# **POPULATION GENETIC ANALYSIS OF** *PLASMODIUM FALCIPARUM* **FROM LAKE VICTORIA ISLANDS USING A NOVEL SINGLE FLUORESCENT LABELED MICROSATELLITE ASSAY**

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**Population Genetic Analysis of** *Plasmodium falciparum* **from Lake Victoria Islands Using a**

**Novel Single Fluorescent Labeled Microsatellite Assay**

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**A thesis submitted in partial fulfillment of the requirements for the Degree of Master of Science in Bioinformatics and Molecular Biology of Jomo Kenyatta University of Agriculture and Technology**

## **DECLARATION**

<span id="page-2-0"></span>This research project is my original work and has not been submitted for any other degree or professional qualification.

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This thesis has been submitted for examination with our approval as supervisors.

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## **DEDICATION**

This thesis is dedicated to my entire family for their endless support. Their prayers and moral support have been the driving momentum that has enabled me to achieve the targeted goal in my studies.

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#### **ABSTRACT**

<span id="page-13-0"></span>Despite unprecedented efforts to control *Plasmodium falciparum* over decades, it remains entrenched in Africa, accounting for 90% of global malaria deaths. Control efforts have been difficult due in part to high levels of *P. falciparum* genetic diversity, drug resistance, poor vector management, poor drug access drug due to poverty. Attempts to understand the genetic structure and transmission dynamics of this parasite are underway in different regions prior to implementation of interventions. However, to date, few studies have examined the genetic diversity of malaria parasites in Lake Victoria islands where malaria transmission is stable. In order to examine population genetics, the current genotyping platforms based on laser induced fluorescence detection are relied on. The cost, however, of producing a new fluorescently labeled primer is prohibitive to many laboratories. To overcome such challenges a novel genotyping assay was adopted. The sensitive, specificity and reproducibility of the assay in typing *P. falciparum* cultures was determined. The assay proved to be highly reproducible with have detection sensitivity of up to 50 parasites/µL. The validated assay was used to genotype 188 *P. falciparum* samples from Lake Victoria basin in order to measure the extent of genetic diversity and population structure. High levels of genetic diversity were measured throughout the region (Mean  $He = 0.84$ ) and low levels of population structure. Overall  $F_{ST}$  value was 0.044 indicating that approximately 5% of the overall allelic variation is due to differences between the populations. Based on these results, the study concludes that parasite population structure in the studied islands is shaped by human migration patterns that maintain extensive parasite gene flow between the sites. Consequently, any malaria elimination and interventions strategies in the study area will have to be carried out broadly on all four islands and adjoining mainland region.

#### **CHAPTER ONE**

## **INTRODUCTION**

## <span id="page-14-2"></span><span id="page-14-1"></span><span id="page-14-0"></span>**1.1 Background of the study**

Malaria arising from *Plasmodium falciparum* remains a leading global health threat, accounting for 438,000 deaths in 2015 (WHO 2015). Attempts to control and eliminate malaria are underway in different countries with variable transmission intensities, but the diverse parasite genetic structure that allows rapid evolution and spread of advantageous traits, threatens to undermine these efforts. For instance, the emergence and spread of parasite resistance to affordable antimalarial drugs such as chloroquine and sulfadoxine-pyrimethamine, have greatly contributed to the public health burden of malaria (White 1998). More worryingly, reports are appearing of evolving resistance to artemisinin, the current frontline treatment throughout the world (Dondorp 2009).

Therefore, there is urgent need to define the diversity, distribution and dynamics of malaria parasite populations, as parasite populations tends to differ geographically, due to confounding factors such as prevalence, vector species, host genetics and a variety of environmental influences. Using population genetic measurements such as expected heterozygosity, multiplicity of infection, linkage disequilibrium and F-statistics, factors affecting parasite population structure and genetic diversity such as inbreeding, epidemic expansion, geographic isolation and gene flow or introgression of foreign parasites can be characterized (Anderson *et al*. 2000). Thus, this study aimed to perform population genetic analysis of *P. falciparum* in Lake Victoria islands to determine the extent of parasite diversity, population structure and gene flow. Such findings can provide invaluable information, which may inform intervention strategies to reach elimination targets in the study area.

Numerous molecular genotyping techniques are in existence to analyze genetic diversity, transmission dynamics and population structure of *P. falciparum* field isolates. Early epidemiological studies focused on analysis of polymorphic genes encoding antigens notably merozoite surface protein (Joshi 2003), circumsporozoite surface protein (Chenet *et al*. 2008), glutamine rich protein (Greenhouse *et al*. 2006) and apical membrane antigen (Cortés *et al*. 2003). These antigenic motifs are often under strong immune selection pressure (Hughes and Hughes 1995) and therefore, genotyping results provided by these loci can potentially lead to a masked and distorted view of the parasite population structure and genetic diversity. Currently, microsatellites, which are presumed to be selectively neutral, are potential markers for population genetic studies (Anderson *et al*. 2000; Pumpaibool *et al*. 2009; Mobegi *et al*. 2012).

Although several methods such as agarose gel electrophoresis and polyacrylamide gel electrophoresis have been used for resolving microsatellite alleles, the current genotyping protocol is based on automated laser induce detection systems using capillary electrophoresis (Schuelke 2000). This technique requires the coupling of PCR fragments with fluorescent dyes and therefore, one of the primers in the PCR must be labeled at 5' end with fluorescent dyes. Labeling PCR fragments with fluorescent dyes has several advantages over other techniques like radioactive labeling or silver staining methods, including precise allele calling and potential for high-throughput operation (Li *et al*. 2009). Primer labeling coupled with automated fragment analysis allows rapid screening of sequence variation at multiple genomic loci. Intrinsic to this analysis is co-amplifying multiple loci with primer end labeled with different fluorescent dyes. The expense, however, of synthesizing a new fluorescently labeled primer for such large set of potentially informative loci is prohibitive and may prevent laboratories with limited budgets such as those in African settings from typing large numbers of microsatellite markers.

#### <span id="page-16-0"></span>**1.2 Statement of problem**

Kenya launched its National Malaria strategy with a notably ambitious vision for a "malaria free Kenya" (Kenya Ministry of Health 2009). However, malaria arising from *P. falciparum* remains a major health problem among communities in Lake Victoria, Western Kenya. To achieve malaria elimination goals, it has been argued that understanding the genetic structure and transmission dynamics of *P. falciparum* parasites is crucial prior to the implementation of malaria interventions. To date, few studies have examined the genetic diversity, population structure and gene flow patterns of malaria parasites in Lake Victoria islands where malaria transmission is stable. Being an island surrounded by malaria endemic mainland region, the role of human movement and its aftermath effects on malaria interventions in these islands remains unknown. Thus, the aim of this study was to determine levels of parasite genetic diversity, population structure and gene flow, as this will allow tracking of parasite migratory routes in the study sites prior to the implementation of interventions.

In order to perform parasite genetic analysis as well as gene flow patterns, the current genotyping standard based on automated systems using fluorescently labeled PCR fragments are relied to offer precise allele calling. In this method, one of the primers used in the PCR is 5' end labeled with multiple fluorescent dyes. However, the cost of synthesizing fluorescently labeled primer is a limiting factor for many labs, as labeled primer in 50nmole range can cost US \$100– 130, depending on the dye which is five to ten times more than unlabeled ones. The expense of synthesizing this fluorescent-labeled primer is prohibitive to many African laboratories due to financial constrains and where malaria is still a public health problem. This study aimed at adopting a novel microsatellite assay that would use single fluorescent dye instead of multiple dyes to genotype *P. falciparum* and help overcome this financial burden.

#### <span id="page-17-0"></span>**1.3 Justification**

*Plasmodium falciparum* remains the single most important threat to public health at global scale, accounting for more than 90% of the world's malaria mortality (WHO 2015). Despite unprecedented efforts to control this parasite, it remains entrenched in Africa. Thus, understanding the epidemiology, diversity, distributions and dynamics/exchange of gene flow of *P. falciparum* in different epidemiological regions is crucial in developing specific control tools against this parasite. With malaria elimination on the global agenda, mapping parasite population structure is essential prior to establishing goals for elimination and the rolling out of interventions. The Malaria Eradication Consultative Group recently recommended that countries to assess feasibility of undertaking malaria elimination campaign through a preliminary study to accumulate and analyze information required for realistic planning (malERA 2011). This is highly pertinent before embarking on a costly and potentially ineffective campaign.

Located in a high transmission region of Western Kenya where *P. falciparum* is the predominant species, the Lake Victoria islands present great opportunity for feasibility of malaria elimination and intervention studies. Islands are goods targets for such studies as they are assumed to be isolated with negligible possibility of reintroduction of malaria parasites by migration. An example is Vanuatu, an endemic archipelago in the South-West Pacific region has extensively been studied to determine the feasibility of malaria elimination in low transmission settings as well as how gene flows within and between the islands affects intervention plans (Lum *et al*. 2004). Indeed, successful elimination of malaria has been registered in this region and others such as Caribbean, Cyprus, Mauritius, Maldives, Reunion, Taiwan, and Singapore islands (WHO 2009).

Therefore, performing population genetic analysis on Lake Victoria islands would help identity routes of parasite transmission and gene flow patterns. Such information provides a valuable insight on how control and elimination strategies need to be implemented to disrupt the underlying dynamics and evolution of parasites in targeted foci. Population genetic indices such as the extent of diversity and genetic differentiation would be robust predictive markers of whether control strategies have succeeded in reducing the parasite population. Therefore, results from this study would provide a pertinent model for guiding future malaria-elimination program in Kenya.

In order to overcome financial difficulty associated with synthesizing multiple fluorescentlabeled primers, a novel procedure described by Li *et al* (2007) was adopted. This technique incorporates the concept of three primers as described by Schuelke (2000) with major modification on the use of single fluorescent dye. This technique has successfully been used for typing rodent parasite *P. yoelii* (Li *et al*. 2007) and could be a valuable tool for genotyping *P. falciparum*. It proved to be robust, reproducible, cheap and high throughput method for typing large set of microsatellite markers. Further, it presents a practical advantage in that the fluorescence-labeled primer can be used for amplification of all loci included in genotyping assay, so labeling of multiple primers with different fluorescent dyes is no longer necessary. Additionally, this method is designed to allow multiplex of first PCR reaction, in which several markers can simultaneously be co-amplified. This increases the amount of information generated per assay, and substantively reduce consumables and labor costs. Since malaria is for the most part, endemic in developing countries with limited budgets and techniques for use in malaria research, this methodology would ideally be cheap and of great benefit to research groups who perform low-throughput genetic analyses with a high number of microsatellite markers.

## <span id="page-19-0"></span>**1.4 Hypothesis**

**H0:** Lake Victoria islands do not have a repertoire of genetically diverse *P. falciparum* parasites with no distinct population structure.

## <span id="page-19-2"></span><span id="page-19-1"></span>**1.5 Objectives**

## **1.5.1 General objective**

To determine population genetic structure of *P. falciparum* in Lake Victoria islands using a novel single fluorescent-labeled microsatellite assay.

## <span id="page-19-3"></span>**1.5.2 Specific objectives**

- 1. To determine specificity, sensitivity and reproducibility of a novel microsatellite assay in genotyping *P. falciparum.*
- 2. To determine genetic diversity and multiplicity of infection of *P. falciparum* isolates from Lake Victoria islands.
- 3. To determine population structure and gene flow patterns of *P. falciparum* in Lake Victoria islands.
- 4. To evaluate linkage disequilibrium in the populations of *P. falciparum* from Lake Victoria islands.

