/l	10(16.1%)	11(17.7%)	0.042	0.838
	<0.2 mg/l	52 (83.9%)	51 (82.3%)		

The proportion of households which had water with chlorine levels above 0.2 mg/l marginally increased though the changes recorded were not statistically significant. These observations are consistent with field expectations since there were no direct interventions targeting increased chlorine use. This was by design so as to avoid possible confounding effects on faecal coliform count arising from the known effects of chlorine in reducing diarrhoea related diseases as compared to changing mothers' behaviour.

Regarding observed changes in faecal coliform counts per 100 ml sample, there were significant variations between 2007 and 2008. The reduction in mean faecal coliform counts was notable in all divisions; in Kakuma, mean faecal coliforms per 100 ml sample reduced from 72.6 to 26.2 colony units, similar results were recorded in Lodwar

Central where the number reduced from 83.7 to 22.6 units, while in Lokichogio Division, the number reduced from 51.6 to 22.6 units. This is as shown the Table 11: -

Table 10: Paired Samples Statistics on faecal coliforms per 100 ml sample, grouped by Division

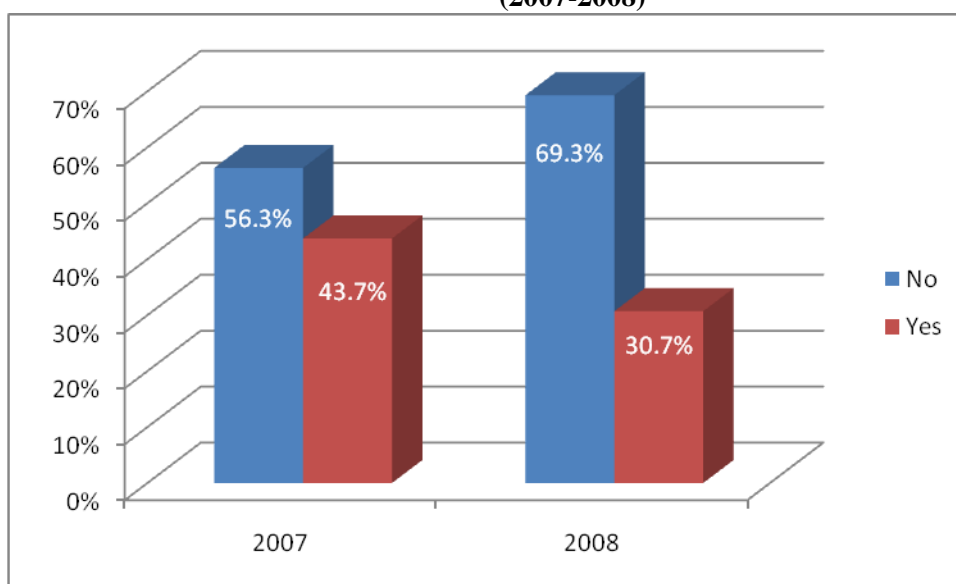
Division		N	Mean	Std. Deviation	95% CI		Sig. (2-tailed)
					Lower	Upper	
Kakuma	faecal coliforms in 100 mls in baseline	62	72.58	102.9	46.44	98.72	0.005
	faecal coliforms in 100 mls in post intervention	49	26.18	24.9	19.02	33.35	
Lodwar Central	faecal coliforms in 100 mls in baseline	61	83.75	113.1	54.78	112.73	0.003
	faecal coliforms in 100 mls in post intervention	79	22.59	21.5	17.79	27.40	
Lokichogio	faecal coliforms in 100 mls in baseline	60	51.62	68.4	33.94	69.29	0.006
	faecal coliforms in 100 mls in post intervention	39	22.59	16.7	17.16	28.02	

The table above shows that there were significant differences in proportion of sample with faecal coliform count at baseline (2007) and after intervention (2008).

4.4.2 Diarrhoea prevalence in children aged less than 5 years (before and after intervention)

The overall prevalence of diarrhea among children a month before the study reduced from 43.7% in 2007 to 30.7% in 2008. This is shown in Figure 11 below: -

Figure 11: Diarrhoea in children in the last one month prior and after the intervention (2007-2008)



There was consistent reduction in diarrhoea among children as reported by mothers and by level of education of mothers. Children of mothers with no education reported about 10% reduction in diarrhea (42.9% in 2007 to 35 (33.3%) in 2008, those of mothers with primary education reducing from 48 (37.2%) in 2007 to 41 (31.8%) in 2008, while those of mothers with post-primary education reducing from 38 (57.6%) in 2007 to 16 (24.2%) in 2008. This is detailed in the Table 12: -

Table 11: Cross-tabulation on child diarrhoea 1 month prior to study baseline (2007) and diarrhoea 1 month prior to study end-line (2008) by Education level of the respondent

Did child have diarrhea 1 month prior to study		2007 Count (% of Total)	2008 Count (% of Total)	χ^2	P-value
No education	No	60 (57.1%)	70 (66.7%)	0	1
	Yes	45 (42.9%)	35 (33.3%)		
Primary	No	81 (62.8%)	88 (68.2%)	0.01	0.920
	Yes	48 (37.2%)	41 (31.8%)		
Post-primary	No	28 (42.4%)	50 (75.8%)	4.846	0.028
	Yes	38 (57.6%)	16 (24.2%)		

As depicted in Table 15 above, the noted reduction in prevalence of diarrhoea increased with education. The observed changes were only statistically significant for the children of mothers with post-primary education ($\chi^2=4.85$, $P=0.028$).

4.4.3 Diarrhoea related microbes in children stool before and after intervention

A total of 230 stool specimens from children aged less than five years were examined at baseline and one year thereafter (end-line). From the 230 stool samples examined, the proportion of children from whom infectious pathogens of *Proteus spp.* was isolated, reduced from 30 (16%) to 16 (7%), *E. coli* reduced from 125 (54%) to 95 (41%) while *salmonella spp.* increased from 6 (1%) to 19 (8%). Specimen outside from children aged more than five years where excluded, they increased from 18 (8%) to 62 (27%).

The detailed distribution of the microbes is as shown in Figure 12: -

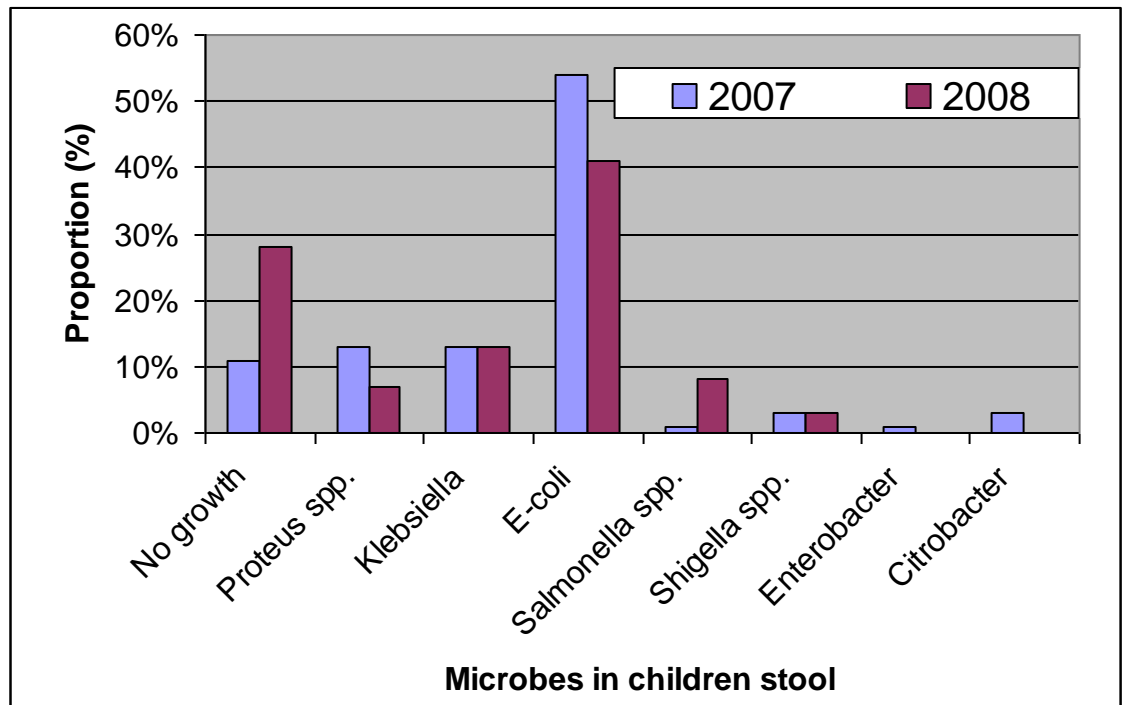


Figure 12: Distribution of Microbes in Stool specimens from Children Aged Less Than 5 Years at baseline and End line

