ADAPTIVE NOISE CANCELLATION USING MODIFIED SIMULATED ANNEALING ALGORITHM

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Adaptive noise cancellation using modified simulated annealing algorithm

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DECLARATION

This thesis is my original work and has not been presented for a degree in any other university.

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DEDICATION

This thesis is dedicated to my parents for their immense support, encouragement and counsel throughout my academic life. Also, this thesis is dedicated to my wife, Milda who has been a great source of encouragement and inspiration throughout my period of study.

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LIST OF ABBREVIATIONS

AAC	Advanced Audio Coding
ABC	Artificial Bee Colony
AI	Artificial Intelligence
ANC	Adaptive Noise Cancellation
ANFIS	Adaptive Neuro Fuzzy Inference System
AWGN	Additive White Gaussian Noise
\mathbf{CS}	Cuckoo Search
DTW	Dynamic Time Warping
ECG	Electro Cardio Gram
EDF	Evolutionary Digital Filtering
FIR	Finite Impulse Response
GA	Genetic Algorithm
IIR	Infinite Impulse Response
LMS	Least Mean Square
M4A	MPEG-4 Audio
ME	Maximum Error
MMSE	Minimum Mean Square Error
MSE	Mean Square Error
NLMS	Normalized Least Mean Squares
PSO	Particle Swarm Optimization
RLS	Recursive Least Squares
\mathbf{SA}	Simulated Annealing
SINR	Signal to Interference and Noise Ratio
SNR	Signal to Noise Ratio
SSNR	Segmental Signal to Noise Ratio
WLMS	Wavelet transform domain Least Mean Square

ABSTRACT

Adaptive Noise Cancellation (ANC) entails estimation of signals corrupted by additive noise or other interference. ANC utilizes a "reference" signal correlated in some way with the "primary noise" in the noise cancellation process. In ANC, the reference signal is adaptively filtered and thereafter subtracted from the "primary" input to obtain the desired signal estimate. Adaptive filtering before the subtraction process allows for handling of inputs that are either deterministic or stochastic, stationary or time varying. ANC has been widely applied in the fields of telecommunication, radar and sonar signal processing. The performance and efficiency of ANC schemes is based on how well the filtering algorithm can adapt to the changing signal and noise conditions. It is worthwhile focusing on developing better variants of AI algorithms from the point of view of ANC.

This thesis is focused on: development of a modified version of the Simulated Annealing (SA) algorithm and its application in ANC. This is alongside an analysis of the effectiveness of the standard and modified SA algorithms in ANC in comparison to standard Least Mean Square (LMS) and Normalized Least Mean Square (NLMS) algorithms. Signals utilized in this study include: sinusoidal signals, fetal electrocardiogram signals and randomly generated signals.

The modified SA algorithm has been developed on the basis of making modifications to the control parameters of the standard SA on the basis of the acceptance probability and the cooling schedule. A low complexity acceptance probability scheme has been proposed. The proposed cooling schedule is iteration-adaptive to improve on algorithm convergence. The ANC problem is formulated as a minimization problem entailing the minimization of the difference between a noise contaminated signal and a weighted estimate of the noise content. This is achieved through optimal ANC tap-weight adjustment. The algorithms under study are applied in the weight generation process with the expected outcome as ideally a noise free signal. In this evaluation, performance measures analyzed in the study are mis-adjustment and convergence rate. To evaluate these, Euclidean distances and the correlation factors between the desired signal and the filtered signal are applied. In the said analysis the improved SA is found to generate the minimal error and fast execution speed in ANC compared to standard SA, LMS and Normalized LMS.

The main contribution done in this study is the validation of the application of modified SA algorithm in adaptive filters. This has been done through a series of simulations involving the SA algorithm in a MATLAB environment. In addition, through improvements made on the standard SA algorithm, the convergence rate of SA has been increased alongside the overall solution accuracy.

CHAPTER ONE INTRODUCTION

1.1 Background

Distortion of a signal of interest by other undesired signals (noise) is a problem encountered in many signal processing applications. In most applications, the desired signal has changing characteristics which require an update in the filter coefficients for a good performance in signal extraction. Since conventional digital filters with fixed coefficients do not have the ability to update their coefficients, adaptive digital filters are used to cancel the noise.

Adaptive Noise Cancellation (ANC) filters have been of immense interest due to their self-reconfiguration properties (Haykin, 2002). When some prior knowledge about the statistics of a signal under consideration is available, an optimal filter for such application can be easily developed (for instance the Wiener Filter which minimizes the respective Mean Square Error (MSE)). MSE is the difference between the developed optimal filter output and the desired response (Haykin, 2002). If this prior knowledge is unavailable, adaptive filtering algorithms have the ability to adapt the corresponding filter coefficients to be well-matched with the involved signal statistics. Adaptive filtering/ noise cancellation algorithms have been used in many fields such as signal processing, communications systems and control systems (Haykin, 2002).

Adaptive filtering process consists of two major steps; the filtering process which generates an output signal (response) from the input signal, and an adaptation process; which adjusts the filter coefficients intelligently in order to result in an optimal output. There are a number of filter structures and adaptive filtering algorithms that are used in adaptive filtering applications. Such structures include: adaptive system identification, adaptive noise cancellation, adaptive linear prediction, adaptive inverse system configurations. Of particular interest in this thesis is the adaptive noise cancellation configuration. Adaptive noise cancellation is essential in a broad range of communication and automation/ sensor based systems.

Adaptive filters are usually classified into two main categories depending on their impulse response: Finite Impulse Response (FIR) adaptive filter and to a limited extent Infinite Impulse Response (IIR) adaptive filter (Bellanger, 2001). An FIR adaptive filter's impulse response has a finite duration since it goes to zero after a finite time whereas an IIR adaptive filter has an internal feedback mechanism and continues to respond indefinitely. FIR filters are generally preferred for adaptive filtering due to their inherent stability. General research and applications of adaptive noise cancellation can be found in (Z.-K. Yang et al., 2020; Mehmood et al., 2019; Murugendrappa et al., 2020; Thunga & Muthu, 2020; Wei & Shao, 2019; Miyahara et al., 2019; Maurya et al., 2019; Balaji et al., 2020; Dixit & Nagaria, 2019; Patnaik et al., 2020).

1.2 Problem statement

Adaptive filters have a wide range of applications in a variety of engineering applications which basically lie in four broad categories namely: Interference cancelation, system identification, inverse system modeling as well as signal prediction. Interference cancelation is the focus of this research.

In all these applications the performance and efficiency of the noise cancelation scheme is based on how well the adaptive algorithm can adapt to the changing signal and noise conditions. This therefore means that adaptive filters are basically governed by the weights adaptation process for the algorithms utilized in the noise cancelation process.

Previous research has been centered and done in application of gradient based algorithm like Least Mean Squares (LMS) in the weights adaptation process which have shortcomings when applied to signals which have multiple maxima and/or minima since in the process of exploring the solution space they may get trapped in a local solution thus failing to generate the desired results. No much focus has been placed on guided random search algorithms such as Simulated Annealing (SA) and Genetic algorithm (GA) in ANC. An application of AI in ANC can be found in (Xia et al., 2008), wherein the Particle Swarm Optimization (PSO) algorithm has been utilized. However, PSO is found to suffer from premature convergence. An application of AI in ANC can be found in (Chang & Chen, 2010), wherein the GA algorithm has been utilized. However, GA is found to suffer from slow convergence rate. Focus ought to be particularly on developing better variants of AI algorithms from the point of view of adaptive noise cancellation.

SA is known to generate optimal results in global optimization problems but it faces a major setback of having very slow convergence rate. This study looks into the application of standard SA in ANC as well as explores the control parameters to increase convergence rate and reduce misadjustment. The influence of the acceptance probability and the cooling schedule on the algorithm annealing process are control parameters that are the basis of this study.

1.3 Justification

The process of obtaining viable adaptive filter weights as quickly as possible in noise cancelation schemes is crucial depending on the application and requirements at hand. Classical algorithms such as the Least Mean Squares (LMS) algorithm fail in satisfying this requirement, hence the growing research into use of Artificial Intelligence(AI) algorithms.

Use of AI in ANC adaptive weight evaluation is an active area of research with Genetic Algorithm receiving the major research focus. This is unlike SA which has had fewer studies conducted in application to optimization problems. SA is a robust versatile algorithm due to its inherent nature of expansive search of the solution space which guarantees a statistical optimal solution to an optimization problem. This algorithm also has fewer number of control parameters that are used in tuning its performance compared to other heuristic algorithms like GA. Weight adaptation process in ANC requires a solution which is easily programmable, This has a direct relationship with the number of control parameters and number of cost evaluations thus SA proves to be the best candidate for this since the key control parameters are the cooling schedule and the acceptance probability schemes.