#### **CHAPTER TWO**

## **LITERATURE REVIEW**

#### <span id="page-20-2"></span><span id="page-20-1"></span><span id="page-20-0"></span>**2.1 Etiology of malaria**

Malaria is a life-threatening, vector-borne infectious disease caused by intraerythrocytic protozoan parasites of the genus *Plasmodium*. So far, five species: *P. falciparum, P. vivax, P. knowlesi, P. ovale* and *P. malariae* have been identified as human malaria causative agents (Antinori *et al*. 2012). It is known that *P. falciparum* is the most virulent of the human malaria parasite species. It is transmitted by the female *Anopheles* mosquito and causes mild to lethal complications to the vertebrate host if early diagnosis and treatment is not adopted (Sinka *et al*. 2012).

## <span id="page-20-3"></span>**2.2 Global distribution of malaria burden**

Malaria is one of the world's most common infectious diseases and one of greatest global public health problems. The disease is broadly distributed in both the subtropics and tropical regions. According to the World Malaria Report (2015), 85 countries are classified as malaria endemic with 3.2 billion people being at risk of contracting and developing the disease. Globally, there were 214 million clinical episodes reported in the year 2015, of which 88% were from Africa, 10% from S. E Asia and 2% from Eastern Mediterranean region. Similarly, 438,000 deaths arising from the disease were reported in the same year, with 90% of the cases experienced in Africa south of the Sahara, 7% in S. E Asia and 2% in E. Mediterranean. Of these global estimates, 306,000 deaths occurred in children under the age of 5 years. Many epidemiological studies suggest that vast majority of malarial infections in Sub-Saharan Africa are mainly due to *P. falciparum* (WHO 2015). Despite more than a century of efforts to eradicate

or control this devastating disease, the existence and wide spread nature of the *P. falciparum* vector – the mosquito *Anopheles gambiae* has made malaria control in Africa more difficult.

#### <span id="page-21-0"></span>**2.3 Malaria situation in Kenya**

Malaria remains a major public health problem in Kenya, accounting for 18% of all outpatient consultations and 6% of in-patient admissions (MoH 2016). Although, *P. falciparum*  is the species frequently associated with severe malaria and accounts for 80-90% of clinical cases in Kenya, *P. malariae*, *P. ovale,* and *P. vivax* also exist in the country (Okara *et al*. 2010). Malaria transmission and risk of infection in the country are largely influenced by altitude, temperature and rainfall patterns. Therefore, prevalence of malaria varies considerably by season and across geographic regions. The variations in altitude and terrain create contrasts in the country's climate, which ranges from tropical along the coast to temperate in the interior to very dry in the north and northeast. The country is divided into four eco-epidemiological strata of malaria:

Endemic areas: These areas experience stable malaria have altitudes ranging from zero in the coastal region to 1,300 meters around the Lake Victoria basin in western Kenya (Figure 2.1). Malaria transmission is intense throughout the year, with *P. falciparum* prevalence between 20- 40% and high entomological inoculation rates of 29.2 per year (MoH 2016). The coastal region has malaria prevalence ranging from 5–20%. Of the total Kenyan population, 26% lives in a malaria-endemic zone.

Highland epidemic prone areas: Epidemics are experienced in western highlands of Kenya where malaria transmission is seasonal with considerable year-to-year variation. The entire population is vulnerable and case-fatality rates during an epidemic can be greater than in endemic regions. Approximately 39% of Kenyans live in these areas. The malaria prevalence in these areas ranges from 1–10% but some foci experience prevalence between 10% and 20%.

Seasonal malaria transmission areas: This epidemiological zone comprises arid and semiarid areas of northern and southeastern parts of the country, which experience short periods of intense malaria transmission during the rainy seasons. Although this is the largest zone in terms of geographic size, only 14% of the population lives in areas where the malaria prevalence is less than 5%.

Low malaria risk areas: This zone covers the central highlands of Kenya including Nairobi. Approximately 21% of the population lives in this area where there is little to no malaria transmission.



<span id="page-22-0"></span>**Figure 2.1** A country malaria endemicity map showing transmission zones (MoH 2016).

#### <span id="page-23-0"></span>**2.4 The life cycle of** *Plasmodium* **parasite**

The *P. falciparum* malaria parasite has a highly complex and extraordinary multistage life cycle occurring in both the mosquito and human host (Tuteja 2007). Human infection begins when an infectious mosquito inoculates sporozoites into the human host during a blood meal (Figure 2.2). The sporozoites then migrate to the liver where they invade hepatocytes and undergoes non-pathogenic asexual replication phase producing thousands of merozoites (Cowman *et al*. 2012). The mature merozoites are released and invade red blood cells (RBC).Within the RBC, each merozoite replicate their DNA 4 to 5 times within 48 hours and release 16 to 32 daughter merozoites back to the blood stream to infect other RBC. Clinical manifestations such as chills, fever, splenomegaly, anemia, celebral malaria and sweats characterize this phase. While the erythrocytic phase produces millions of haploid asexual parasite, a small proportion of parasites do not undergo this phase but differentiate into male and female sexual forms (gametocytes) which aid the parasite to infect female *Anopheles* mosquito a fresh (Kebaier *et al*. 2009; Lew *et al*. 2003).

When a feeding mosquito ingests the gametocytes during a blood meal, they develop into male and female gametes, fuse and form a diploid zygote that develops into an ookinete. Genetic recombination and meiosis occurs at this phase. The motile ookinete tunnel into the midgut of the host and develops into oocyst. Each oocyst produces thousands of haploid forms (sporozoites) after rounds of mitotic divisions. The sporozoites are released and invade salivary glands. When the mosquito takes another blood meal, the sporozoites are released into the blood stream of the human host initiating another infections (Barillas-Mury and Kumar 2005).



<span id="page-24-1"></span>**Figure 2.2** The life cycle of malaria parasite (Pasvol 2010)

## <span id="page-24-0"></span>**2.5 Genetics of** *Plasmodium falciparum*

The parasite *P. falciparum* has threes genomes: apicoplast genome, mitochondrial genome and a nuclear genome. The apicoplast is a 35kb circular genome that resembles the plastid DNA of non-photosynthetic plants, encoding translation and transcription-related protein genes, rRNAs and tRNAs. The genome is universally conversed in *Plasmodium* species. The function of apicoplast remains unclear, though it appears to be specified by gene transferred to the nucleus (Wilson *et al.* 1996).

The mitochondrial genome is the smallest genome of *Plasmodium*; about 6kb. It contains three protein-coding genes; cytochrome c oxidase I, cytochrome c oxidase III and cytochrome b (Feagin 1992). All these three genes are essential for a range for cellular processes. Analysis of mitochondrial genome has proven to be highly relevant in inferring inter and intra-specific evolutionary history of *Plasmodium* species (Joy *et al*. 2003).

The nuclear genome comprises 14 linear chromosomes that are haploid in nature with approximately 23.3Mb genomic sequence and more than 5600 genes of whom 1,817 have known functions (Gardner *et al*. 2002). The nuclear genome of *P. falciparum* is the most AT rich genome sequenced to date, with an overall AT composition of approximately 81%, which rises to 90% in intergenic regions and introns. Homologous chromosomes of *Plasmodium* vary considerably in size between clones, arising from continuous deletions, cross-over and chromosomal aberrations occurring preferably at telomeric regions (Jeffares *et al*. 2007). The telomeric regions of the chromosome carry genes encoding surface proteins motif recognized by human immune response and so they are under constant selection pressure. This gives the parasite a considerable capacity for changes in antigen expression and thereby developing immune evasion tactics.

#### <span id="page-25-0"></span>**2.6 Genetic diversity of** *Plasmodium falciparum*

Genetic diversity is the variation in the genetic composition within or among individuals' population or species. Genetic recombination that is classically defined as the process, by which organisms with novel combinations of genes are produced in crosses between two parent organisms, is arguably considered as a major factor in creating genetic diversity (Conway and McBride 1991). In *Plasmodium*, this event occurs primarily at meiosis phase and allows genomic sites of the parasite to assort independently, thus it may act as a diversifying force, generating new genetic variants that can spread through the population driven by positive selection (Wootton *et al.* 2002). The genetic divergence vary considerably among the parasite populations and is often associated with local endemicity, transmission rates, geographic isolation levels and migration patterns of human and vector hosts (Anderson *et al*. 2000; Bogreau *et al*. 2006; Pumpaibool *et al*. 2009).

In regions of high endemicity of *P. falciparum* such as Sub-Saharan Africa, human hosts often harbor two or more genetically distinct clones of the same parasite (Fraser-Hurt *et al*. 1999) and mosquitoes have high chances of taking up mixture of genetically distinct gametocytes during a blood meal. Fusion of these two genetically distinct parasites (crossover fertilization) results into generation of a large repertoire of genetically diverse parasites. Although selffertilization between identical gametes can occur, it exclusively occurs when the blood meal contain one parasite genotype. Such out-crossing variability have direct implication in the emergence of parasites' advantageous phenotypes (Wootton *et al*. 2002).

The intensity of *P. falciparum* transmission by *Anopheles* mosquito plays a crucial role in determining genetic diversity of the parasite. Malarial transmission intensity is highly dependent on the vectorial capacity, which is defined by the density, longevity and bionomics of *Anopheles* vector prevalent in a given area and the climatic suitability for the *Plasmodium* species. Although this confounding factors vary geographically, high levels of transmission rates are dominant in African populations (Anderson *et al*. 2000). In Kenya, high malaria transmission is common in low-elevation regions (0-1300m above sea level) around Lake Victoria and along the coastal regions with habitats that provide suitable breeding ground for *Anopheles* mosquitoes (Chen *et al*. 2004). High proportions of mixed parasite genotypes arising from frequent meitotic recombination events in mosquito are observed in individuals residing in these regions. Contrary, in low malaria transmission regions, parasite clones as low as one clone per infection are common and this enhances chances of inbreeding and reduces the effective recombination rates (Zhong *et al*. 2007).

Epidemiological studies on *P. falciparum* genetic diversity show that high levels are generally predominant in African populations (Anderson *et al*. 2000), low in S. America populations (Machado *et al*. 2004) and intermediate in S. E Asia populations (Iwagami *et al*. 2009). In Africa, the diverse parasite populations are not strongly isolated from each other due to dynamic migratory patterns of the human host and different levels of geographic isolation (Lynch and Roper 2011). Exceptions are observed in remote islands such as Comoros (Rebaudet *et al*. 2010) and on the very edge of malaria endemic zones such as Djibouti (Bogreau *et al*. 2006), that show fragmented parasite population structures due to restricted parasite gene flow. This diverse parasite population structure observed globally is directly implicated in the emergence of advantageous phenotypes such as drug resistance and antigenic variants (Pumpaibool *et al*. 2009; Talisuna *et al*. 2007), with persistent migration of vectors and humans playing an important role in the spread of these traits (Roper *et al*. 2004; Lum *et al*. 2004). These factors continue to curtail any progress made towards mitigating this disease.

#### <span id="page-27-0"></span>**2.7 Global malaria control and elimination campaign**

During the global malaria eradication program in the early 1950s, 37 endemic countries succeeded in eliminating malaria transmission while others aimed for control, not elimination (Yekutiel, 1960). In sub-Saharan Africa, Kenya, Nigeria, Ghana and Tanzania were among the countries where elimination was considered unfeasible due to their high malaria endemicity. Over the last decade, there has been a substantial increase in international funding for malaria control through major international financing agencies such as Bill and Melinda Gates foundation and the US President's Malaria Initiative. This, together with renewed political commitment in endemic countries, has resulted in increased coverage of malaria interventions and a reduction in malarial disease and death in several countries, including several in sub-Saharan Africa where malaria burden is greatest. Inspired by these achievements and by the momentum created by global advocacy, several countries are now revising their strategic plans and are considering elimination as an alternative to maintaining control measures indefinitely (Moonen *et al*. 2010).