Overall, prevalence of diarrhoea related microbes in children aged less than five years reduced from 91.3% in 2007 to 78.3% after intervention (2008); this is as depicted in Figure 13: -

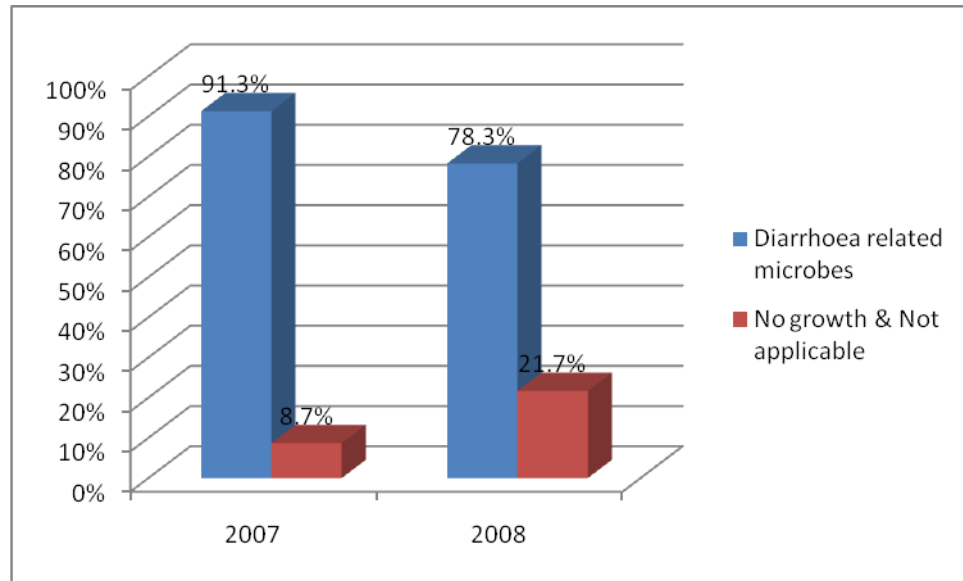


Figure 13: Diarrhoea related microbes in children stool at baseline and endline (2007-2008)

However, it is clear from the statistics that there was no significant change in diarrhoea related microbes in children stool before and after intervention ($\chi^2=47.32$, $P=0.5$).

4.4.4 Prevalence of Bacterial and Parasitic organisms in children aged less than 5 years

The prevalence of bacterial and parasitic organisms from the stool of children aged less than five years was assessed through laboratory examination of stool samples in the laboratory. The results of the findings were as shown Table 13;

Table 12: Ova and Cyst and Bacterial and parasitic pathogens in stool

Ova and Cyst in stool	2007	2008	Chi-square	P-value
	Frequency (%)	Frequency (%)		
A Lumbricoides	10(3.5)	6(2.1)	0.1	0.74
E histolytica trophozoite	23(8.1)	12(4.1)	0.25	0.62
Giardia lamblia Trophozoite	10(3.5)	1(0.4)	0.35	0.85
T. trichuris	1(0.4)	0		
No Cyst/Ova	224(78.6)	262(90.03)	4.1	0.04
Salmonella	15(5.3)	3(1.0)	8	0.005
Shigella	2(0.7)	7(2.4)	2.78	0.096
Total	285 (100)	291 (100)		

From the 285 and 291 stool samples examined at baseline and after intervention respectively, potential infectious pathogen was isolated from 61 (21%) of the children at baseline and 29 (10%) of the children after intervention. Bacterial pathogens were isolated from 17 (6.0%) of the children at the baseline study and 10 (3.4%) of the children after intervention. Parasitic pathogens were isolated from 34 (15.5%) of the children at baseline and 19 (6.6%) of the children after intervention.

4.5 Linkages between mother's behaviour and targeted health improvement indicators

4.5.1 Relationship between mother's behavioural factors and Faecal coliform Count

After establishing the significant variables related to health promotion role in improving mothers and children health, it was necessary to explore the statistical strengths of such variables in influencing the said outcome. This sub-section applies multivariate analysis

to explain the nature of such independent variables and quantifies their strengths in influencing the expected health outcome (dependent variable). To achieve this, regression models were applied.

As shown in Figure 14, 65.7% of the population did not have faecal coliform in their drinking water samples.

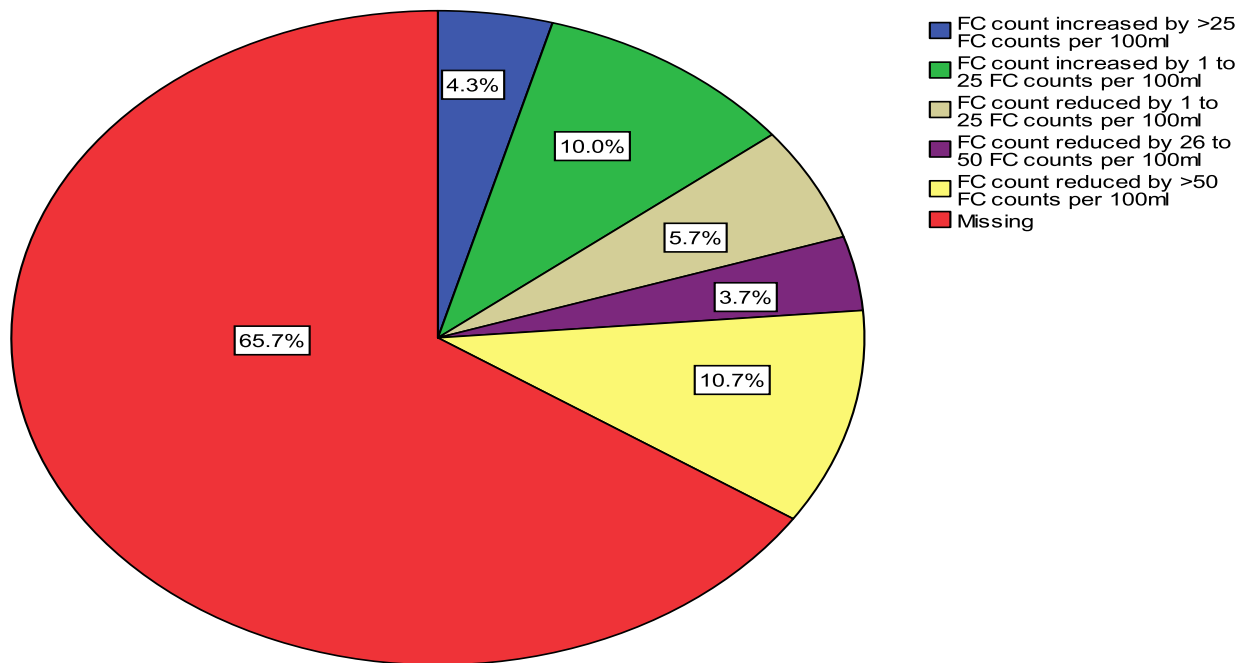


Figure 14: Change in faecal coliform count between 2007 and 2008

For comparative change (2007 and 2008), 20.1% of the households had faecal coliform reduction while 14.3% had FC increase and the rest had no report for various reasons including many children not able to produce stool. Out of the 91 respondents who

reported change in faecal coliform count per 100 ml of drinking water, majority (10.7%) had FC reductions of more than 50 units as compared to 10% with FC increase of 1 to 25 units per 100 ml drinking water sample. For 91 respondents who reported change in faecal coliform count per 100 ml of drinking water, the nature and strength of association with previously noted modifiable behavioural variables was explored through linear regression modelling. Notably, the resulting model had an R-square of 39.6% (P=0.048). This means that about 40% of the observed change in faecal coliform count per 100 ml of drinking water could be explained or attributed to the observed change in mothers behaviour. The specific modifiable risk factors under mothers behaviour are as summarized in Table 14: -

Table 13: Resulting model Coefficients on association between FC change and modifiable behavioural risk factors