The major drawback for Simulated Annealing is the slow execution speed, though the algorithm is said to be a 'quick starter' its overall execution speed is low compared to other AI algorithms like GA. Thus there is need to work on improving the execution speed for SA while at the same time comparing its efficiency in the weights adaptation process to other conventional algorithms such as LMS, and Normalized Least Mean Squares (NLMS).

1.4 Objectives

1.4.1 Main objective

To develop an improved version of the Simulated Annealing (SA) algorithm and apply it in adaptive noise cancellation using simulation models in Matlab.

1.4.2 Specific objectives

- 1. To develop an adaptive noise cancellation model in Matlab.
- 2. To develop a modified version of the SA algorithm.

- 3. To apply standard SA algorithm and its modified version in the developed ANC models and compare the effectiveness of the modified version in ANC over the standard SA algorithm.
- To analyze the effectiveness of the standard and modified Simulated Annealing algorithm in ANC in comparison to standard LMS and NLMS algorithms.

1.5 Thesis organization

Chapter 1 lays down an introduction to the work carried out in the thesis. Chapter 2 lays down a review of various works done by other researchers in the ANC problem as well as a review of the SA algorithm. Chapter 3 (Methodology) describes the development of an ANC model and the development of an improved SA algorithm. Chapter 4 (Results and Discussion) analyzes the effectiveness of the improved SA algorithm in ANC. Chapter 5 gives an overall conclusion and recommendations derived from the work done and possible scopes that can be covered in future work.

CHAPTER TWO LITERATURE REVIEW

In this Chapter, reviews pertaining Adaptive Noise Cancellation (ANC), viable ANC performance measures and use of Least Mean Squares (LMS)/ Normalized LMS (NLMS) in ANC are presented. Use of artificial intelligence based algorithms (in ANC in particular Simulated Annealing (SA) algorithm) has been reviewed.

2.1 Adaptive Noise Cancellation

In the filtering process there are two categories of filters, these are the fixed filters and the adaptive filters. Fixed filters have a predefined fixed frequency response which cannot change in the course of the filter operation irrespective of the changes in noise and/or the desired signal. Their operation is basically achieved by modeling the noise signal and subtracting it from the signal distorted by noise (A. Singh, 2001).

For randomly changing signal conditions, this direct subtraction of the noise at the desired signal point results in a high possibility of distorting the signal more or also increasing the noise. This is because the nature of the transmission channel and the noise that exists along the transmission channel are not known. Moreover, for the noise signal to be eliminated the noise subtracted should be highly correlated to the noise present at the desired signal tapping point. This cannot be achieved without the use of a filter whose response can change with the changing noise and signal conditions, hence adaptive filters (A. Singh, 2001). Adaptive filters are the major component of ANC schemes. In communication systems, the term filter refers to a system that reshapes the frequency components of an input signal to generate an output signal with desirable features. Basically an ANC would be of the nature illustrated in Figure 2.1 (Haykin, 2002), (Albert, 1991).

In this configuration, the input $n_0(n)$ (a noise source), is compared with a signal d(n), which consists of a signal s(n) corrupted by some noise signal. Input x(n) is highly correlated to the signal noise $n_0(n)$ and lowly correlated to signal s(n). As y(n) adapts to $n_0(n)$, e(n) tends to s(n); The output e(n) as depicted in Equation 2.1 below is ideally free of noise.

$$e(n) = d(n) - y(n)$$
 (2.1)

W(n) is the adaptive filter with an adaptive algorithm as the main processor. Adaptive algorithms are used in the weights adaptation generation and selection



Figure 2.1: Adaptive noise canceller

to achieve the optimal weights thus, careful selection of the optimal adaptive filter coefficients can lead to the error signal e(n) being equivalent to the signal s(n). Applications of research in adaptive noise cancellation can be found in (Tsao et al., 2018; Arif et al., 2017; Kapoor et al., 2017; Yelwande et al., 2017; D. Yang et al., 2018).

2.2 Adaptive Noise cancellation performance measures

Adaptive noise cancellation performance measures can be categorized into: convergence rate, computational complexity, robustness (Dimitris & Tsitsiklls, 1993) and tracking capability (Widrow, 1975). In this thesis, performance measures of interest are convergence rate and computational complexity, the subject of the next two subsections. Convergence rate is a crucial factor in real-time systems. Computational complexity is a factor of consideration in processors bearing low computational power (typical of embedded systems).

2.2.1 Convergence rate

The convergence rate is the rate (time-wise) at which a filter converges to the corresponding optimal state (minimal error state) (Haykin, 2002). Fast convergence rate which is a time to converge issue, whilst ensuring stability, is a desired characteristic of an adaptive system. During algorithm execution the error indicators are evaluated and if they meet a predefined accuracy criterion the algorithm execution stops (fast convergence implies low algorithm execution time).

2.2.2 Computational complexity

Computational complexity is of significance in real-time adaptive filter applications. In the implementation of a real time system, there are hardware limitations that may affect the performance of the resultant system (Hameed, 2012). The level of computational complexity in ANC is dependent on the algorithm employed in the weights adaptation process. Additionally, it is determined by the number of multiplications, additions, subtractions and divisions during the algorithm execution.

The higher the number of mathematical operations the more the complexity of the algorithm (Bajic, 2005). A highly complex algorithm will require much greater hardware and processing resources than a less complex algorithm.

The filter length (count of tap weights) of an adaptive system is inherently tied to many of the other performance measures, particularly computational complexity. The length of the filter specifies how accurately a given system can be modeled by the adaptive filter. In addition, the filter length affects the convergence rate, by increasing or decreasing computation time and complexity (Busetti, 2005). Computation time and complexity are directly proportional to the filter length.

2.3 Adaptive noise cancellation solutions in literature

2.3.1 Use of LMS and NLMS algorithms

LMS and NLMS algorithms are commonly used in adaptive noise cancellation. LMS algorithm minimizes the Mean Square Error (MSE) function given by Equation 2.2 iteratively by updating filter weights in a manner negative to the direction of the gradient of the MSE function J(n).

$$J(n) = E|e(n)|^2$$
(2.2)

where J (n) is the MSE function, E is the expectation operator, e (n) is the error signal.

The weights adaptation process is as per Equation 2.3.

$$\hat{\mathbf{w}}(n+1) = \hat{\mathbf{w}}(n) + 0.5\mu[-\nabla J(n)]$$
(2.3)

where $\hat{\mathbf{w}}$ is the filter weights vector, μ is the step-size, $\nabla J(n)$ is the gradient of the MSE function.

In Equation 2.3, $\hat{\mathbf{w}}$ corresponds to the filter weighting at a given point in time. The constant μ (step-size) determines the time to converge as well as the stability of the algorithm (Haykin, 2002). If the step size is made too small, the algorithm will take more time to converge. However, if μ is made too large the convergence rate is increased as well as the asymptotic error. Thus the selection of the step size is a trade off between the application requirements, stability, accuracy and convergence rate.

A subclass of LMS algorithms that is very commonly used is called the Normalized Least Mean Square (NLMS) algorithm (Shi et al., 2019). This algorithm is an upgrade to the standard LMS algorithm, and it is intended to increase convergence speed, and stability of the algorithms despite increased complexity. The reason for its development is the dependence of standard LMS adaptive filters on the step size μ . The trade-offs regarding the step size can have a profound impact on the applications. Increasing μ increases convergence speed, as well as the asymptotic error, and hence decreases stability. For that reason, a scaling factor for μ is added in order to constantly scale the step size, and in doing so to increase the algorithm's performance while increasing the stability. This is achieved by normalizing the step size μ with an estimate of the input signal power. The normalization factor, however, is inversely proportional to the signal instantaneous power (Shi et al., 2019). So, when the input signal is weak, it increases the step size proportionally, and when the input is large, it reduces the step size accordingly. It acts to both increase the stability, and increase the convergence speed by allowing for variable step size to be used. (Widrow, 1975) The definition of LMS algorithm can be presented as per Equations 2.4 through 2.6.

$$y(n) = \hat{\mathbf{w}}^H(n)\mathbf{x}(n) \tag{2.4}$$

$$e(n) = d(n) - y(n)$$
 (2.5)

$$\hat{\mathbf{w}}(n+1) = \hat{\mathbf{w}}(n) + \mu e^*(n)\mathbf{x}(n)$$
(2.6)

where $\mathbf{x}(n)$ is the tap input vector and d(n) is the noise contaminated signal $s(n) + n_0(n)$.