With elimination on the global agenda, different countries have launched or are considering launching their National Malaria Strategic plans with a notable vision of eliminating malaria in defined geographical areas. However, it has been argued that premature commitment to elimination may be counterproductive as it could divert limited resources and negatively impact efforts to reduce the high burden of malaria (Snow and Marsh 2010; Najera *et al*. 2011). Moreover, the existence of diverse parasite population with advantageous phenotypes such as drug resistance and antigenic variability has been cited to have practical implication on malaria control and elimination strategies (Rebaudet *et al.* 2010). For instance, the emergence of reduced susceptibility to artemisinin in Thailand (Dondorp 2009), West Cambodia (Amaratunga *et al*. 2012) and Myanmar (Shah *et al*. 2011) threatens to curtail any progress made towards mitigating this scourge. Thus, there is an urgent need for clear, evidence-based guidance to assess whether malaria elimination represents a realistic goal in a given area.

In the context of achieving malaria elimination targets, islands have been used as study models to determine feasibility of malaria elimination in different transmission settings. Islands are considered ideal targets for such studies due to their isolated nature. An example is Vanuatu, an endemic archipelago in the South-West Pacific region that has extensively been studied to determine the feasibility of malaria elimination in low transmission settings as well as how human movement within and between the islands affects malaria intervention plans (Lum *et al*. 2004). The study revealed that *P. falciparum* in this region was largely isolated on individual islands and human movements between islands were responsible for malaria parasite gene flow. This implied that malaria interventions needed to be carried out on an island-by-island basis to

curtail possible gene flow and subsequent parasite importation (Reid *et al*. 2010). Indeed, successful elimination of malaria has been registered in other islands such as Caribbean, Cyprus, Mauritius, Maldives, Reunion, Taiwan, and Singapore islands where transmission is low and unstable (WHO 2009).

However, despite extensive malaria elimination feasibility studies on islands with low malaria transmission, not much has been done on islands in high transmission regions. Past failures of malaria elimination experienced in malaria endemic islands such as Zanzibar off the coast of Tanzania (LeMenach *et al*. 2011) and Comoros archipelago off the eastern coast of Africa (WHO 2008) raised questions on the extent of human, and vector migratory trends and parasite population structure in these intense transmission islands. Therefore, *P. falciparum* population genetic studies are crucial in evaluating the extent of parasite genetic diversity, which is an indicator of the parasite populations' resilience to control measures. Population genetic structure is pertinent, to help map spatial distribution of genetic diversity over geographical space and thus inferring parasite migratory patterns, prior to implementation of malaria interventions (Reid *et al*. 2010).

### <span id="page-29-0"></span>**2.8 Genetic markers for genotyping malaria parasite**

A genetic marker is any visible trait (phenotype) or easily identifiable piece of genetic material (DNA) which serves as indices for presence or absence of variations of individuals within the population. Numerous studies have been done to reveal substantial parasite diversity within and among vertebrate and insect hosts, although most studies center on parasite clonal diversity within the vertebrate (Anderson *et al*. 2000; Machado *et al*. 2004; Campino *et al*. 2011). *Plasmodium* population structure and epidemiological studies have extensively relied on surface protein loci notably Merozoite surface proteins 1 and 2 (MSP1 and MSP2) (Akter *et al*.

2012) and less frequently the circumsporozoite surface protein (CSP) (Chenet *et al*. 2008) and glutamine rich protein (GLURP) (Greenhouse *et al*. 2006) to reveal repeat lengths and sequence variation.

These loci are antigenic motifs that are continuously exposed to host immune responses, which suggest that the loci are under selection pressure. Due to strong immune selection there is likelihood of mutation on the surface protein loci that substantially affects population dynamics of the parasite (Jiang *et al*. 2011). This is evidenced by work of Gilbert *et al*. (1998) which demonstrated a non-randomized CSP locus sequence pattern in *Plasmodium*, a strong indicator of immune selection. Clearly, to confer parasite population structure using data derived from these loci is problematic, since it is not clear whether the patterns observed reflect population history or natural selection. Therefore, selecting a neutral stable marker that accurately figures out genomic variation across the *Plasmodium* genome would alleviate this problem. Fortunately, many other polymorphic markers are available to study genetics of *Plasmodium* at single gene level (Sunnucks 2000) as they provide sufficient information on allelic variation at a given locus within the genome of the organism. They include isoenzymes, Single Nucleotide Polymorphisms (SNPs), Amplified Fragment Length Polymorphism (AFLP) and microsatellites.

## **2.8.1 Isoenzymes**

<span id="page-30-0"></span>Among the different genetic markers, the first to be established were the isoenzymes in the 1960s (Lewontin and Hubby 1966). Isoenzymes markers are allelic forms of enzymes that occur due to genetic variations. They are simple, cheap, codominant although not highly polymorphic hence they have extensively been used for evolutionary studies, estimating genetic variation and to less extent monitoring various forms of gene conservation (Gaensslen *et al*. 1987). However, the use of these molecular markers is limited since there are only small number of identified markers within a limited number of genes hence the genetic information obtained is not a representative of the whole genome. These were initially used as there were no alternative ways to determine genetic variations.

In *Plasmodium* genetic studies, glucose phosphate isomerase, glutamate dehydrogenase, adenosine deaminase and lactate dehydrogenase enzymes were extensively relied to delineate genetic variations (Freese and Markus 1990; Joshi *et al*. 1989; Myint-Oo 1986; Thaithong *et al*. 1981). A study by Freese and Markus (1990) using the listed isoenzymes reported limited genetic variation among the *P. falciparum* isolates in African population despite high transmission intensities. Such low genetic variations may partly be due to the nature of the markers. Isoenyzmes are protein in nature and reflect alteration on DNA sequence through changes in amino acid composition. Changes in amino acid composition may less often change the conformation of the enzyme due to redundancy of the genetic code thereby producing no change in electrophoretic mobility of the band (that is, visualization of different alleles). This renders them less informative markers. Nevertheless, with technological breakthrough, other informative markers were adopted.

## **2.8.2 Single Nucleotide Polymorphism (SNPs)**

<span id="page-31-0"></span>SNPs are single base change in a DNA sequence, with a usual alternative of two possible nucleotides at a given position. For such a base position with sequence alternatives in genome sequence to be considered as an SNP, it is considered that the least frequent allele should have a frequency of 1% or greater in the population. SNPs are relatively easy to assay, co-dominant and bi-allelic markers since variation at each locus is defined by two alleles. In *Plasmodium*, SNPs occurs abundantly in the genome including promoters, introns and exons, although their distributions vary greatly among various chromosomal regions or different genes (Mu *et al*.

2007; Volkman *et al*. 2007). SNPs within a coding region may not necessarily change amino acid sequence of the protein due to degeneracy nature of genetic code (Feng *et al*. 2003). A change in a SNP in which both forms lead to the same protein sequence is termed as synonymous (silent mutations). SNPs occurring in non-coding sequence are subject to gene splicing or transcription factor binding.

Generally, SNPs are deemed to have relatively low frequency of single nucleotide substitutions, estimated to being between 1 x  $10^{-9}$  and 5 x  $10^{-9}$  per nucleotide per generation (Li *et al*. 1981). Therefore, the probability of two independent base changes occurring at a single position is very low. To carry out genetic studies using these markers calls for screening of a large number of SNPs for adequate information which is expensive and requires a lot of extensive in silico analysis to delineate the obtained sequences (Morin *et al*. 2004). Despite their shortcoming, SNPs have received considerable attention recently as potential marker for estimating genetic diversity (Feng *et al*. 2003), population dynamics and inferring the evolutionary history of species populations (Mu *et al*. 2002).

## **2.8.3 Microsatellite**

<span id="page-32-0"></span>Despite the radical emergence of novel molecular genotyping methods and highthroughput platforms in recent years, microsatellites remain among the most popular and informative marker in population genetics (Anderson *et al.* 2000). Microsatellites often referred to as short tandem repeats (STRs) or simple sequence repeats (SSRs) consist of repetitive units of approximately 2-6 base pairs that are arrayed at particular chromosomal locations (Schlötterer 2000). They are generally co-dominant and locus specific with high mutation rates (between  $10^{-2}$ ) and 10<sup>-6</sup> per locus per generation, and on average 5 x  $10^{-4}$ ). As consequence of their elevated mutation rates, microsatellites are typically highly polymorphic and therefore, individual variations are manifested as repeat number differences. They are considered important neutral markers, as they are not subjected to selection pressure from host immunity. The neutral source of microsatellite polymorphism is replication slippage, which is a commonly observed replication error in repetitive sequences that occurs when the new strand mis-pairs with the template strand (Russell *et al*. 2006).

The *P. falciparum* genome contains over 900 microsatellites markers, predominantly having dinucleotide, trinucleotide or tetranucleotide repeats, with an average of one microsatellite locus every 2–3 kb (Anderson *et al*. 1999). Such high abundance seems to correlate positively with genome adenine-thymine (AT) content, which is extremely high (average AT content reaches 95% in repetitive units). As a consequence, microsatellites have increasingly been used for genetic mapping, genetic structure analyses and genetic diversity studies (Ellegren 2004; Mittal 2009; Ferdig and Su 2000). In malaria studies, the highly polymorphic nature of this marker permits detection of polyclonal infections (Anderson *et al*. 2000), which is important in describing the history of endemicity and the stability of transmission with a specified foci (Branch *et al*. 2011; Sutton *et al*. 2011). Moreover, they have the potential to provide contemporary estimates of parasite migrations (Rebaudet *et al*. 2010), distinguish relatively high rates of migration from panmixia (Razakandrainibe *et al*. 2005) and estimates of genetic relatedness (Selkoe and Toonen 2006). Due to high mutation rates, microsatellites are highly associated with sites of recombination and therefore, they are useful for detecting recent population dynamics because of the accumulated mutations, which is the hallmark of population expansion (Morin *et al*. 2004).

Despite many advantages, microsatellite markers also have several challenges and pitfalls that at best complicate the data analysis, and at worst greatly limit their utility and confound their analysis. However, all marker types have some downsides, and the versatility of microsatellites to address many types of ecological questions outweighs their drawbacks for many epidemiological applications. Fortunately, many of the drawbacks common to microsatellites can be mitigated by adopting novel genotyping alternatives or carefully optimizing genotyping parameters of the marker.

#### <span id="page-34-0"></span>**2.9 Detection methods for fragments generated using microsatellites**

Microsatellite markers were first genotyped by Litt and Luty (1989). The initial use of this marker extensively relied on manual methods of fragment separations such as agarose gel electrophoresis and estimation of alleles sizes with naked eye under UV-light after staining with ethidium bromide. However, differentiation of alleles with as little as 2bp size difference was difficult on such a matrix. Thus, polyacrylamide gel electrophoresis (PAGE) was adopted to offer greater fragment resolution and accurate allele sizing and agarose gel electrophoresis was rapidly replaced by sophisticated and semi-automated PAGE platforms such as ABI373 and ABI377 (Applied Biosystems). However, mobility of the fragments on PAGE platforms was confounded by sequence composition of the repeats units. For instance, CA strands tends to move faster on PAGE than GT strands resulting into stutter bands which adversely affected fragment analysis. In population analysis using microsatellite, precise allele calling is an important aspect to avoid genotyping errors. Fortunately, the emergence of fluorescent dye chemistry (FAM, HEX, TET, ROX, JOE) amenable to laser-based detection systems in mid 90s revolutionized this field (Schuelke 2000). This lead to introduction of capillary electrophoresis fluorescence detection systems by various manufactures, such as Applied Biosystems, Amersham Biosciences and Beckam Coulter to improve fragment resolution and precision (Butler *et al*. 2004).