Dependent Variable: Faecal Coliform count per 100ml (change)	Unstandardized Coefficients		Standardized Coefficients	t	Sig.	95% Confidence Interval for B	
	B	Std. Error	Beta			Lower Bound	Upper Bound
(Constant)	1.724	1.043		1.653	.112	-.439	3.887
Age of the respondent	0.612	.233	0.412	2.624	.015	0.128	1.096
Reasons for no toilet facility (2007)	0.749	.241	0.508	3.107	.005	0.249	1.248
Do you have a toilet facility (2007)	-1.662	.751	-.365	- 2.211	.038	-3.220	-.103

Resulting model: $Y=1.7 + 0.41X_1 + 0.051X_2 - 0.36X_3$

Where; $Y=FC\ count/100ml\ (change)$,

$X_1= age\ of\ Respondent$, $X_2= reason\ for\ no\ toilet\ in\ 2007$, $X_3=Presence\ of\ toilet\ facility\ in\ 2007$

Indicatively, the faecal coliform count can be determined (predicted) using key risk factors which are namely; age of Respondent ($P=0.015$), reason for no toilet in 2007 ($P=0.005$), and presence of toilet facility in 2007 ($P=0.038$). The resulting model was highly suitable as shown by the test of residuals represented by the histogram and normal probability plots shown in Figure 15: -

Normal P-P Plot of Regression Standardized Residual

Dependent Variable: Faecal Coliform count per 100ml (change)

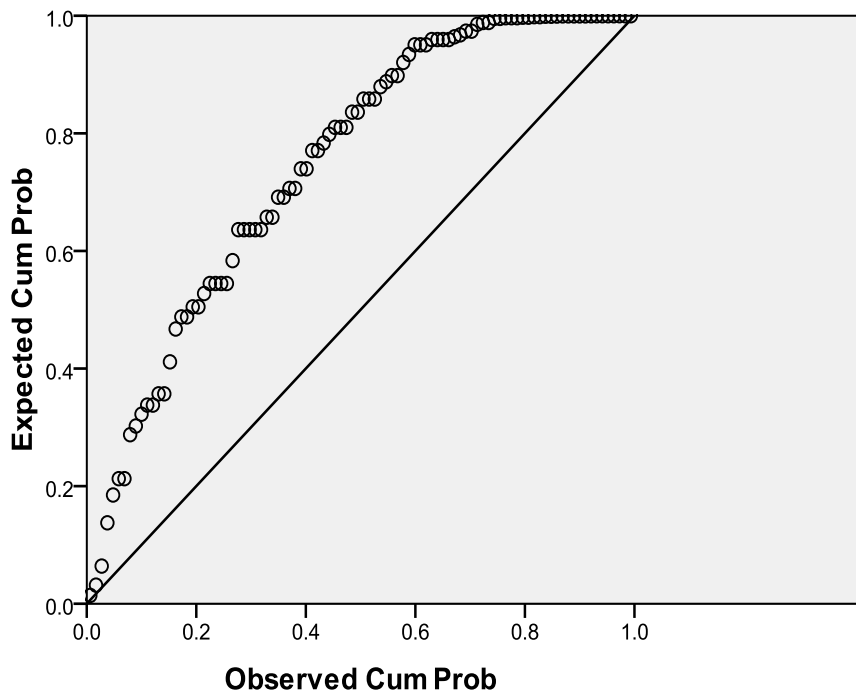


Figure 15: Normal probability plots of residuals

The model as depicted above indicates that the observed residual probabilities are highly correlated to those resulting from the model hence an indication of model good-fit to the observed data as shown in Figure 16: -

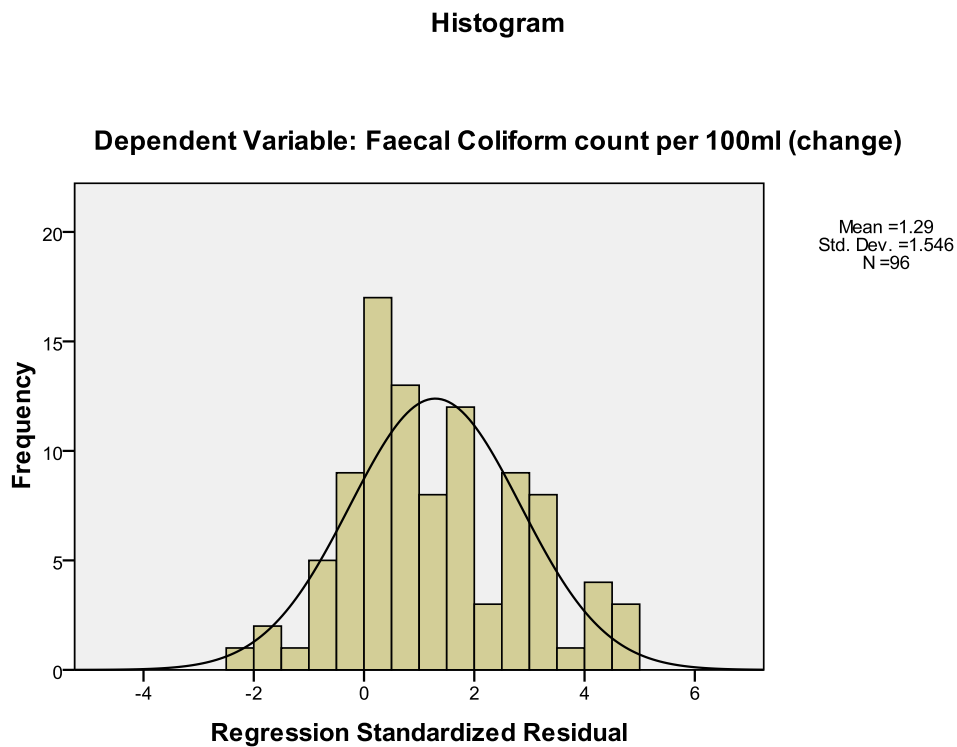


Figure 16: Histogram on dispersion of residuals

As an indicator of model appropriateness, the residuals (un accounted variability by the model) are randomly distributed hence fit in a normal distribution curve as shown above.

4.5.2 Relationship between mother’s behavioural and related factors and diarrhoea microbes in children stool

From a multi-variate regression using forward stepwise removal (likelihood ratio), the association between the noted reduction of diarrhoea related microbes in children stool of 91.3% in 2007 to 78.3% after intervention (2008) is based on the modifiable risk factors outlined in Table 15: -

Table 14: Association between microbes in children stool, mother’s behaviour and related factors

Variables in the Equation								
	B	S.E.	Wal	d	Sig.	Exp(B)	95% C.I. for EXP(B)	
			d	f			Lower	Upper
Age	-0.54	0.45	1.46	1	0.227	0.58	0.24	1.40
Education	1.95	0.91	4.56	1	0.033	7.02	1.17	41.92
Toilet’08	-4.29	1.88	5.22	1	0.022	0.01	0.00	0.54
Distance’08	3.39	1.17	8.37	1	0.004	29.71	2.99	295.60
Water sources;			9.91	3	0.019			
Sources(Open well)	7.28	2.34	9.65	1	0.002	1456.06	14.70	144253.71
Sources(spring)	-.12	1.27	0.01	1	0.928	0.89	0.07	10.66
Sources(river)	2.82	1.34	4.40	1	0.036	16.71	1.20	232.32
Treatment’08	3.31	1.34	6.13	1	0.013	27.40	1.99	376.87
Storage’08	-2.44	1.33	3.35	1	0.067	0.09	0.01	1.19
Constant	-32.98	8182.10	0.00	1	0.997	0.00		
Resulting model: $Y = -32.98 - 0.54x_1 + 1.95x_2 - 4.29x_3 + 3.39x_4 + (\text{coeff. of applicable water source})$								
Where; Y= Microbe in stool in 2008 (Reclassified),								
$X_1 = \text{age of Respondent}, X_2 = \text{education level of respondent}, X_3 = \text{Presence of toilet facility in 2008}, X_4 = \text{distance from toilet facility in 2008}$								

The driving factors to diarrhoea related microbes in children stool could thus be linked strongly to mothers behavioural characteristics; namely mother’s education, toilet

availability, distance to toilet, source of drinking water, treatment of drinking water and storage of the drinking water. The strength of the model as judged by its ability to classify correctly whether the respondent's child stool has diarrhoeal related microbe or not is judged from its overall positive predictive value (PPV) which in this case was 92.7% as shown in Table 16: -

Table 15: Classification table on the association between microbes in children stool and mothers behaviour

Observed		Predicted		
		Microbe in stool in 2008 (Reclassified)		Percentage Correct
		Diarrhoeal related microbes	No growth/Not applicable	
Microbe in stool in 2008 (Reclassified)	Diarrhoeal related microbes	65	2	97.0
	No growth/Not applicable	4	11	73.3
<i>Overall Percentage</i>				92.7

The above table shows that the microbes in stool prediction model was able to correctly predict 97% of the samples with diarrhoeal related microbes (sensitivity) and 73.3% of samples without diarrhoeal related microbes (specificity) with an overall PPV of 92.7%.