Normalized Least Mean Squares (NLMS) algorithm follows Equations 2.4 and 2.5, with a modification to the tap weight adaptation scheme as per Equation 2.7.

$$\hat{\mathbf{w}}(n+1) = \hat{\mathbf{w}}(n) + \frac{\mu e^*(n)\mathbf{x}(n)}{\varepsilon + \mathbf{x}^H(n)\mathbf{x}(n)}$$
(2.7)

where in equation 2.7, ε is a regularization parameter to avoid division by zero. In Bajic (2005), research on use of normalized LMS algorithm and wavelet transform domain LMS (WLMS) algorithm in ANC is carried out. Experimentation with audio (music) signals is carried out. Convergence speed is used as the performance measure, with the WLMS algorithm achieving higher convergence rates than LMS attributed to reducing the cost of mathematical operations. However, more research needs to be done for non speech signals on algorithms that would lead to improved convergence speed.

In Hameed (2012), use of Least Mean Squares (LMS), Normalized Least Mean Squares (NLMS) and Recursive Least Squares (RLS) algorithms in ANC is simulated using Matlab under a variety of conditions. An experimental implementation of the same is also done. Mean Square Error (MSE) is used as a measure of noise reduction. Achieved convergence rates however need to be compared to those of better performing AI based algorithms.

In G. Singh et al. (2013), design of an adaptive noise canceller for music signals encountering colored noise using LMS algorithm is carried out successfully. Improved SINR performance is observed. Use of AI algorithms in such filters need to be studied since AI based algorithms promise better performance in terms of convergence rates and solution accuracy.

In A. Singh (2001), various applications of the ANC are studied including an in depth quantitative analysis of its use in canceling sinusoidal interferences as a notch filter, for bias or low-frequency drift removal and as adaptive line enhancer. Other applications dealt qualitatively are use of ANC without a reference input for canceling periodic interference, adaptive self-tuning filter and cancellation of noise in speech signals. Computer simulations for all cases are carried out using Matlab software and experimental results are presented that illustrate the usefulness of Adaptive Noise Canceling Technique.

2.3.2 Use of artificial intelligence algorithms

In Jatoth (2006), a bio-medical application of adaptive noise cancelling techniques for filtering of obtained noisy Electro Cardio Gram signals using Genetic Algorithm (GA) and Particle Swarm Optimization (PSO) algorithm is studied. In addition, their performance in this application are compared to that of LMS. The results of this study show that the two Artificial Intelligence (AI) algorithms outweigh LMS in the solution accuracy. This study also gives an insight into the use of AI in adaptive filters thus showing the possibility of use of Simulated Annealing in adaptive noise cancellation. In Zhou and Shao (2014), an improvement of Evolutionary Digital Filtering (EDF) is presented. EDF notably exhibits the advantage of avoiding local optimums by utilizing cloning and mating rules in an adaptive noise cancellation system. However, it is notable that convergence performance is hampered by the large population of individuals required and the limited flow of information communication among them. A beehive structure somewhat enables individuals on neighbour beehive nodes to easily pass information to each other and thus enhances the information flow and search ability of the algorithm. Through introduction of the beehive pattern evolutionary rules into the original EDF, this paper overcomes the shortcomings of the original EDF. Simulation results demonstrate the improved performance of the proposed algorithm in terms of convergence speed compared with the conventional EDF. The results also verify the effectiveness of the proposed algorithm in significantly extracting noise contaminated signals.

In Thakur et al. (2014), an improved method based on evolutionary search methods is applied in speech signal de-noising. In Thakur et al. (2014), Artificial Bee Colony (ABC), Cuckoo Search (CS) and Particle Swarm Optimization (PSO) algorithms are utilized in adapting the filter parameters required for optimum performance. Through simulations in Matlab, it is found that the ABC algorithm and Cuckoo Search algorithm give better performance in terms of achieved Signal-to-Noise Ratio (SNR) as compared to the PSO approach. The quantitative (SNR, MSE and Maximum Error (ME)) and visual (de-noised speech signals) results illustrate the superiority of the proposed technique over the conventional speech signal de-noising techniques.

In Martinek et al. (2015), the implementation of Adaptive Neuro Fuzzy Inference System (ANFIS) in non-linear suppression of noise and interference is presented. The authors present a comprehensive ANFIS based system for adaptive interference suppression in an audio communication link. The designed system is tested on real voice signals. Performance measures utilized are Segmental Signal to Noise Ratio (SSNR) and Dynamic Time Warping (DTW). The results obtained imply that the ANFIS system is superior to LMS and Recursive Least Squares (RLS) approaches.

Other studies involving application of artificial intelligence in adaptive noise cancellation can be found in (Pitchaiah et al., 2015; Shiva et al., 2016; Munjuluri et al., 2015; Shubhra & Deepak, 2014; Ludger, 2015).

2.4 Simulated Annealing algorithm

2.4.1 Introduction

Simulated annealing (SA) algorithm is an optimization technique based on the way in which a metal cools and freezes into a minimum energy crystalline structure (the annealing process). This algorithm was proposed in 1983 by Kirk et al (Patrick et al., 1983) as a probabilistic method for finding global minima or maxima of a cost function that may possess several local minima or maxima. A description of the SA algorithm can be found in (Emile et al., 2014). An implementation of SA algorithm in hardware is described in (Ferreiro et al., 2013). A classic optimization problem involving train scheduling is solved on the basis of the SA algorithm in (Kang & Zhu, 2016).

2.4.2 Algorithm operation

SA algorithm approaches optimization problems in an analogous manner to a bouncing ball approach (a ball bouncing over mountains (function crests) and from valley (function troughs) to valley). It begins at a high "temperature" which enables the ball to make very high bounces (enables it to bounce over any mountain to access any valley, given enough bounces). As the temperature declines the ball cannot bounce so high and it can also settle to become trapped in relatively small ranges of valleys (Yao, 2008).

In this algorithm, a random distribution of possible valleys or states of the system to be explored is initially generated within the confines of some search region. An acceptance distribution is also defined, which depends on the difference between the function value of the present generated valley to be explored and the last saved lowest valley. The acceptance distribution decides probabilistically whether to stay in a new lower valley or to bounce out of it. All the generating and acceptance distributions depend on the temperature. It has been proved that by carefully controlling the rate of cooling of the temperature, SA can easily find the global optimum (Garcia et al., 2012).

Solutions inferior to "current" solutions are accepted with a probability P given in Equation 2.8, where δ_f is the increase in f (objective function value) and T is a control parameter, which by analogy with the original application is known as the system "temperature" (Patrick et al., 1983).

$$P = \frac{1}{e^{\delta_f/T}} \tag{2.8}$$

2.4.3 Operational elements

The basic operational elements of the SA algorithm are:

- A representation of possible solutions.
- A generator of random changes in solutions.
- A means of evaluating the problem functions.
- An annealing schedule which is an initial temperature and rules for lowering it as the search progresses.

Figure 2.2 shows the SA algorithm flowchart (Busetti, 2005). Initially, when the annealing "temperature" is high, some large increases in the objective function f are accepted and some areas far from the optimum are explored. As execution continues and temperature T decreases as per the cooling schedule, fewer uphill excursions are tolerated (and those that are tolerated are of smaller magnitude). The main equation corresponds to the probability of accepting a worse state and is given by Equation 2.9.

$$P = \frac{1}{e^{c/t}} \tag{2.9}$$

where: P is the probability of accepting a worse state (a worse state is accepted if P is greater than a random number between 0 and 1.), c is the raw change in the evaluation function, t is the current "temperature".

This probability function is borrowed from Boltzmann law of thermodynamics. The law of thermodynamics states that for some physical body at temperature, t, the probability P of an increase in energy magnitude δE , is as given in Equation 2.10 where k is the Boltzmann's constant.

$$P(\delta E) = \frac{1}{e^{\delta E/kt}} \tag{2.10}$$

Temperature reduction involves a linear decrement as given in Equation 2.11.

$$t_i = \alpha t_{i-1} \tag{2.11}$$

where α is a positive constant less than 1 i.e $0 < \alpha < 1$.

2.4.4 One Dimensional Minimization problem

A simple one-dimensional minimization problem is depicted in Figure 2.3. The minimal value is as indicated by an asterisk. This minimal value is to be obtained



Figure 2.2: SA algorithm operation

through the SA algorithm.



Figure 2.3: Typical one-dimension minimization problem

The first step involves generating a possible random solution. This possible solution is as indicated by a circular dot sign in Figure 2.3. The function value at this location is consequently evaluated. What follows is a random movement to another location as depicted in Figure 2.4.



Figure 2.4: Initial iteration solution (dot symbol) as compared against the optimal solution (asterisk symbol).