In capillary electrophoresis, forward or reverse primer of one of locus is directly labeled with a fluorescent tag. Then this fluorescence is incorporated into PCR products, which can be detected in the capillary sequencer upon laser excitation. The relative bp sizes of the fragments are estimated in relation to migration time of an internal fluorescent size standard using specific software such as GeneMapper. This technique has high resolution of one bp and results are reproducible. The use of this method, however, can be costly due to high price of the fluorescent label, which must be carried by one of the primers in the primer pair (Wenz *et al.* 1998). Meanwhile, a novel procedure in which three primers are used for the amplification of a defined microsatellite locus was proposed and improved by Schuelke (2000). This system is based on a forward primer with M13 tail at its 5' end, a reverse primer and the universal fluorescent primer. The M13 tail is sequence derived from bacterial vector (Steffens *et al*. 1993). Although the M13 sequence is the most widely used tail, any sequence that is not homologous to the target genome could be used. In multilocus analysis, the universal primer are labeled with different fluorescent dyes and combined to co-amplify multiple loci through multiplex PCR (Schuelke 2000). Such approach increases the amount of information generated per assay and reduces labor costs.

Unfortunately, the use of these multiple fluorescent dyes considerably increases the cost technique especially when a large number of loci are involved. Moreover, the use of these multiple fluorescent dyes with large differences in fluorescence intensities may cause genotyping inaccuracies due to the inability of genotyping software to fully correct for background fluorescence, which results from the overlap of the emission spectra of the dyes (Liljander *et al.* 2009). This phenomenon is called "pull-up" and results in the detection of allele where no dyelabeled amplicon actually exists. Such challenges may hinder laboratories with limited budgets and technological knowhow from adopting this technique. In order to overcome these
difficulties, Li *et al.* (2007) introduced an economical procedure for typing large number of microsatellite loci. This technique incorporates the concept of Schulke (2000), but rather than using multiple fluorescent dyes under multiplex PCR, only one fluorescent dye is needed for all microsatellites to be typed. Effectiveness and robustness of this assay had been validated on rodent malaria parasite *P. yoelii*, however, it remains unutilized approach in other malaria parasites especially human malaria parasites. Based on the well-know concept of Li *et al*. (2007), our aim was to adopt this methodology and optimize it for genotyping *P. falciparum* microsatellite markers.

### **2.10 Principle of single fluorescent labeled microsatellite assay**

A widely relied method for typing microsatellites of *P. yoelii* (Li *et al.* 2007) parasites was adopted to genotype *P. falciparum*. This assay combines the principles of the tailed primer method as described by Schuelke (2000) and a single fluorescent dye concept described by Li *et al.* (2007) in two-step PCR amplification. The principle of novel genotyping system is illustrated in this study (Figure 2.3). Briefly, in the first PCR reaction, outer locus-specific primers are multiplexed to co-amplify sets of target marker loci from genomic DNA. The locus-specific primers become fully incorporated into PCR products, which serve as primer binding sites for second stage PCR. Multiplexing helps reduce amplification bias between amplicons by normalizing primer hybridization kinetics (Vos *et al*. 1995) and provides more uniform amplification of the target sequences during the second stage amplification.

In the second stage amplification, three primers namely; Universal M13 forward labeled with HEX dye, M13 tagged forward and a reverse primer amplifies the first PCR products in uniplex as follows. The M13 tagged forward primer anneals to first PCR target sequence but the tagged region does not and selectively amplifies downstream region of the DNA (step 1). At the next step, the extension product of the sequence-specific forward primer acts as a template for extension by a sequence-specific reverse primer (step 2). The reverse extension product carries a complementary tag sequence at its 3' end, so the universal M13 forward primer labeled with HEX dye anneals to this complementary tag sequence. Since the M13 fluorescent labeled primer does not contain any homology to *Plasmodium* genomic sequence, it anneals only to the extension product of the complementary sequence-specific primer (step 3). During the reaction, the tagged locus-specific primer is depleted owing to a low starting concentration and the fluorescently labeled universal primer is then subsequently incorporated resulting into fluorescently labeled PCR products. At least half of the complementary strands of the tagged sequence served as templates for tagged primer and within a few cycles sufficient dye labeled amplicons are generated for detection with DNA genetic analyzer.



**Figure 2.3** Principle of the assay using single fluorescent-labeled primer. The forward primer (TA81-FM13) is designed to have a 19bp tag at it 5' end. The complementary strands generated by reverse primer (TA81-R) with have 19bp tag at its 3' end. The fluorescent-labeled primer (M13-F) can anneal to the 3' end sequence tag and fluorescent dye becomes fully incorporated into the strand.

## **2.11 Advantages of single fluorescent labeled assay**

The single fluorescent-labeled assay provides several technological and practical advantages. First, the ability to perform multiplexing under standardized reaction condition enables any combination of markers to be deployed for multiplexed amplification and can improve assay throughput, reduce cost of reagents, and consumables and template DNA used. Although the initial optimization can be time-consuming, this process is essentially the same as that typically required for any new primer set for conventional PCR.

Secondly, it facilitates accurate detection and allele scoring, since problem associated with "spectral bleed" are reduced. Spectral bleed are genotyping inaccuracies due to the inability of genotyping software to fully correct for background fluorescence artifacts resulting from emission overlaps especially on multi-dye assays (Blacket *et al*. 2012) which results into detection of an allele where no dye-amplicon actually exists.

A third advantage is the substantial saving of cost for fluorescent primer labeling, since the synthesis of specific fluorescently labeled primer for each microsatellite marker is not required. Rather single fluorescent-labeled assay requires only one dye labeled primer to fully genotype sets of markers. Further, the ability to dye label primer with a fluorophore of choice provides flexibility to choose different dyes either HEX, FAM, ROX or TET depending on their cost for genotyping. These two advantages dramatically reduces project costs.

## **CHAPTER THREE**

## **MATERIALS AND METHODS**

# **3.1 Study area**

The study samples were collected from permanent residents of four Lake Victoria isalnds: Mfangano, Ngodhe, Kibuogi and Takawiri, and a mainland shoreline region (Ungoye) of Homa Bay County, Western Kenya (Figure 3.1). Mfangano Island is the largest  $(66km^2)$  and the most densely populated with a population of approximately 25,000 people followed by Ungoye with a population of about 2,000 (Kenya National Bureau of Statistics 2010). Ngodhe, Kibuogi and Takawiri are small islands with estimated human populations of between 700-1000 depending on the fishing season. The molecular analysis of the collected samples was conducted at CREATES laboratory, Strathmore University.



**Figure 3.1** A map of Lake Victoria region showing the study sites. Blue lines indicate the geographic distances between the sites.

## **3.2 Study design**

This study was derived from a cross-sectional epidemiological study of *P. falciparum* infection prevalence in resident populations of islands in Lake Victoria, Kenya. To test the hypothesis, experimental procedures conducted in this study were categorized into two phases. Phase one involved developing single fluorescent-labeled microsatellite assay to genotype *P. falciparum*. Here, genotyping principle described by Li *et al*. (2007) was adopted and which has successfully been used in typing rodent malaria parasite *P. yoelii* (Li *et al*. 2009). Selection of microsatellite markers for this study was done based on existing literature, in which eight polymorphic microsatellites markers described and validated by Anderson *et al*, (1999) were used in typing *P. falciparum.*

Four laboratory grown *P. falciparum* lines were used to optimize and validate the methodology. In order to evaluate the sensitivity of the assay, amplification was done on DNAs extracted from red blood cells cultures with varying parasite densities for each *P. falciparum*  line. The specificity of the developed assay was evaluated by examining its ability to accurately identify the correct alleles in the four laboratory lines. All PCR amplifications were reamplified and rescored at least thrice to assess the reproducibility detection and size determination of the assay.

Phase two involved genotyping *P. falciparum* field isolates using the validated assay. Field samples identified to be *P. falciparum* positive by rapid diagnostic test and microscopy were selected for genetic analysis. To estimate genetic complexity of the parasite, each locus in each individual isolate, the predominant allele (where multiple alleles were detected) or the only allele (where only a single allele was scored) was counted for population genetic analyses. Summary indices including expected heterozygosity, numbers of alleles, and allelic frequencies per locus

within each population was generated and used to determine genetic diversity, population structure, gene-flow and linkage disequilibrium based on the shared frequencies of DNA genotypes defined by sequence variations.

## **3.3 Ethical clearance**

Ethical approval to conduct the study was granted by the joint Kenyatta National Hospital and University of Nairobi Ethical Review Board (No P7/01/2012). Written informed consent was obtained from all study participants or their parents/guardians.

## **3.4 Sampling technique**

This research was based on purposive sampling technique to obtain experimental subjects. Inclusion criteria; asymptomatic individuals positive for *P. falciparum* by light microscopy and rapid diagnostic test were considered as potential candidates for the study after giving informed consent. Exclusion criteria; Patients under malaria treatment and those who did not give informed consent.

### **3.5 Experimental techniques**

#### **3.5.1 Sample collection**

Study was part of an ongoing bigger population-wide epidemiological survey project where finger-prick blood samples were obtained from study participants in accordance with the inclusion criteria. Briefly, 393 blood samples were collected and screened in the field for *P. falciparum* by microscopy and rapid diagnostic test. Parasitemia levels of *P. falciparum* positive samples were determined and recorded for archiving. In total, 188 dried blood spots (DBS) from *P. falciparum* positive samples were prepared by absorbing approximately 50 µl of the collected blood onto Whatman filter paper (Whatman, Maidstone, UK) and air-drying. DBS were stored at ambient temperature at in sealed zip-lock bags with desiccant awaiting DNA extraction.

## **3.5.2 Extraction of parasite DNA**

From dried blood spots, genomic DNA was extracted using Qiagen QIAamp DNA Mini Kit (Qiagen, Crawley, UK). In brief, three punches (6mm in diameter) were taken from each blood spot and extracted according to manufacturer's instructions. DNA was eluted in a final volume of 200 $\mu$ l, aliquoted and kept under frozen conditions (-20 $^{\circ}$ C) until use.

## **3.5.3 Laboratory cultured parasite lines**

Laboratory cultured *P. falciparum* lines MAD20, FCR3, K1 and THAI 838 were donated by Osaka University in Japan, through assistance of Dr. Richard Culleton. At this facility, the parasite lines were cultured using standard methods. Parasitemia levels were determined using flow cytometry and red blood cell concentration was measured with a hemocytometer. Red blood cells from culture were mixed with uninfected whole blood to yield varying parasite densities (1 – 5,000 parasites/µl) for each line. Parasite DNA was extracted from each cell line culture using E.N.Z.A blood DNA kit (Omega Bio-Tek, Inc). These extracted DNA samples were used for microsatellite assay optimization and validation procedures.

### **3.5.4 Determination of DNA yield and quality**

The concentration and purity of the extracted DNA samples were determined by measuring the absorbance of 2µl of DNA using NanoVue plus spectrophotometer (Thermo scientific, USA). The ratio of optical density at wavelengths of 260nm and 280nm was used to assess the purity of DNA. A ratio between 1.8 and 2.0 denotes that the absorption in the ultraviolet range is due to nucleic acid. DNA yield was measured at a concentration factor of 50ng/µl.