4.5.3 Association between modifiable behavioural and related factors and diarrhoea prevalence in children aged less than 5 yrs

From binary logistic regression using forward stepwise removal (likelihood ratio), the association between the noted diarrhoea prevalence reduction from 43.7% (in 2007) to 30.7% after intervention (2008) in children aged less than five years is based on the modifiable risk factors outlined in Table 17: -

Table 16: Association between modifiable behavioural and related factors and diarrhoea in children aged less than 5 Years

<i>Variables in the equation/predictors</i>	B	S.E.	Wald	df	Sig.	Exp(B)	95% C.I. for EXP(B)	
							Lower	Upper
Diarrh2007	2.247	1.029	4.770	1	0.029	9.459	1.259	71.049
education	-1.770	.698	6.429	1	0.011	.170	.043	.669
structure	3.959	1.267	9.765	1	0.002	52.416	4.375	627.952
Latrine08	3.322	1.587	4.381	1	0.036	27.719	1.235	621.926
clorine08	-6.759	3.507	3.713	1	0.054	.001	.000	1.123
Constant	-5.147	2.602	3.914	1	0.048	.006		

Resulting model: $Y = -5.15 + 2.25_{X1} - 1.77_{X2} + 3.96_{X3} + 3.32_{X4} - 6.76_{X5}$

Where; $Y = FC \text{ count}/100ml \text{ (change)}$,

$X_1 =$ Diarrhea prevalence in 2007, $X_2 =$ education level of respondent, $X_3 =$ latrine structure
 $X_4 =$ Latrine presence in 2008, $X_5 =$ latrine Chlorine level in 2008

The risk factors for diarrhea in children aged less than five years after intervention (2008) are mainly behavioural characteristics; namely if the child had diarrhoea at baseline (2007), mother's education, latrine availability, latrine structure and chlorine level in the drinking water after the intervention (2008).

The strength of the associative model as judged by its ability to classify correctly whether children aged less than five years suffered from diarrhoea episode or not is judged from its overall positive predictive value (PPV) which in this case was 77.8% as shown in Table 18: -

Table 17: Classification table on the association between sanitation and hygiene promotion and diarrhoea in children aged less than 5 Years

Observed			Predicted		
			Did child have diarrhoea 1 month prior to study (2008)		Percentage Correct
			No	Yes	
Step 5	Did child have diarrhoea 1 month prior to study (2008)	No	17	6	73.9
		Yes	4	18	81.8
Overall Percentage					77.8
a. The cut value is 0.5					

The table above shows that the diarrhoea predictive model was able to predict 73.9% of the non-diarrhoeal (specificity) related samples correctly and 81.8% of the diarrhoea samples (sensitivity) correctly with an overall PPV of 77.8%.

CHAPTER FIVE

5.0 DISCUSSION, CONCLUSION AND RECOMMENDATIONS

5.1 Discussion

The function of hygienic behaviour is to prevent the transmission of the agents of infection. In a previous study, effects of improved environmental sanitation conditions and hygiene practices on preventing occurrence of diarrhoea among children under five years included washing and purifying fruits and vegetables; domestic water reservoir conditions; faeces disposal, presence of vectors in the house and flooding in the lot (Heller *et al.*, 2003).

This study compares with that of Heller *et al.*, 2003. The study intervention was to change mothers sanitation and hygiene behaviour and practices to impact on improving children health in Turkana District. The targeted behaviour and practices included: House hold water treatment and safe storage, Hand washing with soap, Provision and use of latrine and safe disposal of children faecal matter. These interventions were targeting mothers of children under five years of age whose outcome was envisioned to be reduction of diarrhoeal among children under five years in the study area. Two approaches were used to deliver the interventions; Community led total sanitation (CLTS) and Participatory hygiene and sanitation transformation (PHAST).

This part of the report delve into the possible reasons in support of key findings on mother's behaviour and influence on the health of children aged less than five years in Turkana District and possibly other areas of similar socio-economic constraints. This was by comparing the findings with previous studies undertaken elsewhere.

5.1.1 Changing community sanitation and hygiene knowledge and practices

Inadequate sanitation is a major cause of infectious diseases globally and improving sanitation is known to have a significant beneficial impact on health both at household level and across communities. Provision of latrines as a measure to prevent communicable disease cannot thus be over emphasized and one of the interventions for this study was provision of basic latrines under the community total led sanitation (CLTS). The communities' knowledge on importance of latrines in this regard seems not to have increased significantly as expected with a significant number giving privacy as the priority reason for use of latrine 33.7% of the respondents compared to 62.3% who associated latrines with disease prevention.

Socio-cultural and contextual factors such as, low socioeconomic status, low education levels, social instability and gender disparities can lead communities to compromise in hygiene and sanitation issues. Turkana District has acute water shortage and many communities in the District experience the negative effects associated with this inadequacy. This scenario has greatly led to the deterioration of sanitation and hygiene services leading to poor health and physical devastation. As Van Wijk-Sijbesma (1998) asserts, participation of women in health interventions in water scarce areas can bring distinct

benefits to water sanitation and hygiene as a whole. Therefore, in an effort to improve health status in a more sustainable way in this study a number of interventions were designed and evaluated targeting women.

In this study, majority of the respondents were middle aged mothers of between 26 to 35 years (36.3%) and the highest proportion of them had primary school level education (43%). For this study, various gains were recorded between the baseline (2007) and post-intervention (2008). Amongst the gains were that baseline findings established that majority of the respondents disposed their children's waste in the open, but that changed significantly after the intervention. Specifically, latrine coverage by type changed significantly, with the number of traditional pit latrine household ownership increasing from 45.5% to 63.6% as opposed to ventilated improved pit latrine household ownership that fell from 54.5% to 36.4% ($\chi^2=4.43$, $P=0.035$).

In a similar study undertaken to determine whether a large 3-year hygiene promotion programme in Bobo-Dioulasso, Burkina Faso was effective in changing behaviours associated with the spread of diarrhoeal diseases, some notable gains included safe disposal of children's stools, that increased from 80% at pre-intervention (1995) to 84% post (1998). There was reduced prevalence of diarrhoea and improved general health status of children aged less than five years.

The observed health gains are associated with differences in community involvement and the participatory approach adopted. The Turkana study interventional approach involved Participatory Hygiene and Sanitation Transformation (PHAST) that integrated the principles of Community Led Total Sanitation (CLTS). CLTS basically trigger communities into demanding hygiene and sanitation facilities. The key challenge in implementation of effective sanitation and hygiene is the up-scaling in the local communities and domesticating the tools to suit the local conditions. As reported by Kamal Kar (2003), in India, use of PHAST is highly effective when integrated with CLTS programs that seek to create a sense of disgust and shame against open excreta disposal.

However, there are many challenges in implementation of effective sanitation and hygiene interventions especially up-scaling it in local communities and domesticating the tools to suit local conditions in resource poor areas. This study had similar challenges in that there were varying socio-economic strata of the beneficiary community. Specifically, there are several socioeconomic and cultural cross cutting factors which affect the availability and the type of the toilets within the households. Lack of money to purchase the hardware was the main reason for not having a toilet. Responsive approaches allow communities in different socio-economic groups to express their social, economic and environmental demands; though incentives to sanitation, hygiene, value and honour should be viewed in commensurate to the community's development status.