The function value at this new location is consequently evaluated. A comparison is then made between the previous function value and the current function value . The difference between the two functions is utilized in the solution acceptance scheme depicted in Equation 2.9. The acceptance probability value obtained from Equation 2.9 is compared against some random value. If the acceptance probability value is greater than this random value, the new solution is accepted as the new benchmark and if the acceptance probability value is less than this random value, the new solution is not accepted. In this particular case, since the function value as per Figure 2.4 is way above that depicted by Figure 2.3, the new solution is not accepted. The operation *temperature* is then reduced as per Equation 2.11. A random movement to another location as depicted in Figure 2.5 follows. As this illustration is a minimization problem the solution is accepted. Further movements are as depicted by Figures 2.6 and 2.7 and finally 2.8.

2.4.5 SA algorithm strengths

Simulated annealing can deal with highly nonlinear models, chaotic and noisy data and many constraints. Its main advantages over other local search methods are its flexibility and its ability to approach global optimality (Bezakova et al., 2006). The algorithm is quite versatile and its parameters are easily tuned. Despite having lower convergence rate as compared to other algorithms, the SA algorithm eventually generates an optimal solution. The convergence speed of



Figure 2.5: Second iteration solution (dot symbol) as compared against the optimal solution (asterisk symbol).



Figure 2.6: Third iteration solution (dot symbol) as compared against the optimal solution (asterisk symbol).



Figure 2.7: Fourth iteration solution (dot symbol) as compared against the optimal solution (asterisk symbol).

SA is governed by the cooling schedule, neighbourhood selection process and the acceptance probability scheme. Through optimization of these factors to improve the convergence performance of the SA algorithm, it can be a better choice in



Figure 2.8: Final iteration solution (dot symbol) as compared against the optimal solution (asterisk symbol).

ANC. This is the subject of the research work carried out. SA algorithm accepts poor solutions with a certain probability figure (Yao, 2008). This ensures that the algorithm never gets trapped in local solutions.

2.4.6 SA algorithm improvements

The search method utilized by meta-heuristic algorithms is in fact a local search in the solution space. Investigations of these methods show that their efficiency in solving different types of problems significantly depends on the applied strategy in searching the solution space. Traditional neighborhood selection methods have disregarded the essential characteristics embodied in the chosen neighborhood. In Alazamir et al. (2008), the efficiency of solving combinatorial optimization problems using simulated annealing method by taking the advantages embodied in neighborhood structures is examined. The proposed algorithm (Alazamir et al., 2008) improves simulated annealing in two different aspects: First, by employing multiple neighborhood structures, it performs a more powerful search and second, using optimal stopping problem, it finds the best time to change the temperature which is a critical issue in simulated annealing. However, the novel algorithm is examined on the basis of a very simple problem (the traveling salesman problem) and as such the improvements done might prove unsuitable in complex problems. In Bisht (2004), the aspect of finding a better solution by applying the existing best solution from the population space as the initial starting point is studied. The genetic algorithm is used to generate the best start-point solution creating a hybrid optimization method. The basic idea is to use the genetic operators of genetic algorithm to quickly converge the search to near-global minima/ maxima that will further be refined to a near-optimum solution by simulated annealing

algorithm. The new hybrid algorithm has been applied to optimal weapon allocation in multilayer defense scenario problem to arrive at a better solution than produced by simulated annealing algorithm alone. However, algorithm hybridization leads to more computationally complex algorithms and consequently longer convergence time.

In Bayram and Azahin (2013), a study on improving the search capability of SA algorithm through integration of two new neighborhood mechanisms is presented. The results derived on the basis of the Travelling Salesman Problem have shown that the proposed techniques are more effective than conventional SA, both in terms of solution quality and time. However, the improvement done has not been studied on the basis of any other optimization problem. The proposed integration mechanism potentially leads to an increase in computation complexity, a negativity in terms of convergence time.

In Bezakova et al. (2006), an improved cooling schedule for simulated annealing algorithms for combinatorial counting problems is presented. Under the new schedule the rate of cooling accelerates as the temperature decreases. Thus, fewer intermediate temperatures are needed as the simulated annealing algorithm moves from the high temperature (easy region) to the low temperature (difficult region). This results in accelerated algorithm execution speed. However, the proposed approach has the potential of hampering on solution accuracy.

In Y. Li et al. (2013), a hybrid Genetic algorithm/ SA algorithm is proposed and applied successfully in an e-supply chain problem. Another scenario featuring algorithm hybridization can be found in (Yannibelli & Amandi, 2013), whereby the SA algorithm is hybridized with evolutionary search algorithm in a scheduling problem yielding good results. In Nouri et al. (2013), a hybrid firefly-SA algorithm is developed for a shop problem with learning features and flexible maintenance activities yielding significant results. All in all, algorithm hybridization usually results in computation complexity and reduction of speed of convergence.

Other SA algorithm improvements can be found in (Zhang et al., 2017; Xu et al., 2017; Wu et al., 2017; Shang et al., 2020).

2.4.7 SA Versus Other AI Algorithms

SA's major advantage over other non-gradient based methods (such as Genetic Algorithm, Tabu Search algorithm, Differential Evolution) is an ability to avoid becoming trapped in local minima. This is because the algorithm employs a random search technique, which not only accepts changes that decrease the objective function value f (assuming a minimization problem), but also some changes that increase it with some degree of probability. This therefore guarantees that SA performs better than other AI algorithms (such as Genetic Algorithm, Tabu Search algorithm, Differential Evolution) in optimization problems thus the choice in ANC, the subject of this research. However, the major drawback of SA is slow execution speed which can be increased by optimization of the control parameters, acceptance probability and the cooling schedule. This is the key focus of this research.

2.5 Summary

This chapter has reviewed pertinent issues in ANC. ANC performance measures in particular convergence rate and computation complexity have been reviewed. A review on the use of LMS/ NLMS in ANC has been presented. This is alongside use of artificial intelligence based algorithms in ANC in particular the SA algorithm. Various applications of SA algorithm in ANC have been presented. The shortcomings of the SA algorithm have been presented alongside a review of potential improvements.

It has been noted that the convergence speed of SA is mainly governed by the cooling schedule and the utilized acceptance probability scheme. Through optimization of these two factors to improve the convergence performance of the SA algorithm, it can be a better choice in ANC. Corresponding SA algorithm improvements are presented in Chapter 3 of this Thesis.

It is desirable that the improvements made on the SA algorithm are analyzed on the basis of different signals and noise conditions, a task carried out in Chapter 4 of this Thesis.

In the next Chapter, an ANC simulation model is developed. The development of an improved SA algorithm (through improved acceptance probability and cooling schedule) is also presented.

CHAPTER THREE METHODOLOGY

In this chapter an adaptive noise cancellation model is developed. Three signal formats (electrocardiogram, sinusoidal and irregular random signals) utilized in the subsequent chapter are presented. Euclidean distance and correlation coefficient performance measures have been presented.

Improvements on the conventional SA algorithm performance by proposed cooling schedule and acceptance probability schemes have been presented.

The procedural steps taken towards the application of the standard SA algorithm and its modified version in adaptive noise cancellation have been presented. This is alongside a highlight of the methods applied in establishing the effectiveness of the standard and modified Simulated Annealing algorithm in ANC in comparison to standard LMS and NLMS algorithms.

3.1 Development of an adaptive noise cancellation model

3.1.1 ANC model formulation

An adaptive noise canceller is illustrated in Figure 2.1.

To observe the outcome of adaptive noise cancellation in a broad way, a series of signals are used as input signal S. The signals utilized are Electrocardiogram signals, sinusoidal signals and randomly generated signals. Additive White Gaussian Noise (AWGN) plus other random noise is used as the noise data n_0 . AWGN is representative of naturally occurring noise. A viable filter order is identified in line with the problem at hand (long enough to allow for proper performance bearing in mind the higher the order, the higher the computation intensity).

3.1.2 Cost function formulation

As per Figure 2.1, an adaptive noise canceller has two inputs primary (represented by d) and reference (represented by x). The primary input is a signal sthat is corrupted by the presence of noise n_0 that is uncorrelated with the signal. The reference input is a noise signal x(n) that is uncorrelated with the signal sbut correlated in some way with the noise n_0 . The noise x(n) passes through an adaptive filter to generate an output y that is a close estimate of the primary input noise n_0 . This noise estimate is consequently subtracted from the corrupted signal to generate an estimate of the signal e, the ANC system output.

In typical noise cancellation systems, the objective is usually to produce a system output (Equation 3.12) that is a best fit in the least squares sense to the signal
of interest s. This objective is met through feeding back the system output e to the adaptive filter and consequently adjusting the filter through an adaptive algorithm with an aim of minimizing the total system output power. The system output is typically the error signal for the adaptive process.