#### **3.5.5 Testing for** *Plasmodium falciparum* **in extracted DNA samples**

Identification of *P. falciparum* in the extracted DNA samples was done through PCR-based procedure. PCR amplification targeting cytochrome c oxidase III (cox3) gene in mitochondrial genome of *Plasmodium* was performed using MtNst\_falF (5ʹ-GAACACAATTGTCTATTCGT-ACAATTATTC-3ʹ) and MtNst\_falR (5ʹ-CTTCTACCGAATGGTTTATAAATTCTTTC-3ʹ) primers under PCR condition previously described by Isozumi *et al.* (2015).

#### **3.5.6 Microsatellite marker loci**

Trinucleotide repeat microsatellite loci previously described and published for use in genotyping filter-paper blood samples (Anderson *et al*. 1999) were chosen. These polymorphic loci are distributed throughout the *P. falciparum* genome. They included (chromosome assignments are given in parentheses): TA1 (Chr6), TA40 (Chr10), TA42 (Chr5), ARA2 (Chr11), Pfg377 (Chr12), TA87 (Chr6), TA109 (Chr6) and TA81 (Chr5).

## **3.5.7 Primer modification and preparation**

These marker loci consist of conserved locus-specific sequences flanking the tandem repeats region in haploid *P. falciparum* genome. The nested primer sets sequences previously described by Anderson *et al*. (1999) were used to amplify the selected microsatellite marker loci. Here, the genotyping principle described by Li *et al*. (2007) for typing rodent parasite *P. yoelii* using single fluorescent-labeled primer was adopted. Each specific inner forward primer was modified to have a 19 bp M13 sequence (5′-CACGACGTTGTAAAACGAC-3′) (tags) added to the 5′ end matching the conserved region of the microsatellite repeat (Figure 3.2). A stand alone universal M13 forward primer with the same 19-basepair sequence and that binds tags was labeled with HEX fluorescent dye. This single fluorescent-labeled universal M13 forward primer was singly used across all the eight microsatellite marker loci.



**Figure 3.2** Diagrammatic representation of detection primer set for TA81 loci. The forward primer is modified to have a 19bp sequence tag at its 5' end, which bears no sequence homology to the *Plasmodium* genome.

The primers were ordered from FASMAC commercial company, Japan. They were dissolved in DNase-RNase free water to produce a 100µM stock solution for both fluorescentlabeled universal primer and locus- specific primers. All primer stocks were diluted ten-fold to form 10 $\mu$ M working solutions then stored in small aliquots at -20<sup>0</sup>C. Details on the primer sequences, accession number and expected fragment size can be found in appendix I.

## **3.5.8 Genotyping of microsatellite loci using single fluorescent-labeled primer**

A two-round hemi-nested PCR was used to amplify eight microsatellite loci from DNA isolates using a single universal M13 fluorescent-labeled primer as reporter primer. First-round PCR reaction was multiplexed, such that reactions for TA1, TA87, TA40, TA42 and ARA2, PFG377, TA109, TA81 marker loci were co-amplified in the same reaction tube using the outer species-specific primer sets.

Both the first and second PCR reactions were carried out in a total volume of 20µL per sample per locus and contained 1x Ex *Taq* buffer, 0.05 units of Ex *Taq* polymerase (Takara, Kyoto, Japan), 0.2mM dNTPs mix, 0.2µM of each locus-specific primer and 10ng of template DNA or 2µl of primary PCR products. PCR was performed in a GeneAmp 9700 instrument (Applied Biosystems, Foster City, CA) under the following conditions: An initial denaturation phase at  $94^{\circ}$ C for 2 minutes, followed by 25 cycles of denaturation at  $94^{\circ}$ C for 20 seconds, annealing at  $45^{\circ}$ C for 30 seconds, and extension at  $65^{\circ}$ C for 40 seconds and a final extension at  $65^{\circ}$ C for 2 minutes.

In the second PCR reaction, each marker loci was amplified separately using the inner reverse primer, M13 tagged forward primer and M13 universal forward primer coupled with HEX fluorescent dye under the same conditions except that the amplification cycles were increased to 35. Negative controls (no DNA template) and positive control *(P. falciparum* 3D7) were used in each run.

# **3.5.9 Fragment analysis by capillary electrophoresis**

Fragment analysis of the amplified PCR products were done at the Macrogen Laboratory South Korea, using 3730 DNA Analyzer (Applied Biosystems, Foster City, CA). At the facility, analysis of data files was performed to achieve electropherogram peaks with 100 - 20,000 relative fluorescent unit (rfu) range. Samples that surpassed 20,000rfu mark were diluted 1:20 in DNase-free water to obtain peaks within the set range. The resulting raw data files were sent by "post" to CREATES, Kenya for subsequent analysis.

#### **3.5.10 Microsatellite calling**

PCR fragment sizes of the received raw data files were called using Genemapper software version 5.0 (Applied Biosystems, Foster City, CA). Briefly, all data files were imported into a new project within the software application. A panel, which contained all the eight microsatellite markers assayed, was created. Into this panel, a marker, which defines the name, dye color, repeat length and fragment size range (bp) of each microsatellite locus, was also prepared. Unlike the current marker calling procedure, that requires selection of multiple dye colors, green dye color was selected since the fragments were labeled with HEX dye (green in color). "Bins"

which corresponded to three base pair intervals (the size of microsatellite motif) were constructed. In the microsatellite analysis method of the application software, 100rfu was set as the minimal peak threshold for allele calling. Samples were evaluated after initial analysis for off-scale data, background fluorescence and sizing errors. Control (*P. falciparum* 3D7) which is run on each plate were inspected for the same artifacts to derive peak morphology. The alleles sizes of 3D7 were used to correct run to run variation among capillary electrophoresis runs. Microsatellite allele sizes were imported into excel sheet. Allele peaks that were spaced at intervals corresponding to the trinucleotide repeats in all eight loci genotyped were considered as true alleles.

## **3.5.11 Determination of sensitivity, specificity and reproducibility of the assay**

The sensitivity of the assay was determined by testing its ability to amplify microsatellite loci in DNA samples of *P. falciparum* lines (KI, MAD20, FCR3 and THAI 838) with parasite densities corresponding to  $5,000$ ,  $1,000$ ,  $500$ ,  $100$ ,  $50$ ,  $10$ ,  $5$  and 1 parasites/ $\mu$ l. To simplify results interpretation and discussion in this study, these parasite densities were classified into three categories: high (1000- 5000), medium (50-500) and low (1-10). A parasite density with electropherogram peaks below the cut-off value of 100 rfu was deemed as the detection limit of the assay. Specificity was determined by examining ability of the assay to accurately identify the correct alleles in the four *P. falciparum* lines. Any observed alleles not expected based on the known genetic composition of the four laboratory-grown lines were designated false-positive alleles. The four *P. falciparum* lines at each parasite density were re-amplified and rescored at least three times to assess the reproducibility of detection and size determination of the system. The results were analyzed with reference to the initial data to ascertain concordance.

### **3.5.12 Genotyping of field isolates**

The optimized and validated single fluorescent-labeled microsatellite assay was used to genotype DNA samples extracted from filter-paper parasitized blood samples collected from Lake Victoria islands. These samples were genotyped under same PCR procedure and conditions as described above.

## **3.6 Analysis of parasite population characteristics**

## **3.6.1 Interpretation of alleles**

For each individual isolate, only the predominant allele or the single allele at each locus was scored and counted for subsequent analyses. Since all eight markers are single-copy loci (haploid), presence of one or more additional alleles at a particular locus was interpreted as a multi-infection. Any additional allele(s) present due to multiple parasite infections was recorded if the peak was at least one-third the height of the predominant allele but the allele(s) did not count toward the analyzed sample. This because inclusion of these additional allele(s) from multi-infected isolates would result in a biased estimation of allelic frequencies in the populations (Anderson *et al*. 1999). Additionally, it is also impossible to match the different alleles obtained at each locus to construct a valid genotype.

## **3.6.2 Measure of genetic diversity**

The overall genetic diversity in each of the geographic locations was assessed using Arlequin version 3.5.1.2 software (Excoffier *et al*. 2005) by determining the number of alleles per locus, allelic richness, and expected heterozygosity, calculated from allelic frequencies of the eight microsatellites. Number of alleles per locus, allelic frequencies, and expected heterozygosity were used as measures of level of polymorphism in the loci and to determine the diversity of the populations. The expected heterozygosity index (*He*), was calculated as *He =*  $\boldsymbol{n}$  $\frac{n}{n-1}(1-\sum p^2)$ , where *p* is the frequency of each different allele at each locus and *n* is the number of alleles in the sample. *He* is the probability that two alleles sampled from a population are different. Thus heterozygosity has a potential range from 0 meaning that all alleles are identical (no polymorphism) to 1 that all alleles within the sampled population are unique (highly polymorphic). Since the number of alleles per locus is highly dependent on sample size and the fact that different numbers of isolates were analyzed in the five sites, it was important to normalize data on the basis of the smallest sample size (in this case, Ngodhe with 17 isolates). We therefore computed allelic richness based on a rarefaction method to allow the comparison of genetic diversity across different samples sizes using FSTAT version 2.9.3.2 software (Goudet 1995).

#### **3.6.3 Assessment of multiple infections**

The multiplicity of infection (MOI) was defined as the mean number of genetically distinct parasites genotypes co-infecting an individual. MOI was estimated for each isolate from the locus with highest number of alleles. An infection was categorized as a multiple genotype infection if more than one peak was detected by any of the markers and where the additional peak was at least one-third the height of the primary peak. A single infection was categorized as one with only one peak detected at all the genotyped loci. Mean MOI was calculated based on the total number of parasites genotypes detected divided by the number of isolates analyzed.

## **3.6.4 Characterizing parasite population structure and gene flow patterns**

Population genetic structure was investigated using Wright's pair-wise fixation index  $(F_{ST})$ computed with Arlequin software (Larrañaga et al. 2013). F<sub>ST</sub> index estimates genetic

differentiation by calculating weighted F statistics also known as theta  $(\theta)$  for each locus and over all based on distinct number of alleles among the isolates (Michalakis and Excoffier 1996). A random permutation test ( $n = 10,000$ ) was performed to test whether the  $F_{ST}$  indices observed significantly differed from zero. To validate the population genetic structure established by F statistics, Structure version 2.3.4 software was used to assign each genotype from the five populations into genetically related clusters. The software assigns individual genotype to a predetermined number of clusters (K) based on allelic frequencies at each locus (Pritchard *et al*. 2000; Pritchard 2010). K values between 2 to 8 within these populations was chosen based on Evanno and others (Evanno *et al*. 2005) calculation model that suitable K value ranges from K = 2 up to the true number of study populations plus three. 20 replicate runs were performed to choose the best *K* value, after a burn-in period of 10,000 steps followed by 10,000 iterations under admixture model and assumed correlated allele frequencies. Structure Harvester version 0.6.94 software was applied to evaluate Delta K values from Structure output files (Earl and VonHoldt 2011). The most likely *K* value was computed by the higher number in the change for *K* (ΔK) according to the method described by Evanno and others (Evanno *et al*. 2005). CLUMPP program v1.1.2 was used to aid the interpretation of cluster results (Jakobsson and Rosenberg 2007) and Distruct program v1 was used to facilitate graphical display of population clusters (Rosenberg 2004).