In the developing world today, diarrheal diseases are amongst the leading causes of child mortality and it has been shown that the simple act of washing hands with soap can decrease diarrhea risk by almost half. A study on hand washing practice conducted in Korea noted that out of the 942 students who participated there was a 30.3% increase in hand washing an improvement of one carried out one year earlier. (Jae-Hyun Park *et al.*, 2010). In Turkana, targeted interventions recorded significant gains in the habit of hand washing practice. In Lodwar Central, those who wash hands regularly increased from 85.5% to 89.9% ($\chi^2=10.85$, $P=0.028$). In Lokichogio Division, the proportions increased from 77.5% to 93.8% ($\chi^2=15.56$, $P=0.004$) whereas in Kakuma Division, hand washing practice increased from 48.8% to 91.3% ($\chi^2=7.28$, $P=0.122$). These results show that targeted interventions aimed at increasing hand washing practice should be encouraged across all communities irrespective of their socio-economic strata.

In addition, presence of soap in households changed between 2007 and 2008 with that of those with no education increasing from 65.4% to 77.9% ($\chi^2=3.87$, $P=0.049$). These findings are comparable to those of the Bobo-Dioulasso, Burkina Faso study that noted presence of soap increased hand-washing with soap during critical times such as after cleaning a child's bottom, which rose from 13% to 31% (Sidibe and Curtis, 2002). Similarly, the proportion of mothers who washed their hands with soap after using the latrine increased from 1% to 17%. However, it is also notable that both the Burkina Faso study and Turkana study checked on hand-washing during critical times including before eating any meal.

The promotion of hygienic behaviour especially hand washing has been identified as a public health intervention likely to have considerable impact in the reduction of diarrhoeal diseases in young children in developing Countries (McLennan, 2000). While washing hands at critical times is accepted as an effective intervention against diarrhoeal disease, evidence is also now growing for its effectiveness against respiratory infections (Cairncross, 2003). Based on the results of the Turkana study, up-scaling of hand washing with soap practice is highly recommended, especially using the germ theory to promote healthy behaviour.

This study reveals that hand washing practice increased exponentially with age. Significant improvements was observed in the age group between 36 and 45 years of age with increase from 66.7% in 2007 to 88.9% in 2008 ($\chi^2=10.01$, $P=0.04$). This observation had protective effect especially among the child bearing group for their own hygiene and that of their small children.

These results compares well with other studies by the World Bank, Water and Sanitation programme in Cambodia which have shown that health improvement can be easily registered in resource constraint communities by applying different approaches and solutions (WSP, 2002). Although the results of the post intervention measures showed that there was increase in hand washing, sustainability of the activities is unpredictable in the event of prolonged drought leading to inadequate water.

5.1.2 Changing community health outcome measures

The effectiveness of interventions like the ones noted above is usually measured by changes in behaviours, on the assumption that change in behaviour will usually be reflected in reduced morbidity and mortality (Curtis, 2003). The question of whether health education and hygiene promotion actually leads to reduction in disease burden in the community has always elicited mixed results. A paper on the experience of Bawku West District in Ghana noted that despite many efforts by both government and non-governmental organizations in providing water and sanitation infrastructure, health education and hygiene promotion, little had been achieved in reduction of water and sanitation related diseases or improvement in hygiene behaviours.

Mixed results noted in the approach above is first to establish whether there was any significant change in community related behavioural variables as well as health outcomes after the intervention. Contrary to the above observations, the Turkana study demonstrated significant changes in behaviour related variables including decrease in faecal coliform count in drinking water, and diarrhoea related microbes in children stool as biological proxy indicators of community health outcomes. Chlorine levels measured to control for possible confounding effects did not have significant differences before and after intervention. The differences between the Turkana study and that of Ghana may have arisen from the approaches employed. It may also be attributed to varying pre-existing levels of hygiene in the two districts besides differences in cultural and socio-economic

status. Community behaviour change is a function of many and complex variable combination and it is also possible that the two areas were totally different in many aspects.

In the study, the mean chlorine level was found to be the same in 2007 and 2008 (no significant difference) whereas mean faecal coliforms per 100ml drinking water sample varied significantly between 2007 and 2008. In Kakuma, mean faecal coliform count reduced significantly from 88 to 30.2 units ($P=0.005$), while in Lodwar Central, the coliform count reduced significantly from 91 to 17.3 units ($P=0.003$), and in Lokichogio Division, the coliform count reduced significantly from 63.8 to 23.6 units ($P=0.006$). For diarrhoeal related microbes in children stool, this study established that there was a reduction from 91.3% in 2007 to 78.3% after intervention. However, this study did not find any previous related publications measuring drinking water faecal coliform count nor diarrhoea related microbes in children stool before and after intervention in the same population hence difficulties in providing direct comparative findings.

5.1.3 Effectiveness of hygiene and sanitation interventions in changing mother's hygiene behaviour and improving child health

Although hygiene promotion is a tenet of many health programmes, doubts remain about its effectiveness. A previous review of over 500 articles on health education in developing countries that were published in 1987 found only three with satisfactory evidence of behaviour change or an impact on health.

According to this study, the reduction noted above in coliform count resulted from improvements in mother's behaviour and was strongly associated with an R-square of 39.6%. The three key influencing variables included; age of the mother ($P=0.015$), presence of latrine ($P=0.038$), and reasons given at baseline for not having latrine ($P=0.005$). This means that the three identified variables explain about 40% of the observed relationships between coliform count and mother's behaviour. This shows that any hygiene and sanitation promotion intervention should target women of mid-age parenthood between 26 to 35 years and should include all possible means to increase latrine ownership as well as change community perception on latrine ownership amongst other interventions since these factors contribute about 40% of faecal contamination of drinking water.

On the other hand, this study also established with high certainty (positive predictive value of 92.7%, $P<0.0001$) that certain mother's behavioural risk factors are key predictors of presence or absence of diarrhoea related microbes in children aged less than five years.

They are namely; mothers education level ($P=0.033$), toilet presence ($P=0.022$), distance to latrine ($P=0.004$), source of drinking water ($P=0.019$), treatment of drinking water ($P=0.013$) and drinking water storage ($P=0.067$). As previously noted, there are no comparative studies to date.

Ultimately, diarrhoea prevalence in children aged less than five years was found to be highly linked to mother's behavioural characteristics; namely,

1. If the child had diarrhoea at baseline (2007), it was highly likely that the same child would have diarrhoea at end line (after one year of intervention);
2. Mother's education, a factor that was not expected to change over the period of intervention;
3. Latrine availability and latrine structure which were core areas of intervention, hence shows that there was marked differences between those who had a latrine facility as compared to those who did not have the facility;
4. Chlorine level, indicating that though the chlorine levels did not change significantly between baseline and end line, there was protective effect against child diarrhoea for those who used chlorinated water.

The above four variables are hence core modifiable factors in any hygiene and sanitation promotion project.

5.2 Conclusions

This study found that most mothers in Turkana District were within 26 and 35 years age bracket and belonged to low socio-economic and education levels. This is a common phenomenon in many other communities and therefore the study results are likely to apply beyond Turkana District.

Sanitation and hygiene promotion based on the approach of PHAST was highly effective in changing sanitation and hygiene behaviour and practices of mothers when integrated to Community Led Total Sanitation (CLTS) programs that seek to create a sense of disgust and shame against open excreta disposal. The specific gains included increased latrine coverage by type, that changed significantly with the number of traditional pit latrine household ownership increasing by 18.1%, change in hand washing practice achieved a high of 42.5% in Kakuma Division with an overall 22% increase within the age group 36 to 45 years, presence of soap in households increased by 12.5% within the group who had no education.

There was overall significant improvement in community health outcomes before and after the intervention with comparative reduction in faecal coliform count in drinking water ranging from 40.2% to 73.7%. Similarly, diarrhoea related microbes in children stool reduced by 13%.

Hygiene and sanitation interventions were found to improve in changing mother's hygiene behaviour and improving child health by reducing faecal coliform count by

about 40% with age of the mother, presence of latrine and reasons given at baseline for not having latrine being the key determinants. On the other hand, diarrhoea related microbes presence or absence could be predicted with an overall 92.7% (97% for the diarrhoeal related samples and 73% for those with no microbes correctly identified by modeling) precision using core determinants/predictors as mothers education level, toilet presence, distance to latrine ($P=0.004$), source of drinking water, treatment of drinking water and drinking water storage container.