In the following analysis, it is assumed that s, n_0 and n_1 are statistically stationary and have zero means; The signal s is uncorrelated with n_0 and n_1 , and n_1 is correlated with n_0 .

$$e = s + n_0 - y \tag{3.12}$$

$$e^{2} = s^{2} + (n_{0} - y)^{2} + 2s(n_{0} - y)$$
(3.13)

Taking the expectation of both sides in Equation 3.13 and realizing that s is uncorrelated with n_0 and y,

$$E[e^{2}] = E[s^{2}] + E[(n_{0} - y)^{2}] + 2E[s(n_{0} - y)]$$
(3.14)

and consequently

$$E[e^{2}] = E[s^{2}] + E[(n_{0} - y)^{2}]$$
(3.15)

The signal power $E[s^2]$ is not affected since the filter is adjusted to minimize the total output power $E[e^2]$ as per Equation 3.16.

$$minE[e^{2}] = E[s^{2}] + minE[(n_{0} - y)^{2}]$$
(3.16)

When the filter weights are adjusted with an aim towards minimizing the total output power $E[e^2]$, the output noise power $E[(n_0 - y)^2]$ is also minimized. Since the signal power in the output remains constant, minimizing the total output power maximizes the output Signal to Noise Ratio (SNR).

In consideration of the various signal formats described in the previous section, the cost function developed is as per Equation 3.17.

$$ES = NCS - WNE \tag{3.17}$$

where: ES denotes Error Signal, NCS denotes Noise Contaminated Signal, WNE denotes Weighted Noise Estimate.

Let \mathbf{w}^T be some MX1 tap-weight vector, $\mathbf{w}^T = [w_1, w_2, ..., w_M]$ where M is the filter length.

Let
$$\mathbf{x}^T(n)$$
 be some $MX1$ input vector, $\mathbf{x}^T(n) = [x(n), x(n-1), ..., x(n-M+1)].$

Then the filter output $y(n|\mathbf{x}_n)$ can be framed as $\mathbf{w}^H \mathbf{x}(n)$ where H is the Hermitian transpose operator.

Equation 3.17 can consequently be written as per Equation 3.18, where e(n) is the filter output, d(n) the noise contaminated signal, w(n) a set of filter weights and x(n) an estimate of the noise signal.

$$e(n) = d(n) - \mathbf{w}^H \mathbf{x}(n) \tag{3.18}$$

The mean squared error (cost function) $J(\mathbf{w})$ can consequently be expressed as $E[e(n)e^*(n)]$ (expanded in Equation 3.19).

$$J(\mathbf{w}) = E[(d(n) - \mathbf{w}^H \mathbf{x}(n))(d^*(n) - \mathbf{x}^H(n)\mathbf{w})]$$
(3.19)

Minimizing the cost function as per Equation 3.19 through filter weights optimization yields an optimal solution (ideally a noise free signal).

3.1.3 Correlation performance measure

In general, the term correlation can imply any statistical association, though it commonly refers to how close two variables are in terms of a linear relationship with each other.

Pearson's product-moment correlation coefficient

"Pearson product-moment correlation coefficient", or "Pearson's correlation coefficient", commonly referred to as "correlation coefficient" is a common measure of dependence between two variables. Pearson's correlation coefficient is obtained by dividing the covariance of the two variables under consideration by the product of their standard deviations.

The population correlation coefficient $\rho_{X,Y}$ between two random variables X and Y with expected values μ_X and μ_Y and standard deviations σ_X and σ_Y is defined as per Equation 3.20 below.

$$\rho_{X,Y} = \operatorname{corr}(X,Y) = \frac{\operatorname{cov}(X,Y)}{\sigma_X \sigma_Y} = \frac{E[(X-\mu_X)(Y-\mu_Y)]}{\sigma_X \sigma_Y}$$
(3.20)

In Equation 3.20:

E is the expectation operator;

cov implies covariance;

corr implies correlation coefficient.

The Pearson correlation measure is defined/ valid if and only if both variables' standard deviations are non-zero and finite. The Pearson correlation measure is +1 in the case of a perfect direct (increasing) linear relationship (correlation). The

Pearson correlation measure is -1 in the case of a perfect decreasing (inverse) linear relationship (anti-correlation). In all other cases, the Pearson correlation measure is a value within the interval (-1, 1). As the correlation measure approaches zero, there is less of a relationship between the variables in question (closer to uncorrelated). A coefficient with a value close to either -1 or 1 implies strong correlation between variables.

3.1.4 Euclidean distance performance measure

In the performance evaluation comparison, Euclidean distances play a pivotal role in the analysis of final solution convergence for different optimization algorithms studied in this thesis. Euclidean distance is a measure of similarity or dissimilarity that can be used to compare two sets of vectors and compute a single number which evaluates the similarity/dissimilarity. For two points in a two dimensional space Euclidean distance is the length of the path connecting them in the plane. The distance between say two points (x_1, y_1) and $(x_2, y_2,)$ is given by Equation 3.21.

$$d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}.$$
(3.21)

In Euclidean three-space plane, the distance between points (x_1, y_1, z_1) and (x_2, y_2, z_2) is given by Equation 3.22.

$$d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2}.$$
 (3.22)

In general, given two n-dimensional vectors:

- $\hat{\mathbf{x}} = [x_1, x_2, x_3 \quad \dots \quad x_n]^T$
- $\hat{\mathbf{y}} = [y_1, y_2, y_3 \quad \dots \quad y_n]^T$

The Euclidian distance d between them is given by Equation 3.23.

$$d = \sqrt{\sum_{i=1}^{n} (x_i - y_i)^2}$$
(3.23)

3.2 Simulated annealing algorithm improvement

The structure of the utilized standard SA algorithm is of the nature described in the listing below (implemented in MATLAB).

1. The SA function definition is framed as: [OptimalPosition,OptimalValue] = SA(CostFunction, InitialRandomSolution, SA options).

The SA ptions structure holds the following parameters:

- cooling schedule; formulated as a linear relationship: CurrentTemperature=PreviousTemperature*0.8.
- initial temperature; formulated as 1
- solution generation; a small random modification of the current solution; formulated as: NewSolution=CurrentSolution+SmallRandomValue.
- 2. Evaluation of the cost function at the initial random solution position.
- 3. Generation of a new solution position as per the solution generation mechanism described in 1.
- 4. Evaluation of the cost function at the new solution position. If the evaluation yields a better result than the previous result, the current solution position is adopted. If the evaluation yields a worse result than the previous result, the current solution position is adopted with some probability value defined as a check on: $RandomValue < \exp \frac{(PreviousResult-CurrentResult)}{(Temperature)}$.
- 5. Temperature is consequently adjusted as per the cooling schedule defined in 1.
- 6. Steps 3 to 5 are repeated until some stopping criteria is met.

Figure 3.9 illustrates the SA algorithm flow chart.

As seen from the above structure, the solution acceptance scheme and the cooling schedule play a pivotal role in the SA algorithm. The improvements done on the SA algorithm focus on the two items, and are consequently described.

3.2.1 Acceptance Probability

During SA algorithm run, not only better solutions are accepted but also worse solutions but with a decreasing probability as execution progresses. The aim of accepting worse solutions during SA algorithm run is to avoid convergence to a local minimum. The probability of accepting a worse solution is determined by two parameters: the temperature and the difference between the objective function values of current solution and neighbor solution (solution under probe).



Figure 3.9: SA algorithm flow chart

In the standard SA algorithm, the probability of accepting a worse move is based on an exponential calculation involving the parameters mentioned in the previous paragraph.

The corresponding exponential equation is given in Equation 3.24, where δ_f is the change in f (objective function value) and T is a control parameter, which by analogy with the original simulated annealing application is known as the system "temperature". The nature of Equation 3.24 is generally based on the manner in which a metal cools and freezes into a minimum energy crystalline structure (the annealing process) as described in the literature review section.

$$P = \frac{1}{e^{(\delta_f/T)}} \tag{3.24}$$

Exponential calculations are computationally intensive and consequently this

slows down algorithm execution speed whilst taking up huge processing resources. Use of simpler but efficient functions would result in increased algorithm convergence rate.

An efficient acceptance probability scheme is hereby defined as per Equation 3.25.

$$P(\delta_f) = 1 - \delta_f / T \tag{3.25}$$

where δ_f is representative of change in objective function value and T is representative of system temperature.

The graph relayed in Figure 3.10 clearly indicates that a linear function of the nature depicted by Equation 3.25 approximates the exponential function for evaluation values in the neighborhood of zero.