# **3.6.5 Measure of Linkage disequilibrium among parasite populations**

To test for evidence of multi-locus linkage disequilibrium, the standardized index of association  $(I_A^S)$  among alleles from all eight loci in each population was computed using LIAN version 3.5 Web interface developed for multilocus haploid data (Haubold and Hudson 2000). Linkage disequilibrium (LD) is the non-random association of alleles across loci and can occur

as a result of a range of processes including population sub-structure and selection. LD analyses were performed to determine the independent assortment of alleles in this study population. LD analyses were performed in two ways: first, a curtailed dataset that excluded all mixed-clone infections so that only single clone isolates with predominant allele at each locus was constructed and analyzed. Second, a dataset that contained multilocus genotypes found once in each population set (unique genotypes) was reconstructed and analyzed, and the results from the two analyses were compared. This index was calculated as  $I_A^S = \frac{1}{n}$  $rac{1}{n-1}$  $\left(\frac{V}{V}\right)$  $\frac{v_D}{v_E}$  – 1) assuming a null hypothesis of complete random association among loci ( $I_A^S = 0$ ) by Monte Carlo simulation at 10,000 permutations, where  $V_E$  is the expected mismatch variance under LD,  $V_D$  is the observed variance of the numbers of shared alleles in the population and *n* is the number of examined loci. Significant LD is detected if  $V<sub>D</sub>$  value is greater than 95% confidence interval of the values derived from the reshuffled data sets.

## **3.7 Statistical data analyses**

To test for evidence of statistically significant differences in the levels of genetic diversity as well as MOI between the five populations, pair wise comparisons were made using the Kruskal-Wallis test (Theodorsson-Norheim 1986).

### **CHAPTER FOUR**

## **RESULTS**

## **4.1 Concentration and purity of extracted DNA**

DNA extracted from *P. falciparum* lines with varying parasite densities were quantified to obtain their concentrations and purity (Appendix II). All samples were of good quality as their optical density ratios reading were ranging from 1.8 to 2.0. Concentration readings indicated sufficient amount of DNA template was available for PCR amplification.

#### **4.2 Identification of** *Plasmodium falciparum* **in extracted DNA**

Analysis of the identity of *P. falciparum* in the extracted DNA samples was done using PCR procedure that targeted cox3 gene of mitochondrial genome. The amplified PCR fragments were resolved in 1% Agarose gel electrophoresis. Gel analysis revealed that all the 188 extracted DNA samples were positive for *P. falciparum* with expected band size of 400bp (Figure 4.1).



**Figure 4.1** Gel image showing positive amplification of *P. falciparum*. Lane 1: 2kb DNA ladder, lane 2-23: DNA samples, lane 24-25: positive controls and lane 26-27: negative controls.

## **4.3 Microsatellite reaction artifacts**

The single fluorescent-labeled primer method was initially set up under the same PCR conditions as described by Anderson *et al.* (1999). However, different artifacts were identified on the electropherograms during preliminary results analysis and interpretation. Some of the artifacts indentified include:

## **4.3.1 Fluorescent background artifacts**

An inherent fluorescent background was observed in electropherograms of laboratorygrown lines analyzed (Figure 4.2a). The relative fluorescent unit (rfu) value for the fluorescent background was by large below the laid cut-off value (100rfu). Moreover, a slightly higher nonspecific artifact peaks was observed in the background of the electropherograms of these analyzed samples. These fluorescent backgrounds artifacts were reduced by optimizing the amount of DNA template used in primary PCR reaction. A cut-off value of 100rfu was set in order to simplify identification of true alleles from non-specific artifact peaks in the optimized assay.

#### **4.3.2 Stutter peaks**

Besides the primary peak for each allele observed in the electropherogram, distinct patterns of "stutter" peaks were also observed. Amplification of laboratory lines with high parasite densities generated stutter peaks with a repetitive pattern. For instance, amplification of TA40 marker loci on FCR3 line generated stutter peaks with 1bp intervals from the true allele peak (Figure 4.2b).These stutter peaks were relatively lower than the true allele peak.



**Figure 4.2** Microsatellite reaction artifacts. **A)** Fluorescent background and non-specific artifacts peaks in FCR3 lab-line sample amplified with TA87 marker. **B)** Stutter peaks artifacts in MAD20 lab-clone sample amplified with TA40 primers. The y-axis depicts the rfu while x-axis depicts fragment size in base pair.

#### **4.4 Performance of optimized single fluorescently labeled assay on controls**

Several assay parameters in nested PCR reaction and product preparation were optimized before capillary electrophoresis in order to reduce the above artifacts and ensure sustained sensitivity and specificity of the assay.

## **4.4.1 Detection sensitivity of the assay**

The analytical sensitivity of the assay was determined by testing varying parasites densities of *P. falciparum* lab-grown lines containing from 1 to 5000 parasites/ $\mu$ l (Figure 4.3). Overall, the assay showed considerably sensitivity detection range across all loci and lab lines analyzed. Although sensitivity in terms of rfu values varied by loci, in high-density samples (>1000 parasites/µl) the rfu of the allele peaks for each lab line were within the recommended values  $\langle$  <30000 rfu). However, at low parasite densities (<10 parasite/ $\mu$ l), the rfu values were below the cut off value of 100 rfu (Figure 4.3d). The assay was being able to detect up to 50 parasites/µl in all four lines.



**Figure 4.3** Peak profile of THAI 838 line amplified at varying parasite densities. Rfu values decreased proportionally with parasite densities. **A)** corresponds to parasite density of 500, **B)** parasite density of 100, **C)** parasite density of 50 and **D)** parasite density of 10. Below 50 parasites/µl no dectable peak was observed*.*

#### **4.4.2 Detection of specificity**

To determine the specificity of the assay, the ability of the assay to detect false-positives in the controls was investigated. An allele was designated as false-positive if the observed allele peak was not expected based on known genetic composition of lab-line and if it exceeded the laid cut-off value of 100rfu. Of all the four lab-grown lines used, none produced a false-positive allele for the eight microsatellites genotyped (Figure 4.4). The number and intensity of peaks were well characterized. Moreover, no visible peak was detected on the negative control sample, indicating high precision of the assay in mitigating genotyping errors.



**Figure 4.4** Profiles of FCR3, MAD20 and K1 lines amplified at TA81 loci. All controls show well-resolved alleles peaks sized at 145pb. Negative control sample showed fluorescent background signal with no allele detected on locus.

## **4.4.3 Reproducibility of the assay**

The reproducibility of the assay was examined by re-amplifying lab lines of *P. falciparum* in replicates of three for each parasite densities. The samples were re-coded and reanalyzed without reference to the initial results. The number of alleles detected in the eight loci assay for the triplicates containing from 1 to 5000 parasites/ $\mu$ l of the four lab-lines are shown in table 4.1. The assay had reproducibility of three for three for parasite densities above 50, but scores decreased for low parasite densities.

	Number of alleles detected across the eight loci assayed											
		<b>MAD20</b>			K1			<b>THAI 838</b>		FCR3		
<b>Parasite</b>	3 <sup>rd</sup> $\gamma$ nd 1 S U			1 St	$\boldsymbol{\gamma}$ nd	$2^{\text{rd}}$	1 St	$\gamma$ nd	2rd	1 SI	$\gamma$ nd	$3^{\text{rd}}$
						densities replicate						
>500	8	8	8	8	8	8	8	8	8	8	8	8
100	8	8	8	8	8	8	8	8	8	8	8	8
50	8	8	8		8	8	8	8	8	8	8	8
10	6					6			6	6		
	◠								◠			
		$^{\circ}$								0		

**Table 4.1 Reproducibility of the assay in detecting alleles in cultured parasite lines**

## **4.5 Population genetics of** *P. falciparum* **field isolates**

## **4.6.1 Genetic diversity within populations**

In total, 188 isolates: Kibuogi (n = 35), Mfangano (n = 50), Ngodhe (n = 17), Takawiri (n  $= 36$ ), and Ungoye (n  $= 50$ ) were successfully genotyped at all the eight loci (TA81, TA87, TA109, ARA2, pfg377, TA1, TA42, and TA40) and a full genotype profile generated (Appendix III). The eight markers examined were observed to be highly polymorphic, with overall number of distinct alleles per locus ranging from 11 (for locus ARA2) to 23 (for locus TA1; Table 4.2). There were no significant differences ( $P = 0.16$ ) in the mean number of alleles per locus between the five parasite populations calculated using Kruskal-Wallis test. Allelic richness normalized as described in the methods, did not differ significantly between populations. This implied that the chosen marker loci were equally informative for both island and mainland parasite populations.

	Kibuogi		<b>Mfangano</b>		<b>Ngodhe</b>		<b>Takawiri</b>		Ungoye			<b>Total Number</b>						
Locus	A	$\mathbf{R}_{S}$	He	A	$\boldsymbol{R}$ s	He	A	$\mathbb{R}^S$	He	A	$\mathbb{R}^s$	He	A	$\boldsymbol{R}$ s	He	A	$\mathbb{R}^S$	He
ARA <sub>2</sub>	6	5.58	0.52	$\tau$	6.19	0.79	$\tau$	7.00	0.71	7	6.31	0.69	11	9.23	0.85	11	8.08	0.80
<b>PFG377</b>	10	8.88	0.86	11	8.39	0.84	11	11.00	0.95	13	11.88	0.93	9	8.14	0.77	17	11.64	0.90
TA1	11	9.80	0.86	11	9.69	0.89	7	7.00	0.82	11	9.55	0.88	15	11.51	0.88	23	12.02	0.89
<b>TA40</b>	12	11.27	0.93	14	11.31	0.90	7	7.00	0.85	13	11.04	0.83	15	12.49	0.92	17	12.73	0.91
<b>TA42</b>	7	6.40	0.72	10	7.68	0.63	8	8.00	0.90	6	5.42	0.69		5.77	0.48	14	7.52	0.66
<b>TA81</b>	12	10.99	0.91	10	8.08	0.85	8	8.00	0.91	13	11.63	0.92	11	8.94	0.82	21	11.36	0.88
<b>TA87</b>	8	7.47	0.76	9	7.58	0.81	8	8.00	0.87	10	8.86	0.87	8	6.88	0.76	12	7.93	0.81
<b>TA109</b>	9	7.61	0.63	11	8.96	0.85	7	7.00	0.84	11	9.59	0.78	9	8.25	0.86	16	9.43	0.83
Mean	9.38	8.50	0.78	10.38	8.48	0.82	7.88	7.88	0.86	10.50	9.29	0.82	10.63	8.90	0.79	16.38	10.09	0.84

**Table 4.2 Number of alleles, allelic richness and allelic diversity of eight loci from five sites.** Allelic richness was normalized based on smallest sample size  $(n = 17)$ .

 $A =$  Number of alleles,  $Rs =$  Allelic richness and  $He =$  Allelic diversity

Allelic diversity per microsatellite loci was estimated by expected heterozygosity (*He*) based on frequency of alleles generated (Appendix IV) at each of the eight loci. On average, *He* index was 0.84, reflecting high genetic diversity among the isolates. The highest mean *He* values were observed in Ngodhe (*He* = 0.86) and the lowest in Kibuogi (*He* = 0.78; Table 4.2). This genetic variability though different by loci and by studied sites, did not meet the threshold for statistic significance. The mean number of alleles per locus  $(A)$  was highest in Ungoye  $(A =$ 10.63) and lowest in Ngodhe ( $A = 7.88$ ).

## **4.6.2 Multiplicity of** *P. falciparum* **infection**

The multiplicity of infection (MOI) was assessed based on the proportion of individual isolates with multiple alleles for each site. Since *Plasmodium* remains haploid in the human host, detection of multiple alleles at any of the genotyped loci in a sample indicates presence of multiple genotypes. Of the 188 samples analyzed, 151 isolates had at least two or more alleles detected by one of the eight loci. The proportion of isolates with multiple genotypes was highest in Ungoye (0.88) and lowest in Mfangano (0.72; Table 4.3). Further, the highest number of parasite genotypes co-infecting an individual was six and was observed in Mfangano and Takawiri islands (Table 4.3). The highest, and lowest mean MOIs were recorded in Ngodhe and Kibuogi respectively although the differences across the regions were not significant by the Kruskal-Wallis test  $(P = 0.81)$ .