The results of the study confirms the first hypothesis that Sanitation and hygiene interventions have no effect in changing mother's knowledge in sanitation and hygiene before and after the intervention ($p=0.476$). The second hypothesis has been rejected after the results showed significant changes in hand washing practice Lokichogio $p=0.004$ and Central Lodwar $p=0.028$. The third hypothesis has also been rejected as Sanitation and hygiene interventions was seen to have effect in changing faecal coliform count in drinking water at the point of use before and after the intervention with a p value of <0.0005 . The fifth and sixth hypothesis were also rejected based on the fact that there was reduction in diarrhoeal among children less than five years before and after the intervention. Ultimately, it was strongly established that any hygiene and sanitation promotion project should consider diarrhoea at baseline, mother's education, latrine availability and structure and use of chlorine as the core predictors and modifiable factors against childhood diarrhoea.

5.3 Recommendations

1. **Skills training and enhancement at the household level within the community** – The study found that the community comprises of individuals of low socio-economic status and it would be appropriate to enhance their skills in human excreta disposal and household water treatment. Post intervention measures showed general improvement on excreta management and this should be scaled up or replicated in other communities.

- 1 **Interventions aimed at improving sanitation and hygiene in communities should always include targeted behaviour change interventions** -Adopt and upscale community based participatory approaches as used in this study to overcome sanitation and hygiene barriers in resource constrained communities by application of relevant participatory approaches such as CLTS and PHAST.

- 2 **Multiple targeted interventions focused to resolve the barriers to sanitation and hygiene should be implemented** - Based on whatever barriers defined by different communities, multiple high impact interventions applied in this study including hand washing with soap, household water treatment and safe storage and improved human waste disposal facilities should be implemented complimentarily. This ensures needs based approach to curbing sanitation and hygiene problems within the communities.

- 3 **Additional targeted research** - Based on findings of this study and related previous publications, it is important to undertake a focused research on the

economies of scale between application and effectiveness of 'point of use' water treatment as compared to public interventions applied in this study, as well as their combined benefits. There is also a need to carry out a more focused knowledge, attitude and practice study which could not be well covered in this research.

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APPENDICES

APPENDIX 1: HOUSEHOLD QUESTIONNAIRE

A . GENERAL INFORMATION.

District: _____	Division _____	
Location: _____	Sub _____	location: _____
Village _____	Household Head Name _____	
Cluster No _____		
Date of interview (dd/mm/yyyy) _____		
Time of interview _____		
Name of interviewer _____		

Ask to speak to the head of the housed hold, if unavailable ask to speak to the mother of the under Five or guardian or caretaker. Introduce your self and purpose of the study .

B.HOUSEHOLD BACKGROUND INFORMATION

1.Household head Sex (**DO NOT ASK, CIRCLE CORRECT RESPONSE**)

- 1 Male
- 2.Female

2.How old are you? (**TICK THE CORRECT RANGE FROM THE TABLE BELOW**)

Age of Household Head Member

Age group	Male	Female
14 – 25		
26 – 35		
36 – 45		
Over 46 yrs		

REQUEST TO SPEAK TO THE MOTHER OF THE UNDER FIVE IF SHE IS NOT THE HOUSEHOLD HEAD OR THE CARE TAKER/GUARDIAN

3 How old are you? (**TICK THE CORRECT AGE RANGE FROM THE TABLE BELOW**)

Age of mother of the under five, Guardian or care taker

Age group	Male	Female
14 – 25		
26 – 35		
36 – 45		
Over 46 yrs		

4.Marital Status

	Household head	Mother	Guardian/Caretaker
Married			
Single			
Separated			
Widowed			
Divorced			

N/A			
-----	--	--	--

5. How many people live in this household? (The definition of household is people eating from the same kitchen/pot) _____

6. Their Sex and Ages in years of Household members

Age group	Male	Female
Under 5yrs		
5 – 10		
11 – 14		
15 – 25		
> 25 yrs		

7. Highest level of education.

Complete the following after probing the responded on education status

	Household head	Mother of Under five	Care Taker/ Guardian
No education			
Some Primary			
Finished Primary			
Some secondary			
Finished secondary			
Some tertiary			
Completed Cert., Diploma, higher Diploma etc			

8. Occupation:

- | | |
|-------------|--------------------------|
| 1. Business | 2. Salaried employment |
| 3. Farming | 4. Patrolism |
| 5. Fishing | 6. Other (specify) _____ |

9. Tick type of house structures.

1 Permanent House

2 Grass thatched house

3 Semi-Permanent House

4 Manyatta

C. SANITATION

Now I am going to ask a few questions about sanitation and personal hygiene

10. Do you have a toilet facility in this compound?

If Yes > Go to No.14

If No- proceed to no.11

11. Why is there no toilet in this compound?

1. Can't afford it
2. Soil too loose/Rocky
3. Do not need one
4. Collapsed and full
5. Other (specify)_____

12. Where do you go to make a long call (defecate) if you don't have a latrine at home?

- 1 On compound grounds somewhere
- 2 Behind the house
- 3 Friend's house
- 4 Public latrine
- 5 Neighbour's house
- 6 Bush/field
7. Others (specify)_____

13. Where do you make a short call (Urinate) if you not have a latrine at home?

- 1 On compound grounds somewhere
- 2 Behind the house
- 3 Friend's house
- 4 Public latrine
- 5 Neighbour's house
- 6 Bush/field

7 Other (specify)_____

14.How many latrines in this compound are currently functioning?

(If more than one facility is functioning in the compound address all functioning latrines)

15.Do you share this/these latrine(s) with other household(s)?

1.Yes

2.No

16.How many other households use this/these toilet(s)? _____Households

17.Approximately how many individuals regularly use this/these toilets?_____

18.Is there any one in this household, including children, who does not regularly use the latrine?

1.Yes

2.No

19.Who doesn't regularly use the latrine?

1. Children<2 years

2.Children < 5years

3.Children 5-15 years

4.Female adults

5.Male adults

6.No one uses the latrine

7.Other (specify)_____

20.Why do these people not use the latrine?

(May have to change the answers based on who's in---)

1. Children too small
2. Not at home
3. Not well kept
4. Distance from the compound
5. Fear, dislike
6. Beliefs: customs/ taboos
7. Other (specify)_____

21. How do you dispose off the faeces of your child/children under 5 years?

1. Leave it in the yard and do nothing
2. Put in the latrine
3. Bury it
4. Don't know
5. Other (specify)_____

23. How often do you clean your toilet?

1. Once a day
2. Once a week
3. Once a fortnight
4. When it is dirty
5. Do not clean at all

24. a) Do you have a refuse pit?

1. Yes
2. No

b) If No, where do you dispose your refuse?

1. Open land
2. Toilet
3. Burning

D. OBSERVATIONS ON SANITATION

25. Is there evidence of faecal contamination within the compound?

1. Yes
2. No

- 26.a) Can you show me please the type of latrine that you are using?
 No. of functioning latrines observed around the compound _____
- b) Type of toilet facility in compound. If more than one, determine the newest
 Flush toilet)
1. Traditional Pit latrine
 2. Ventilated Improved Pit Latrine
 3. No facility/ bush/field
 4. Other (specify)_____

27. How far is the latrine from the dwelling house?
1. Less than 10 m
 2. 10-20 m
 3. More than 20 m

28. Conditions of latrine

a) Contains concrete slab.

1 Slab present. 2 Slab absent

b). Smell

1 No smell 2 smell inside 3 Smell outside latrine

c). Cleanliness

1 clean 2 slightly dirty 3 Presence of faeces

d). Flies

1 No flies 2 a few flies 3 many flies

e). Superstructure

1 No cracks 2 Crack s 3 Visible holes

f). Condition of slab

1 No cracks 2 Cracks 3 Pit visible

g). Door

1 door closes completely 2 Closes but not completely 3 No door.

8. Others (specify)_____

30. a) Do you know importance of using a latrine?

1. Yes
2. No

b) If yes what are the importance?

1. Privacy
2. Status
3. Prevention of disease
4. Do not know

5. Other (specify) _____

31.a) Do you know diseases related to using latrine?

1. Yes
2. No

b). If yes, name them;

- | | |
|---------------|--------------------------|
| 1. Typhoid | 5. Malaria |
| 2. Cholera | 6. URTI |
| 3. Hook worms | 7. HIV/AIDs |
| 4. Diarrhoea | 8. other (specify) _____ |

c) How can we prevent these diseases mentioned above ?