This corresponds to the ANC problem at hand whereby objective function values are typically in the neighborhood of zero. The developed Equation 3.25 result is identically equal to the exponential case result depicted in Equation 3.24 for evaluation value of zero.



Figure 3.10: Exponential/ linear functions comparison.

Table 3.1 gives numerical comparison between the exponential and linear cases results within a variable input range -0.1 to +0.1.

 $x = -\delta_f / T$ -0.1 -0.08 -0.06 -0.04 -0.02 0 0.02 0.04 0.06 0.08 0.1 0.904837 0.923116 0.941765 0.960789 0.980199 1.020201 1.040811 1.061837 1.105171 1 1.083287 exp 0.940.96 1.02 linear 0.9 0.920.981 1.04 1.06 1.1 1.08

Table 3.1: Exponential/linear functions comparison

Equation 3.25 approximates the exponential calculation utilized in the standard SA algorithm. This approach emanates from the binomial series expansion of an exponential function as given in Equation 3.26.

$$e^{x} = 1 + \frac{x}{1!} + \frac{x^{2}}{2!} + \frac{x^{3}}{3!} + \dots$$
(3.26)

Taking $x = -(\delta_f/T)$, Equation 3.26 can be framed as Equation 3.27.

$$e^{-(\delta_f/T)} = 1 + \frac{(-\delta_f/T)}{1!} + \frac{(-\delta_f/T)^2}{2!} + \frac{(-\delta_f/T)^3}{3!} + \dots$$
(3.27)

In typical situations, δ_f/T is usually very small (typically in the neighborhood of zero as per Figure 3.10), and Equation 3.27 can be approximated to Equation 3.28 giving rise to Equation 3.25.

$$e^{-(\delta_f/T)} = 1 + \frac{-(\delta_f/T)}{1!} = 1 - \delta_f/T$$
 (3.28)

A typical comparison between utilizing the exponential function and the proposed function is illustrated in Figure 3.11.

The scheme illustrated in Figure 3.11 is typical of a minimization problem, with annealing being performed at constant temperature. The execution speed involved in obtaining acceptance probability is dramatically increased upon using the proposed scheme (roughly three-fold). The code developed to cover this scenario is depicted in Appendix 2.

3.2.2 Cooling scheme

In the standard SA algorithm, at high temperatures many worse solutions are accepted. At high temperatures, the probability of accepting worse solutions is high. As the temperature decreases, the probability of accepting worse solutions decreases. If one starts at too high a temperature a random search is emulated and until the temperature cools sufficiently any solution can be reached and could have been used as a starting position. At lower temperatures, very few worse moves are accepted. Generally, SA algorithm does most of its work during the middle stages of the cooling schedule. This seemingly suggests that annealing at a roughly constant temperature in the middle stage is a workable idea. An



Figure 3.11: Developed acceptance probability scheme (Data collected from Matlab simulations).

efficient temperature decision scheme is hereby developed for the ANC problem with an aim of giving faster convergence speed.

An exponentially decreasing temperature is defined as per Figure 3.12. The temperature reduction rate is kept low in the mid stages of algorithm run. This is a departure from the standard SA algorithm in which temperature reduction is linear. Figure 3.12 is a typical representation of a situation with 6,000 (this figure can be adjusted to accommodate different problems) maximum iterations. The middle iteration range is 2,000 to 4,000 iterations. The code developed to cover this scenario is depicted in Appendix 2. The constant α as shown in Equation 3.29 is varied in accordance with iteration number as per Equation 3.30. At high and low iteration numbers α is kept low. In the mid iteration range α is kept high.

$$NT = \alpha \times PT \tag{3.29}$$

where NT denotes New Temperature and PT denotes Previous Temperature.



Figure 3.12: Developed cooling schedule (graph derived from Equation 3.30).

$$NT = \begin{cases} 0.9996 \times PT, & \text{for } 1 < IterNo \leq (MaxIter)/3\\ 0.99991 \times PT & \text{for } (MaxIter)/3 < IterNo \leq (MaxIter) * 2/3\\ 0.999 \times PT & \text{for } (MaxIter) * 2/3 < IterNo \leq (MaxIter) \end{cases}$$

$$(3.30)$$

The values 0.9996, 0.99991 and 0.999 have been manually selected to befit the 6,000 maximum iterations problem. The figures can be adjusted to accommodate different problems (in terms of maximum iterations).

Cooling schemes in literature

Development of improved cooling schedules is a significant area of research in SA algorithm improvement/ modification (Mahdi et al., 2017). Convenient cooling schedules tend to be problem specific. Selected cooling schedules in literature are herein described.

Logarithmic Cooling Schedule

A logarithmic cooling schedule is defined in (Mahdi et al., 2017) as per Equation 3.31.

$$NT = \frac{c}{\log(1 + IterNo)} \tag{3.31}$$

where NT is the new working temperature and c is a positive constant independent of *IterNo* but dependent on the optimization problem under consideration. The logarithmic cooling schedule is found to converge at a very slow rate and also requires a somewhat large computation time.

Geometrical cooling schedule

A geometrical cooling schedule is defined in (Mahdi et al., 2017) as per Equation 3.32.

$$NT = T_{init} \alpha^{IterNo} \tag{3.32}$$

where T_{init} is the initial temperature and α is a constant between 0 and 1. A value of α close to 1 implies a slow decrease of temperature.

Linear cooling schedule

A linear cooling schedule is defined in (Mahdi et al., 2017) as per Equation 3.33.

$$NT = T_{init} - n(IterNo) \tag{3.33}$$

where n is a decay parameter.

Graphical comparison

A graphical comparison of the cooling schedules described above are as per Figure 3.13 (Mahdi et al., 2017).



Figure 3.13: Selected cooling schedules in literature.

The cooling schedule constants for the schemes illustrated in Figure 3.13 are given in Table 3.2.

Cooling schedule	Parameters
Proposed	Adaptive, as per Equation 3.30
Logarithmic	c=0.3
Geometric	$\alpha = 0.99947$
Linear	n = 0.00016

Table 3.2: Selected cooling schedules in literature: constant values used.

The cooling schedule schemes' computation time is given in Table 3.3. The values are generated through *Matlab's* computation timer in a uniform computation environment. Lower computation time is indicative of lower computation complexity. The proposed cooling schedule performs than the other schedules.

Cooling schedule	Function evaluation time (seconds)
Proposed	0.0012
Logarithmic	0.0053
Geometric	0.0034
Linear	0.0027

Table 3.3: Selected cooling schedules in literature: computation time.

3.3 Application and analysis of the modified SA algorithm in adaptive noise cancellation

This section presents the procedural steps taken towards the application and analysis of the modified SA algorithm in adaptive noise cancellation. Performance validation is on the basis of a comparative analysis against the standard SA algorithm. Signal formats utilized in the next Chapter (*Results and Discussion*) have been brought to the fore.

3.3.1 Noise cancellation framework

In general, the cost function as per Equation 3.34 is utilized in the adaptive noise cancellation process.

$$J(\mathbf{w}) = E[(d(n) - \mathbf{w}^H \mathbf{x}(n))(d^*(n) - \mathbf{x}^H(n)\mathbf{w})]$$
(3.34)

Minimizing the cost function as per Equation 3.34 through filter weights optimization yields an optimal solution (ideally a noise free signal). The modified SA algorithm is utilized in obtaining the optimal weights. The performance of the modified SA algorithm is to be weighed against that of the standard SA algorithm.

The noise cancellation process is captured in Figure 3.14 where the noise contaminated signal is de-noised using an ANC filter in which the weight adaptation algorithms are applied in the weight generation and adaptation process.



Figure 3.14: Adaptive noise cancellation process.

For purposes of validating the performance of the modified SA algorithm in adaptive noise cancellation against the standard SA algorithm, a noise corrupted speech signal has been utilized.

3.3.2 Speech signal framework

The utilized speech signal is framed in stereo format (bearing left and right channels). The speech signal is MPEG-4 Audio (M4A) encoded. M4A corresponds to the file extension for an audio recording encoded using Advanced Audio Coding (AAC) (a lossy compression technique).

3.4 Performance comparison: SA algorithm against standard LMS and NLMS algorithms in adaptive noise cancellation.

To further establish the effectiveness of SA algorithm in adaptive noise cancellation, performance comparison against classical adaptive noise cancellers (LMS/ NLMS algorithm based) is done. The signal formats described in Section 3.4.1 have been utilized (Sinusoidal, irregular and fetal electrocardiogram signals).