<b>Site</b>	<b>Number of isolates</b>		*Isolates with particular number of genotypes		#Mean	<b>Proportion of isolates</b>			
	genotyped				4		O	MOI	with $>1$ genotype
Kibuogi	35		10	12			$\theta$	2.51	0.80
Mfangano	50	14	9	13	12			2.60	0.72
Ngodhe	17	3	$\mathfrak{D}_{\mathfrak{p}}$	3	5	4	$\Omega$	3.29	0.82
Takawiri	36		10	14	4	$\Omega$		2.53	0.81
Ungoye	50	6	6	13	22		$\Omega$	3.20	0.88

**Table 4.3 MOIs of** *P. falciparum* **infections in the five study areas**

\*The isolates per study site with the minimum (1) and maximum (6) detected number of genotypes; #Mean MOI calculated as total number of parasite genotypes detected per number of isolates analyzed in each study site.

#### **4.6.3 Genetic differentiation among the islands and population structure**

Individual pair-wise differentiation  $(F_{ST})$  values for all eight-marker loci across the five parasite populations ranged from 0.004 (for locus TA87) to 0.117 (for locus ARA2). Averaged across all marker loci, gene divergence among the different populations was 0.044 indicating that approximately 5% of the overall allelic variation is due to differences observed between the five populations. Figure 4.5 shows the  $F_{ST}$  indices between the five parasite populations. Overall, the  $F_{ST}$  values were low ranging from 0.014 to 0.081, and were significantly different from zero for all population comparisons ( $P < 0.05$ ). The low  $F_{ST}$  indices observed virtually indicates absence of population sub-structuring among the studied populations.



**Figure 4.5** Levels of genetic differentiation between the five *P. falciparum* populations. Red lines indicate possible routes of parasite transmission as determined by low  $F_{ST}$  index between the sites.

Cluster analysis using structure software was then performed in order to determine the most accurate number of parasites with similar microsatellite genotypes circulating in these populations. Structure analysis identified three putative clusters *(*ΔK= 32.45) with much admixture in the five regions (Figure 4.6). The analysis assigned malaria parasites to particular genetic cluster based on membership coefficients, for each of the geographic regions representing parasite populations. Each cluster is represented by color codes; dominant cluster (orange), common cluster (blue), and rare cluster (yellow). The common cluster of genotypes included most of the parasites from Ngodhe (60.68%). The dominant cluster assigned 63.81% of isolates from Kibuogi and the rare cluster grouped 48.94% of isolates from Ungoye.



**Figure 4.6** Structure analysis and assignment test for 188 *P. falciparum* genotypes. Each bar represents the proportion of each genotype in the defined clusters, each cluster being indicated by a different color; dominant cluster (Orange), common cluster (Blue), and rare cluster (Yellow).

### **4.6.4 Multilocus linkage disequilibrium in** *P. falciparum* **populations**

A measure of non-random association among loci (multilocus LD) was calculated on mixed-clone infections and separately for single-clone infections using the index of association  $(I_A^S)$ . For this analysis, mixed-clone infections included the whole dataset comprising 151 isolates. All these isolates were single representatives of each genotype in each population dataset (unique genotypes). Single-clone infections comprised a curtailed dataset of 37 isolates with the predominant allele at each locus. The latter analysis was used to confirm LD in the absence of genotypes detected from multiple infections, which can result in higher estimates of recombination and thus bias against the detection of LD. The degree of LD was highly variable in the five parasite populations. The overall  $I_A^S$  values ranged from 0.026 to 0.286 when singleclone infections were analyzed and -0.005 to 0.073 when mixed-clone infections were examined (Table 4.4). No evidence for multi-locus LD ( $P = 0.18$ ) was found in all five populations when single-clone infections were analyzed. Mixed-clone infections only showed significant associations in Kibuogi and Takawiri populations.

		<b>Mixed-clone infections</b>		<b>Single-clone infections</b>						
<b>Population</b>	No.	$I_A^S$	<b>P-value</b>	No.	$I_A^{\phantom{A}S}$	<b>P-value</b>				
Kibuogi	28	$0.043*$	0.01	$\mathcal{I}$	0.095	0.08				
<b>Mfangano</b>	36	0.009	0.10	14	0.026	0.16				
<b>Ngodhe</b>	14	0.036	0.09	3	0.286	0.31				
<b>Takawiri</b>	29	$0.073*$	0.01	7	0.027	0.31				
<b>Ungoye</b>	44	$-0.005$	0.63	6	0.068	0.11				
<b>Total</b>	151	$0.019*$	0.01	37	0.013	0.18				

**Table 4.4 Multilocus linkage disequilibrium among** *P. falciparum* **populations**

No. indicates number of isolates for each measure. \*Significant levels for a test of departure from 0 for IAS values  $(P < 0.05)$ .

### **CHAPTER FIVE**

### **DISCUSSION**

## **5.1 Performance of single fluorescent-labeled microsatellite assay**

A novel single fluorescent-labeled assay described in this study provides a number of attractive practical features as molecular tool investigating population genetics of *P. falciparum*. It is well known that sensitivity of PCR technique largely depends on various factors such as quality of DNA, parasite densities, amplification conditions, reagents and characteristics of the target locus. Jelinek *et al.* (1996), reported that sensitivity of PCR was much linked to parasite density. Considering the influence of such confounding factors, several experimental assays have demonstrated sensitivity detection limit of 50-100 parasites/µl on nested-PCR amplification of *P. falciparum* (Scopel *et al*. 2004; Coleman *et al*. 2006). The detection limit of the optimized single fluorescent-labeled assay was 50 parasites/ $\mu$ l blood, which was comparatively similar to those described in other experimental studies. However, Li *et al*. (2007), used this assay in typing *P. yoelii* microsatellites, but did not present any data on the assay's sensitivity.

There are several problems associated with microsatellite genotyping systems including the accuracy in estimating the sizes of PCR amplicons, which are not always consistent with the sizes predicted from the genome sequences. The M13 primer was expected to add 19 nucleotides to the PCR products. Comparison of alleles sizes from the predicted *P. falciparum* genome sequences and those estimated from our lab-grown lines showed that all of marker loci had alleles with added sizes of 19bp thus indicating successful incorporation of M13 tags. In this study, all laboratory-lines genotyped gave robust products of expected size on respective marker loci with no mispriming demonstrating specificity of the assay. Another pervasive problem is null alleles. Null alleles are caused by mutations in the primer binding regions especially the 3'

end and prevent amplification of the affected alleles. According to Chapuis and Estoup (2007), the presences of null alleles erroneously inflate genetic differentiation levels and affect population genetic analyses. However, this assay revealed absence of null alleles in all amplified loci, indicating high precision of the assay in mitigating genotyping errors.

## **5.2 Genetic characteristics of** *P. falciparum* **populations**

This work presents the most extensive findings to date of the population and genetic structure of *P. falciparum* isolates from Lake Victoria islands using microsatellite markers analysis. Other studies had observed that the prevalence of malaria in this lake region is geographically and seasonally variable (Idris *et al*. 2014). This study was aimed at examining levels of parasite genetic diversity, population structure and gene flow, as this would allow tracking of parasite migratory routes in the study sites before the implementation of interventions.

## **5.3.1 Genetic diversity characterized by genotype profiles**

This study revealed overall regional expected heterozygosity index value of 0.84 that were substantially higher than those observed in low transmission areas of South America: Brazil, (*He* = 0.14 - 0.62) (Hoffmann *et al*. 2003) and Southeast Asia: Thailand, (*He* = 0.65) (Pumpaibool *et al*. 2009). However, these heterozygosity index values were comparatively similar to those previously described in other countries with high malaria transmission intensities such as: Vietnam (*He* = 0.52- 0.91), Nigeria, (*He* = 0.79), Congo, (*He* = 0.80), and Uganda (*He* = 0.76) (Oyebola, *et al*. 2014; Durand *et al*. 2003; Anderson *et al*. 2000). Similarly, the results showed a high mean number of alleles  $(A = 16.38)$  for the five parasite populations. Generally, the number of alleles detected per locus is likely to be high in regions of high endemicity and low in regions of low endemicity (Anderson *et al*. 2000). Based on this, the number of alleles

recorded in this Lake region were comparable to levels observed in other high endemic regions of sub-Saharan Africa with allelic mean number ranging from 5.3 to 13.5 (Bogreau *et al*. 2006; Zhong *et al*. 2007).

### **5.3.2 Measurement of infection complexity**

A large proportion of isolates reported here were multi-infected, with mean MOIs levels varying among the parasite populations. The high levels of multi-infections arguably resulted into high genetic diversity observed in this lake region. Overall, a mean MOI of 2.83 was recorded across populations and was comparatively similar to that described in other African areas; Guinea Bissau (MOI = 2.6), Gambia (MOI = 2.4), Senegal (MOI = 2.2) and Djibouti (MOI = 2.2), (Mobegi *et al.* 2012; Bogreau *et al.* 2006). Assuming each genotype observed is transmissible to the vector (mosquito) during a blood meal, the rate of cross-over fertilization and genetic recombination is likely to be high. The high rates of MOI reported in this study could be attributed in most part to high transmission rates in this malaria endemic part of Kenya.

## **5.3.3 Characterizing the population structure and gene flow**

The genetic differentiation index  $(F_{ST})$  revealed evidence of low sub-structuring among the studied populations. Most of the F<sub>ST</sub> values were comparable to those revealed between *P*. *falciparum* populations from endemic regions in Africa (Anderson *et al*. 2000; Mobegi *et al*. 2012; Oyebola, *et al*. 2014) but substantially lower than those from less endemic regions such as Philippines (Iwagami *et al*. 2009), Papua New Guinea (Schultz *et al*. 2010), and Brazil (Machado *et al.* 2004). The level of genetic differentiation was high ( $F_{ST} = 0.081$ ) between the mainland region (Ungoye) and Kibuogi Island despite their geographic proximity (9.6km apart) whereas the next closest site from the mainland region (Mfangano, 15.8km) recorded a relatively low level of genetic differentiation ( $F_{ST} = 0.023$ ). Based on these observations, there might be

existence of gene flow barriers between these parasite populations. It has elsewhere been reported that parasite gene flow among populations could highly be influenced by human (Schultz *et al*. 2010; Lum *et al*. 2004) and mosquito (vector) dispersals (Costantini *et al*. 1996). Thus, these migrations could be playing significant role in shaping parasite population structure in the region. Besides migration, geographic barrier between the sites may be another cause of genetic differentiation by partial isolation by distance and breaking down of panmixis. With no air and road networks, migration of inhabitants across these geographically isolated islands is mainly through small fishing boats or ferry services.