- | | |
|-----------------------|---------------------------|
| 1. use of pit latrine | 7. Cover food. |
| 2. wash babies | 8. Go to hospital |
| 3. Treat water | 9. Traditional healer |
| 4. eat well | 10. prayer |
| 5. wash hands | 11. other (specify) _____ |

E. WATER SOURCES HANDLING, STORAGE AND TREATMENT

I am now going to ask you a few questions about your water source and handling practices

32. What sources of water does your family use for cooking, washing, bathing or cleaning throughout the year? (**Do not include sources used only for agriculture or animals**)

(Multiple responses possible)

Piped into dwelling	11
Piped into school	12
Public tap (outside school)	13
Open well in compound	21
Open public well	22
Covered well/borehole in compound	31
Covered public well/Borehole	32
Spring – Protected	33
Spring – unprotected	41
River Stream	42

Lake	43
Pond/Dam/Earth pan	44
Rainwater/Roof catchments	51
Water Vendor	61
Bottle water	71
No water available	98
Don't know	99

33. What source of drinking water do you use most frequently during the rainy season?
(Choose one)

Piped into dwelling	11
Piped into school	12
Public tap (Outside school)	13
Open well in compound	21
Open public well	22
Covered well/Borehole in compound	31
Covered Public well/Borehole	32
Spring – protected	33
Spring – unprotected	41
River/Stream	42
Lake	43
Pond/dam/Earth pan	44
Rainwater/roof catchment	51
Water vendor	61
Bottled water	71
No Water Available	98
Don't know	99

34. Approximately, how long does it take you to get the water from this source and
come back? (Do not read. If range, write the middle of range)

On premises

_____ Minutes

_____ Hours (convert to minutes)

35.How much water do members of your family collect/take from this source daily?

_____ Litres

36.Approximately how many litres of water does your family use for drinking daily during the rainy season?

_____ Litres

37.Is the water you collect from this source sufficient for drinking needs of your family:

- 1.Always
- 2.Sometimes
- 3.Never

38.How frequently is water available from this source during the rainy season?

- 1.Always available
- 2.Several Hours per day
- 3.Once or twice per week
- 4.Infrequently

39.What did you use this water for?

- 1.Drinking only
- 2.Bathing/Hygiene/hand washing/
- 3.Washing clothes/cleaning compound
- 4.Animals/Agriculture
- 5.Cooking.
- 6.Other (specify)_____

40.Do you have to pay for this source?

_____ Ksh per _____ Litres (convert to Ksh/Litre) (If answer “jerry can”, determine size)

41. What source of drinking water do you use most frequently during the dry season? (choose one)

Piped into dwelling	11
Piped into school	12
Public tap (outside school)	13
Open well in compound	21
Open public well	22
Covered well/borehole in compound	31
Covered public well/Borehole	32
Spring – Protected	33
Spring – unprotected	41
River Stream	42
Lake	43
Pond/Dam/Earth pan	44
Rainwater/Roof catchment	51
Water Vendor	61
Bottle water	71
No water available	98
Don't know	99

42. Approximately, how long does it take you to get the water from this source and come back?

1. On premises
2. _____ Minutes
3. _____ Hours (convert to minutes)

43. How much water do members of your family collect/take from this source daily?

_____ Litres

44. Is the water you collect from this source sufficient for drinking needs of your family?

1. Always
2. Some time
3. Never

45. How frequently is water available from this source during the dry season?

1. Always available
2. Several Hours per day
3. Once or twice per week

4. Infrequently

46. What did you use this water for?

1. Drinking only
2. Bathing/Hygiene/hand washing/
3. Washing clothes/cleaning compound
4. Animals/Agriculture
5. Others (specify) _____

47. Do you have to pay for this source?

1. Yes
2. No

48. How much do you have to pay?

_____ KSH per _____ Litres (convert to KSH/Litre) (If answer “jerry can”, determine size)

49. Do you consider water from the current source safe for drinking?

- | | |
|---------------|----|
| 1. Yes | 1 |
| 2. No | 2 |
| 3. Don't Know | 99 |

50.a) Do you treat your water to make it safe for drinking?

- | | |
|---------------|----|
| 1. Yes | 1 |
| 2. No | 2 |
| 3. Don't Know | 99 |

b) If yes how do you treat your water?

- | | | |
|----------------------------|-----------------------------|----------------------|
| 1. Boiling water | 2. Use of household filters | 3. Use of waterguard |
| 4. Treating water with PUR | 5. Traditional herbs | 6. Three pot system |
| 7. SODIS | 8. Chlorine based tablets | 9. |
| Others(specify) _____ | | |

F. HAND WASHING PRACTICES

51. a) Do you often wash your hands?

1. Yes
2. No

3. Not Always.

b) When do you wash your hands? (Multiple responses possible)

1. Never
2. After visiting latrine/Toilet.
3. Before preparing food
4. Before feeding baby
5. Before eating meal.
6. Every time when I touch something dirty
7. Others (Specify) _____

52. Can you please show me how you wash your hands?

1. Yes
2. No
3. No water available for hand washing

53. (a) Does the respondent pose the right skills for washing hands? (**Observe**)

(Tick the steps below. if the respondent follows all steps then mark yes if not then mark No)

1. Yes
2. No.

1. Wets hands
2. Lathers with soap
3. Rubs hands, wrists, palms and in between fingers
4. Rubs for at least 10 seconds
5. Cleans dirt under finger nails
6. Air dries hands or uses a clean cloth
7. Use of sufficient water (1 cup or more)

54.a) Is there a hand washing facility?

1. Yes
2. No

b) If yes, which type?

- i) Tilting can
- ii) Leak tins
- iii) Stand pipe
- iv) Basin
- v) Sufuria

55. Is there soap at this location?

1. Yes

- 2.No
- 56.Do you have soap in the house?
- 1.Yes
2.No
- 57.Can I see your drinking water storage container?
(Confirm presence and circle one. If unable to see container confirm type orally)
- 1.Ordinary clay pot
2.Plastic jerry can
3.Plastic or metal bucket
4.Container with narrow mouth and tap
5.Superdrum/tank
6.Other, specify _____
- 58.Does this container have a lid or cap? (Confirm by observation)
- 1.Yes
2.No
- 59.Can you please retrieve a small bit of water for me? (**Observe**).
- 1.Dip into container
2.Pour directly from container
3.Tap
- 60.Test free chlorine if they said they have treated current drinking water
- 1.Presence of Chlorine
2.Absence of Chlorine
3.Insufficient water for testing
61. a) Do you know some diseases associated with drinking contaminated water?
1. Yes
2. No
- b) If yes, name them;
- | | |
|--------------------|-------------------------|
| 1.Typhoid | 5.Malaria |
| 2.Cholera | 6.URTI |
| 3.Intestinal worms | 7.HIV/AIDs |
| 4.Diarrhoea | 8.Others (specify)_____ |
- c) How can we prevent these diseases mentioned above?
- 1.Boiling water
2.Use of household filters
3.Use of water guard
4.Treating water with PUR

5. Go to Hospital
6. Traditional healer
7. Others (specify) _____

62. Who collects water for the family?

1. Men
2. Women
3. Children

63 a) How is water stored in the household container/Covered (**Observe**) 1. Open container 2.

b) How do you draw water from the container?

- 1 *Dipping*
- 2 Pouring
3. Tapping

c) Do you keep the container for drawing water?

1. On the floor.
2. Container with handle
3. In the cupboard
4. No special place

G:Diseases:

63. What diseases have the under five suffered for the last six months (**1Record 2. History**)

- | | | | |
|------------------|-------------------------|----------------|------------|
| 1. Malaria | 2. Intestinal worms | 3. T.B | 4. Scabies |
| 5. Eye diseases. | 6. Diarrhoea infections | 7. Respiratory | |
| 8. HIV/AIDs | 9. Measles | 10. Others | |

64. What disease is/are the under five suffering from at the time of the visit? (By signs, symptoms and observation)

1. Fever
2. Coughing
3. Measles
4. Scabies
5. Eye diseases.
6. Diarrhoea
7. Respiratory infections
8. No disease.
9. Others specify _____

65. Do you know the cause of the disease you have mentioned?

1. Yes
2. No

66. If sick where do you go for treatment?

- 1.Hospital/Health Facility
- 2..Buy medicines in the shops
- 3.Traditional healers.
- 4.Prayer.
- 5.Traditional beliefs

..... END.....

THANK THE RESPONDENT


APPENDIX 2: WATER SAMPLING AND REPORT FORM

For laboratory testing, please take a sample of water from the container where households store drinking water.