3.4.1 Utilized signal formats

Electrocardiogram signals framework

Continuous monitoring of fetal heart condition during pregnancy is of great medical significance. One area of application of adaptive noise cancellation is in extraction of fetal heartbeat signals from signal measurements taken at the mother's abdomen. Electro Cardio Gram (ECG) is used to record changes in heartbeat thus can diagnose any heart malfunction. The obtained fetal ECG (FECG) signal is usually severely contaminated by maternal cardiogram (MECG), and other external interferences. The resultant low signal to noise ratio of fetal ECG makes it difficult to analyze it effectively necessitating noise cancellation mechanisms.

Research involving application of adaptive noise cancellation in medical instrumentation can be found in (L. Li et al., 2016) in which lung sound noise cancellation and classification is performed satisfactorily. Other corresponding applications can be found in (Abdelghani et al., 2015), (Dewangan & M., 2014) and (Tanaka et al., 2016).

Typical waveforms corresponding to electrocardiogram signals for both the mother and the corresponding fetus are hereby defined.

Mother's heartbeat

The mother's heart rate is simulated to be approximately 89 beats per minute with the peak voltage of the signal being 3.25 millivolts. This is informed by the work laid out in (Ghodsi et al., 2010). A typical mother's heartbeat signal (noise free) is as given in Figure 3.15.



Figure 3.15: Maternal Electrocardiogram Signal (noise free).

Fetus heartbeat

Fetal heart rate is usually noticeably higher than that of the mother, with heart rate figures ranging from around 120 to 160 beats per minute. Fetal heartbeat amplitude is weaker than that of the maternal heartbeat. The fetus heartbeat signal is simulated to correspond to a heart rate of 139 beats per minute with a peak voltage of 0.25 millivolts. This is informed by the work laid out in (Ghodsi et al., 2010). A typical fetus heartbeat signal (noise free) is as given in Figure3.16.



Figure 3.16: Fetal Electrocardiogram Signal (noise free).

Measured fetal signal

The measured fetal heartbeat signal from the abdomen of a mother is usually distorted by the maternal heartbeat signal. This effect has to be taken into consideration in the fetal heartbeat signal extraction process. In simulations in a later chapter, in addition, AWGN is added to simulate any other noise sources within the measurement.

A typical measured fetal heartbeat signal is as given in Figure 3.17.



Figure 3.17: Measured Fetal Electrocardiogram Signal

Measured Mother's heartbeat

The maternal electrocardiogram signal is typically obtained from the chest of a mother. The role of an ANC in this task is to adaptively remove the maternal heartbeat signal from the fetal electrocardiogram signal. The ANC requires a reference signal generated from a maternal electrocardiogram to perform this task. AWGN is added to the maternal electrocardiogram signal. A typical measured maternal signal is as given in Figure 3.18.



Figure 3.18: Reference Electrocardiogram Signal

The choice of a reference signal is based on the nature of the noise source and the desired signal to be recovered from the noise corrupted signal. Typically, a reference signal should be highly correlated with the noise and low correlation with the desired signal.

Other simulated signals

Sinusoidal waveforms and other random irregular waveforms depicted in the Figures 3.19 and 3.20 were taken into consideration. A sinusoidal waveform can be described by Equation 3.35. It is worthwhile performing simulations from the point of view of sinusoidal waveforms given that any signal can be expressed as a composition of sinusoids (*fourier decomposition*).

$$s(n) = A\sin 2\pi f n \tag{3.35}$$

In Equation 3.35, A is the amplitude and f the frequency.



Figure 3.20: Irregular waveform

The irregular waveform was generated using the MATLAB in-built function *rand*. Many signals naturally take up an irregular form. Examples include clinical sensor signals, automation sensor signals among others.

These signals were considered as desired signal inputs to the adaptive filter so as to evaluate the performance of the algorithms under different signal conditions.

3.4.2 Performance metrics

Performance comparison metrics utilized include: correlation measures, graphical analysis and Euclidean distance measures.

3.5 Summary

In this chapter, the development of an Adaptive noise cancellation model is presented. Signal formats utilized in the subsequent chapter have been presented. Signals utilized include: sinusoidal signals, fetal electrocardiogram signals and a set of randomly generated signals. Performance measures applied, most notably correlation and Euclidean distance measures have been presented.

An improved SA algorithm has been developed on the basis of making modifications to the control parameters of the standard SA. In particular, the acceptance probability and the cooling schedule procedures have been adjusted.

The effectiveness of the improvements in ANC is the focus of the next Chapter.

CHAPTER FOUR RESULTS AND DISCUSSION

Comparisons are hereby done between the performance of SA, its improved version, LMS and NLMS algorithms under a variety of signal and noise conditions in ANC. The LMS and NLMS algorithm schemes utilized follow those utilized in (Hameed, 2012). Performance measures taken into consideration are convergence speed and final solution accuracy (error amount) measured as a correlation value. All algorithms are run for equal adaptation durations. Consequently, a better convergence rate can be deduced from higher waveform correlation values between desired waveform and adaptation result waveform.

4.1 Comparison of the improved SA algorithm against the standard SA algorithm in adaptive noise cancellation

In this Section, the effectiveness of the SA algorithm improvements proposed in Section 3.2 are analyzed in a speech signal noise cancellation application. The utilized speech signal is as described in Section 3.3.2.

Figures 4.21 and 4.23 are representative of the results obtained upon application of the improved and standard SA algorithms in adaptive noise cancellation. The figures portray the outcomes associated with two audio channels (stereo audio scenario).



Figure 4.21: Comparison of the results obtained upon adaptive noise cancellation in a speech signal problem using improved and standard SA algorithms: *Audio Channel 1*.

From Figure 4.21 (channel 1 results), it is observable that the improved SA algorithm yields a better outcome as compared to the standard SA algorithm. The shape of the waveform associated with the improved SA algorithm almost matches the desired signal waveform.



Figure 4.22: Comparison of the results obtained upon adaptive noise cancellation in a speech signal problem using improved and standard SA algorithms: *Audio Channel 2.*

From Figure 4.23 (channel 2 results), it is observable that the improved SA algorithm yields a better outcome as compared to the standard SA algorithm. The shape of the waveform associated with the improved SA algorithm almost matches the desired signal waveform.

Comparative correlation values are given in Table 4.4. The improved and standard SA algorithm correlation results values have been obtained upon averaging the outcome of 50 independent adaptive noise cancellation runs.

	Channel 1		Channel 2	
Correlation between the desired signal and:	Mean	SD	Mean	SD
Corrupted signal	0.0796	N/A	0.0805	N/A
ISA algorithm noise cancellation result	0.7418	0.013	0.7523	0.012
SA algorithm noise cancellation result	0.5609	0.014	0.5349	0.017

Table 4.4: Comparative correlation values.



Figure 4.23: A graph portraying the correlation values presented in Table 4.4.

The results presented herein portray the efficacy of the SA algorithm improvements presented in Section 3.2.

4.2 Comparison of the improved simulated annealing algorithm in adaptive noise cancellation with standard SA, NLMS and LMS algorithms

In this section the use of improved and standard SA algorithms in ANC is analyzed against the commonly used NLMS and LMS algorithms. Adaptive weight generation is studied comprehensively under a variety of sinusoidal signal and noise conditions. Varying signal frequencies have been utilized to give a comprehensive algorithm performance comparison. High frequency sinusoidal signals featuring AWGN have been used as the noise signals. The improved SA algorithm is found to outweigh the performance of the other algorithms. The entire analysis is done through simulations in MATLAB software.

4.2.1 Sinusoidal waveform

Herein, an analysis of adaptive noise cancellation in scenarios featuring sinusoidal signals of varying frequency is carried out. Analysis from the perspective of varying signal frequency allows for a check into ANC weight adaptation efficiency given a slow (low frequency) or a fast (high frequency) changing signal.

Signal set 1

The sinusoidal signal depicted in Figure 4.24 as per Equation 4.36 is utilized as the desired signal in the first set of simulations.



Figure 4.24: Desired signal: Sinusoidal waveform. $s(n) = 5 * \sin(12 * n)$ where n = 1 : 0.025 : 4.5

$$s(n) = 5 * \sin(12 * n)$$
; $n = 1 : 0.025 : 4.5$ (4.36)

A noise signal as per Figure 4.25 is added to the desired sinusoidal signal. The noise signal has been framed as a sinusoidal waveform (of higher frequency than the desired signal and at roughly 50 Hz in frequency mimicking the usual grid electricity frequency) featuring additive gaussian noise as per Equation 4.37.