Several epidemiological studies have shown that human movement and levels of genetic differentiation have inverse correlation (Lum *et al*. 2007; Lum *et al*. 2004; Schultz *et al*. 2010). For example, Lum *et al.* (2004) demonstrated that frequent human movements between geographically isolated islands were responsible for importation of new parasite genotypes into existing parasite population subsequently resulting in low  $F_{ST}$  indices. Thus,  $F_{ST}$  indices are pertinent in inferring gene flow through movement of inhabitants. Based on this, the results from this study strongly suggest frequent human traffic between the mainland (Ungoye) and Mfangano island than any other island as shown by  $F_{ST}$  value ( $F_{ST} = 0.023$ ). Across the islands, human movement was high between Kibuogi and Takawiri ( $F_{ST} = 0.014$ ). Lum *et al.* (2004) and Pumpaibool *et al.* (2009) have highlighted political and territorial conflicts, linguistic, and cultural diversity as major barriers impeding human interaction which is essential for malarial gene flow. However, with no such political and socio-cultural barriers within residents of this lake basin, routine socio-activities or seasonal migrations of the residents could be the major factors influencing parasite migratory routes. Despite possible direct migratory route between Ungoye (mainland) and Kibuogi Island, human movements are minimal as compared to Ungoye

and Mfangano Island, which are geographically far apart. This frequent human movement between Ungoye and Mfangano could be attributed to a ferry service available on this seaway. Further, high human traffic trends inferred from  $F_{ST}$  index between Kibuogi and Takawiri ( $F_{ST}$  = 0.014) could be due to immense seasonal fishing activities by migrant fishermen. Although Ngodhe is the furthest site from Ungoye (mainland), the extent of human movement into the region is difficult to confirm due to the small sample size used in this study.

## **5.3.4 Spatial distribution of parasite clusters**

In this study, cluster analyses found a dominant cluster (orange) of genotypes circulating between the Kibuogi-Takawiri islands, which are consistent with the observed low levels of genetic differentiation ( $F_{ST} = 0.014$ ) between the two sites. Furthermore, these dominant clusters appeared to be circulating between the Kibuogi-Takawiri-Mfangano islands. Based on observation this regular and informal human travel could accelerate the spread of these clusters of parasite genotypes and could have substantial influence on malaria epidemiology in the study areas. An earlier reports in Papua New Guinea (Schultz *et al*. 2010), suggests the importance of human movement in mapping malaria parasite migration routes on islands. The study showed that the "mapped" human migratory routes lead to the spread of malaria parasite and was responsible for seasonal malaria cases in the catchment areas. The results shows importance of mapping possible parasite transmission routes prior to implementation of malaria interventions.

## **5.3.5 Patterns of Linkage disequilibrium in the populations**

Global studies have reported linkage disequilibrium (LD) to be inversely associated with high levels of malaria transmission (Anthony *et al*. 2005; Anderson *et al*. 2000). In areas with high transmission rates, LD is rapidly broken down due to increased proportion of mixed genotypes, leading to crossbreeding and meiotic recombination. On the other hand, low

transmission rates decrease the frequency of mixed genotypes and so inbreeding, which increases LD. Within each population, a large proportion of isolates were multi-infected and as expected, no significant deviation from random allelic association was observed on single-clone infections. The overall association index was  $0.013$  ( $P = 0.18$ ) when single infections were analyzed, which was relatively weaker than that reported in low transmission areas (Pumpaibool *et al*. 2009; Larrañaga *et al*. 2013). This concurs with other epidemiological studies that have reported lack of LD in high transmission regions when single-clone parasite infections are analyzed (Mobegi *et al.* 2012; Oyebola *et al.* 2014).

As Kibuogi and Takawiri parasite populations had relatively low levels of MOI (2.51 and 2.53 respectively) compared to the other study sites, it was not surprising to find significant LD when mixed-clone infections were analyzed. Such significant LD could suggest high rates of ongoing inbreeding arising from selfing or as a consequent of high genetic relatedness among the genotypes from the isolates. Indeed, separate studies from Kenya (Razakandrainibe *et al*. 2005), Senegal (Leclerc *et al*. 2002), and the Democratic Republic of Congo (Durand *et al*. 2003) have revealed significant LD despite their high transmission rates. However, caution is needed when interpreting such kind of observations. It has elsewhere been shown that individuals residing in high endemic regions are prone to harbor a mixture of genetically distinct parasite genotypes which may be obtained from the single bite of a mosquito infected with more than one parasite genotype (Fraser-Hurt *et al*. 1999; Rosenberg *et al*. 1990). Since these parasites obtained from mixed-clone infections per isolate may be as a result of single recombination event in the mosquito, they are therefore expected to be closely related skewing the result to a higher and statistically significant LD.

## **CHAPTER SIX**

# **CONCLUSION AND RECOMMENDATION**

#### **6.1 Conclusion**

In conclusion, the study found that:

- 1. The single fluorescently labeled microsatellite assay for genotyping *P. falciparum* was highly sensitive, specific and reproducible. This PCR-based method offers a more practical and acceptable alternative for effective and accurate identification of *P. falciparum* genotypes. Although it extensively relies on capillary electrophoresis, which may not be available in many resource-poor settings, cheap genotyping services are available and so genotyping can be done "by post" and data files returned electronically to respective center. Therefore, there is no reason why this technique should remain exclusively for affluent countries.
- 2. The genetic diversity of Lake Victoria's *P. falciparum* populations are fairly diverse (*He* = 0.84) and falls within the expectation of a high malaria transmission zone. Similarly, the multiplicity of infections within the lake region was relatively high, a suggestive of high transmission and stable parasite population. Such characteristics may constitute an obstacle to malaria control strategies as genetic polymorphism may facilitate parasite population to adapt to their host and counteract any interventions, such as anti-malarial drugs or vaccines.
- 3. The population structure of *P. falciparum* in the lake basin was low ( $F_{ST} = 0.044$ ) with no evidence of sub-structuring. The low level of population variations observed between the study sites is likely to be a consequence of immense gene flows into and out of the islands. Such pronounced parasite gene influx mediated by human movements is likely to represent another hindrance to malaria control. Indeed, high

parasite flux from one site to another could favor the transfer of advantageous parasite traits, such as anti-malarial drug resistant strains. Arguably, malaria control measures should be intensified in the region and that all malaria foci must be tackled simultaneously to reduce parasite reintroduction.

4. The LD among microsatellite loci was relatively low  $(I_A^S = 0.013)$ , a clear indicator of high parasite transmission in the lake site. Significant LD observed in Kibuogi and Takawiri when mixed-clone infection were analyzed could suggest high rates of ongoing inbreeding arising from selfing or as a consequent of high genetic relatedness among the genotypes from the isolates.

## **6.2 Recommendation**

This study represents a first attempt to analyze the genetic characteristic of *P. falciparum*  population in Lake Victoria islands using a novel single fluorescent-labeled microsatellite assay. However, the study recommends that:

- 1. Since malaria is for the most part, endemic in developing countries with limited budgets and techniques for use in malaria research, this assay would ideally be of great benefit to research groups who perform low-throughput genetic analyses with a high number of microsatellite markers. It proved to be highly sensitive, specific and reproducible and it would be applicable in determining the genetic diversity, MOI, population structure and LD of *P. falciparum* populations.
- 2. On the lead up to malaria elimination goals in Lake Victoria basin, elimination strategies need to be implemented indiscriminately on the entire islands and adjoining mainland region to curtail possible parasite gene flow and subsequent malaria importation through human movement.

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## **APPENDICES**

## **Appendix I Primer details for eight microsatellite markers**



P. falciparum lines	<b>Parasite density</b>	DNA concentration (ng/µl)	Absorption 260/280	
MAD <sub>20</sub>	5000	620.7	1.85	
	1000	218.3	1.89	
	500	171.8	1.82	
	100	101.2	1.78	
	50	67.9	1.83	
	$10\,$	30.2	1.80	
	$\sqrt{5}$	18.6	1.91	
	1	7.1	1.87	
K1	5000	719.7	1.97	
	1000	207.0	1.88	
	500	142.9	1.83	
	100	88.5	1.94	
	50	49.3	1.87	
	$10\,$	20.6	1.99	
	$\sqrt{5}$	10.4	1.82	
	$\mathbf{1}$	9.3	1.75	
FCR3	5000	680.1	2.01	
	1000	228.5	1.81	
	500	182.7	1.77	
	100	77.6	1.84	
	50	55.0	1.83	
	10	37.9	1.92	
	$\sqrt{5}$	17.2	1.89	
	1	11.5	1.81	
<b>THAI 838</b>	5000	590.8	1.86	
	1000	202.4	2.05	
	500	133.6	1.99	
	100	94.1	1.82	
	50	51.8	2.03	
	10	30.2	1.84	
	$\sqrt{5}$	22.7	1.86	
	1	8.2	1.94	

**Appendix II Spectrophotometer reading of DNA extracted from parasite culture lines**

locus	<b>Allele</b>	Kibuogi	<b>Mfangano</b>	<b>Ngodhe</b>	<b>Takawiri</b>	<b>Ungoye</b>
	102	0.000	0.000	0.000	0.000	0.020
	72	0.029	0.000	0.059	0.028	0.040
	$\overline{75}$	0.686	0.300	0.059	0.528	0.020
ARA2	78	0.057	0.040	0.059	0.056	0.100
	81	0.000	0.020	0.000	0.000	0.100
	84	0.086	0.280	0.176	0.167	0.300
	87	0.086	0.220	0.529	0.139	0.180
	90	0.000	0.040	0.059	0.000	0.120
	93	0.000	0.100	0.000	0.028	0.060
	96	0.000	0.000	0.059	0.056	0.040
	$\overline{99}$	0.057	0.000	0.000	0.000	0.020
	102	0.029	0.000	0.000	0.000	0.000
	105	0.057	0.160	0.059	0.056	0.440
	111	0.000	0.160	0.000	0.083	0.000
	114	0.143	0.280	0.118	0.167	0.060
	117	0.029	0.160	0.059	0.083	0.060
	120	0.000	0.020	0.000	0.000	0.000
	129	0.000	0.000	0.176	0.028	0.020
	132	0.000	0.000	0.000	0.028	0.000
	141	0.000	0.000	0.000	0.056	0.000
	144	0.000	0.020	0.118	0.000	0.000
<b>PFG377</b>	147	0.000	0.020	0.118	0.000	0.000
	81	0.257	0.020	0.059	0.111	0.000
	84	0.029	0.020	0.059	0.056	0.140
	87	0.200	0.000	0.059	0.028	0.080
	90	0.143	0.020	0.118	0.139	0.080
	93	0.029	0.120	0.059	0.083	0.080
	96	0.086	0.000	0.000	0.083	0.040
	140	0.029	0.000	0.000	0.000	0.000
TA1	146	0.000	0.000	0.000	0.000	0.020
	149	0.000	0.000	0.000	0.000	0.020
	151	0.029	0.000	0.000	0.000	0.000
	152	0.000	0.020	0.000	0.000	0.000
	164	0.000	0.000	0.412	0.056	0.000
	173	0.000	0.040	0.000	0.000	0.000
	176	0.000	0.000	0.000	0.000	0.040
	179	0.314	0.200	0.118	0.222	0.220
	182	0.029	0.140	0.118	0.000	0.060
	185	0.057	0.140	0.000	0.167	0.200
	188	0.057	0.100	0.118	0.111	0.180
	191	0.171	0.140	0.059	0.028	0.040
	194	0.029	0.080	0.000	0.139	0.040
	197	0.114	0.080	0.000	0.167	0.060
	200	0.086	0.020	0.000	0.028	0.040
	203	0.000	0.040	0.000	0.028	0.020
	206	0.000	0.000	0.000	0.000	0.020
	209	0.000	0.000	0.059	0.028	0.000
	212	0.000	0.000	0.118	0.028	0.000
	215	0.000	0.000	0.000	0.000	0.020
	218	0.000	0.000	0.000	0.000	0.020

**Appendix III Allelic frequencies at 8 microsatellite loci**







## **Appendix IV Alleles scored in each of the 188** *P. falciparum* **isolates genotyped at 8 loci**







Highlighted light orange are predominant alleles while additional allele(s) are not highlighted.