Household number	Sample number	Mg/L chlorine level	Faecal coliform Count (%)	Total coliform Count (%)	Water culture results

APPENDIX 3: Biochemical reaction of *Enterobacteriaceae*, *Aeromonas* and *pleisomonas* after 18-24 hours incubation at 35⁰C.

		TSI agar	LIM medium			
<i>E. agglomerans</i> d	-	<i>E.</i>				
/ +						
<i>E. agglomerans</i> d	+ - - -					
/ +						
d / +	+ + -					
	- - <i>K.</i>					
	d - d -					
	<i>K.</i>					
	d / + -					
	- - - <i>K.</i>					
	+ - + -					
	<i>Hafnia</i>					
	- + + -					
	<i>oacae</i> +					
	- + + -					
	+ /					
	d + + -					
	+ /					
	+ + -					
	+ /					
	<i>E.</i>					
	/ + d -					
	d -					



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<i>Y. frederiksenii</i>	+ /				
+ d - - - + d	d - + -				
<i>Y. kristensenii</i>	- /				
+ - - - d	+ - *				
<i>Shigella in general</i>	- /				
+ - - - - d	+ - - - -				
* <i>S. flexneri</i> 6	- /				
+ d - - - - +	- - - - -				
* <i>S. boydii</i> 13 & 14	- /				
+ d - - - + +	- - - - -				
<i>Salmonella</i>	- // / + +				
/					
* <i>S. choleraesuis</i> in <i>general</i>					
+ + + + + -	+ - + -				
- * <i>S. typhi</i>	- / + -				
+// + +/ - +	- - - - -				
* <i>S. paratyphi</i> A	- /				
+ + -// - + -	+ - - - -				
- * <i>S. sendai</i>	- /				
+ + -// d + -	+ - - - -				
- * <i>S. choleraesuis</i>	- /				
+ + d + + -	+ - d -				
- * <i>S. typhisuis</i>	- /				
+ + - - + -	+ - - - -				
* <i>S. abortusequi</i>	- /				
+ + - + + -	+ - - - -				
* <i>S. abortusovis</i>	- /				
+ + - + + -	+ - - - -				

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- + + + - d - - P.						
<i>mirabilis</i> d / + + + -						
+ - + d + + -						
<i>Morganella morganii</i> -						
/ + d - - + + + - -						
+ - <i>Providencia</i>						
<i>alkalifaciens</i> d / + + - -						
+ + + - + - - P.						
<i>stuartii</i> d / + - - -						
+ + + - + - - P.						
<i>rettgeri</i> d / + d - -						
+ + + - + + - *						
<i>Yersinia enterocolitica</i> + /						
+ - - - - d + - - + -						
<i>Y. pseudotuberculosis</i> -						
/ + - - - - + - -						
+ - <i>Y. intermedia</i> + /						
+ d - - - + d d - + -						
<i>Y. frederiksenii</i> + /						
+ d - - - + d d - + -						
<i>Y. kristensenii</i> - /						
+ - - - d + - *						
<i>Shigella in general</i>						

+ - - - d + - - -
 * *S. flexneri* 6 - /
 + d - - - + - - -
 * *S. boydii* 13 & 14 - /
 + d - - + + - - -
Salmonella - // / + +
 / +/ +/ +/ - + - +/ - -
 * *S. choleraesuis* in
general - /
 + + + + - + - + -
 - * *S. typhi* - / + -
 +// + +/ - + - - -
 * *S. paratyphi* A - /
 + + -// - + - + - - -
 - * *S. sendai* - /
 + + -// d + - + - - -
 - * *S. choleraesuis* - /
 + + d + + - + - d -
 - * *S. typhisuis* - /
 + + - - + - + - - - -
 * *S. abortusequi* - /
 +
 * *S. abortusovis* - /
 + + - + + - + - - - -
 * *S. gallinarum* - /
 + d d + - - + - - - -
 + + + - d + - *P.*

- + + - * *Yersinia*
enterocolitica + / + - -
 - - **d** + - - + - Y.
pseudotuberculosis - / + -
 - - - - + - - + -
Y. intermedia + /
 + **d** - - - + **d** **d** - + -
Y. frederiksenii + /
 + **d** - - - + **d** **d** - + -
Y. kristensenii - /
 + - - - **d** + - *
Shigella in general - /
 +
 * *S. flexneri* 6 - /
 + **d** - - - + - - - -
 * *S. boydii* 13 & 14 - /
 + **d** - - - + + - - - -
Salmonella - // / + +
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 * *S. choleraesuis* in
general - /
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 * *S. paratyphi* A - /
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 - * *S. sendai* - /
 + + -// **d** + - + - - -
 - * *S. choleraesuis* - /
 + + **d** + + - + - **d** -
 - * *S. typhisuis* - /
 + + - - + - + - - - -
 * *S. abortusequi* - /

☐* *Shigella in general*☐- /

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* *S. flexneri 6* - /

+ **d** - - - - + - - - -

* *S. boydii 13 & 14* - /

+ **d** - - - + + - - - -

Salmonella - // / + +

/ +/ +/ +/ - + - +/ - -

**S. choleraesuis in general* - /

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- * *S. typhi* - / + -

+// + +/ - + - - - -

+ + -// - + - + - - -

- * *S. sendai* - /

+ + -// **d** + - + - - -

- * *S. choleraesuis* - /

+ + **d** + + - + - **d** -

- * *S. typhisuis* - /

+ + - - + - + - - - -

* *S. abortusequi* - /

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* *S. gallinarum* - /

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* *Shigella in general* - /

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* *S. flexneri 6* - /

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 - * *S. typhisuis* - /
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- - - - * S.
abortusovis - / + + -
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gallinarum - /
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paratyphi A - / + + -
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S. sendai - / + + -
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S. choleraesuis - /
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 - * *S. typhisuis* - /
 + + - - + - + - - - -
 * *S. abortusequi* - /
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 * *S. abortusovis* - /
 + + - + + - + - - - -
 * *S. gallinarum* - /
 + **d d** + - - + - - - -
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Keys:

Keys:

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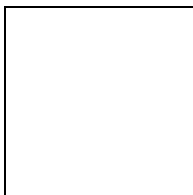
d + + +	•	*:
<i>A. sobria</i> +		Enteropat
d + + +	•	hogenic
+ <i>A. cavia</i>		In TSI
- - + +		medium -
+ * <i>Pleiso</i>		-/+,
<i>shigelloides</i> -		ferment
+ + + +		glucose
+ (<i>Vibrio</i>		only; +/+
<i>parahaemolyti</i>		ferment
- + + +		glucose,
		and
		lactose or
		sucrose or
		both; d /+
		ferment
		glucose
		and
		fermentati
		on of
		lactose or
		glucose
		shows
		different
		reactions;
		Gas , gas
		from
		glucose(T
		SI).
	•	Lys. -
		lysine
		decarboxy
		lase;
		Mot. -
		motility;
		Ind. -
		indole(LI
		M); MR , -
		methyl
		red; VP , -
		Voges-
		proskauer;
		S.C. , -
		citrate;
		Ure , -
		urease;
		Ox , -
		cytochrom
		e oxidase;
		-,
		negative;
		+,
		positive;
		d ,
		different

☒ **Keys:**

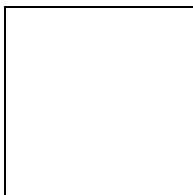
Keys:

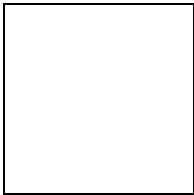
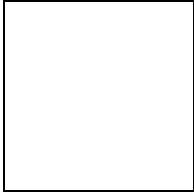
- *: Enteropathogenic
- In TSI medium - -/+, ferment glucose only; +/+ ferment glucose, and lactose or sucrose or both; **d**/+ ferment glucose and fermentation of lactose or glucose shows different reactions; **Gas**, gas from glucose(TSI).
- **Lys.** – lysine decarboxylase; **Mot.**- motility; **Ind.** – indole(**LIM**); **MR**, - methyl red; **VP**, - Voges-proskauer; **S.C**, - citrate; **Ure**, - urease; **Ox**, - cytochrome oxidase; -, negative; +, positive; **d**, different reactions; +/, rarely negative; -//, rarely positive.

APPENDIX 3: MAP OF STUDY AREA



APPENDIX 4: ETHICS AND RESEARCH CLEARANCE





APPENDIX 5: PUBLISHED PAPERS