Figure 4.25: Noise signal: Sinusoidal waveform with additive gaussian noise. $n_o(n) = \sin(300 * n) + awgn$ where n = 1 : 0.025 : 4.5

$$n_o(n) = \sin(300 * n) + \text{awgn} ; n = 1 : 0.025 : 4.5$$
 (4.37)

The correlation between the desired signal and the used noise signal is 0.0356. The noise corrupted signal is depicted in Figure 4.26 as per Equation 4.38.

$$d(n) = s(n) + n_o(n) \quad ; \quad n = 1 : 0.025 : 4.5$$

$$d(n) = 5 * \sin(12 * n) + \sin(300 * n) + \text{awgn} \quad ; \quad n = 1 : 0.025 : 4.5$$
(4.38)

The correlation between the desired signal and the noise corrupted signal is 0.9786.



Figure 4.26: Corrupted signal: $d(n) = 5 * \sin(12 * n) + \sin(300 * n) + \text{awgn}$.

The reference signal utilized in the adaptation process is depicted in Figure 4.27 as per Equation 4.39. The reference signal is intentionally designed as highly correlated to the noise signal.

$$x(n) = \sin(300 * n) + \operatorname{awgn}_{\operatorname{Reference}}; n = 1:0.025:4.5$$
 (4.39)

The correlation between the used reference signal and the noise signal is 0.8887. A comparison between the reference signal and the noise signal is depicted in Figure 4.28.



Figure 4.27: Reference signal (highly correlated to the noise signal): $x(n) = \sin(300 * n) + \operatorname{awgn}_{\text{Reference}}$.



Figure 4.28: A comparison between the reference and noise signals.

The adaptive filter length utilized is 16 (the length is based on a give and take fact: too small a filter length might hamper convergence whereas too large a

filter length might unnecessarily increase computation complexity). The filter is invoked to minimize the cost function E[e(n)e*(n)] where e(n) is as per Equation 4.40.

$$e(n) = [s(n) + n_o(n)] - \mathbf{w}^H[x(n)] ; \quad n = 1 : 0.025 : 4.5$$

$$e(n) = [5 * \sin(12 * n) + \sin(300 * n) + \text{ awgn}] - \mathbf{w}^H[\sin(300 * n) + \text{ awgn}_{\text{Reference}}]$$
(4.40)

The results obtained using the improved SA, standard SA, LMS and NLMS algorithms are depicted in Figures 4.29 and 4.30.



Figure 4.29: Comparison (against the desired signal) of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.



Figure 4.30: Comparison of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.

The correlation between the desired signal and the noise canceled signal using the:

- improved SA algorithm is 0.9971.
- SA algorithm is 0.9965.
- NLMS algorithm is 0.9944.
- LMS algorithm is 0.9890.

A visual analysis of the results depicted by Figures 4.29 and 4.30 indicates that the improved SA algorithm outperforms the other algorithms. The shape of the noise-filtered signal in the case of the improved SA algorithm almost matches the desired signal shape presented in Figure 4.24.

Statistical analysis

Given in Table 4.5 and Figure 4.31 are results obtained from averaging 50 independent adaptive noise cancellation correlation outcomes. The 50 runs feature independent AWGN. The sinusoidal signal depicted in Figure 4.24 as per Equation 4.36 is utilized as the desired signal in all 50 runs. The results statistically validate the efficacy of the improved SA algorithm in adaptive noise cancellation.

Correlation between the desired signal and:	Mean	SD
Corrupted signal	0.8889	0.0001
ISA algorithm noise cancellation result	0.9984	0.0001
SA algorithm noise cancellation result	0.9967	0.0013
NLMS algorithm noise cancellation result	0.9943	0.0019
LMS algorithm noise cancellation result	0.9887	0.0023

Table 4.5: Comparative correlation values.



Figure 4.31: A graph portraying the correlation values presented in Table 4.5.

Reference signal selection

It is usually desired that the selected reference signal be highly correlated to the noise in question in an adaptive signal processing scheme. This requirement is confirmed in the set of results presented herein. In a particular simulation, the correlation between the used reference signal and the noise corrupted signal is 0.5981. A comparison between the reference signal and the noise signal is depicted in Figure 4.32.



Figure 4.32: A comparison between the reference and noise signals.

The adaptive noise cancelation result obtained using the SA algorithm is depicted in Figure 4.33.



Figure 4.33: SA algorithm result

The correlation between the desired signal and the noise canceled signal using the SA algorithm is 0.9496; whereas the correlation between the desired signal and the noise corrupted signal is 0.9451. This depicts a situation in which the adaptive noise canceler fails due to poor selection of a reference signal. The adaptive noise canceler fails in adapting the tap weights to the ideal values due to usage of an improper reference signal.

Signal set 2

The signal depicted in Figure 4.34 is utilized as the desired signal as per Equation 4.41 in the second set of simulations.



Figure 4.34: Desired signal: Sinusoidal waveform. $s(n) = 5 * \sin(6 * n)$ where n = 1 : 0.025 : 4.5

$$s(n) = 5 * \sin(6 * n)$$
; $n = 1 : 0.025 : 4.5$ (4.41)

A noise signal as per Figure 4.35 is added to the desired sinusoidal signal.



Figure 4.35: Noise signal: Sinusoidal waveform with additive gaussian noise. $n_o(n) = 2 * \sin(300 * n) + \text{awgn}$ where n = 1 : 0.025 : 4.5

The noise signal has been framed as a sinusoidal waveform (of higher frequency than the desired signal) featuring additive gaussian noise as per Equation 4.42.

$$n_o(n) = 2 * \sin(300 * n) + \text{awgn} ; n = 1 : 0.025 : 4.5$$
 (4.42)

The correlation between the desired signal and the used noise signal is 0.0473. The noise corrupted signal is depicted in Figure 4.36 as per Equation 4.43.

$$d(n) = s(n) + n_o(n) \quad ; \quad n = 1 : 0.025 : 4.5$$

$$d(n) = 5 * \sin(6 * n) + 2 * \sin(300 * n) + \text{ awgn } ; \quad n = 1 : 0.025 : 4.5$$
(4.43)

The correlation between the desired signal and the noise corrupted signal is 0.9278.



Figure 4.36: Corrupted signal: $d(n) = 5 * \sin(6 * n) + 2 * \sin(300 * n) + \text{awgn}$.

The reference signal utilized in the adaptation process is depicted in Figure 4.37 as per Equation 4.44. The reference signal is highly correlated to the noise signal.

$$x(n) = 2 * \sin(300 * n) + \operatorname{awgn}_{\operatorname{Reference}}; n = 1 : 0.025 : 4.5$$
 (4.44)

The correlation between the used reference signal and the noise signal is 0.8696. A comparison between the reference signal and the noise signal is depicted in Figure 4.38.



Figure 4.37: Reference signal (highly correlated to the noise signal): $x(n) = \sin(300 * n) + \operatorname{awgn}_{\text{Reference}}$.



Figure 4.38: A comparison between the reference and noise signals.

The adaptive filter is invoked to minimize the cost function E[e(n)e * (n)] where e(n) is as per Equation 4.45.

$$e(n) = [s(n) + n_o(n)] - \mathbf{w}^H[x(n)] ; \quad n = 1 : 0.025 : 4.5$$

$$e(n) = [5 * \sin(6 * n) + 2 * \sin(300 * n) + \text{ awgn}] - \mathbf{w}^H[2 * \sin(300 * n) + \text{ awgn}_{\text{Reference}}]$$
(4.45)

The results obtained using the improved SA, standard SA, LMS and NLMS algorithms are depicted in Figures 4.39 and 4.40.



Figure 4.39: Comparison (against the desired signal) of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.


Figure 4.40: Comparison of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.

The correlation between the desired signal and the noise canceled signal using the:

- improved SA algorithm is 0.9939.
- SA algorithm is 0.9897.
- NLMS algorithm is 0.9829.
- LMS algorithm is 0.9781.

As per the results depicted by Figures 4.39 and 4.40, the improved SA algorithm outperforms the other algorithms. The shape of the noise-filtered signal in the case of the improved SA algorithm almost matches the desired signal shape presented in Figure 4.34.

Signal set 3

The signal depicted in Figure 4.41 is utilized as the desired signal as per Equation 4.46 in the third set of simulations.



Figure 4.41: Desired signal: Sinusoidal waveform. $s(n) = 5 * \sin(2 * n)$ where n = 1:0.025:4.5

$$s(n) = 5 * \sin(2 * n)$$
; $n = 1 : 0.025 : 4.5$ (4.46)

A noise signal as per Figure 4.42 is added to the desired sinusoidal signal.



Figure 4.42: Noise signal: Sinusoidal waveform with additive gaussian noise. $n_o(n) = 2 * \sin(300 * n) + \text{awgn}$ where n = 1 : 0.025 : 4.5

The noise signal has been framed as a sinusoidal waveform (of higher frequency than the desired signal) featuring additive gaussian noise as per Equation 4.47.

$$n_o(n) = 2 * \sin(300 * n) + \text{awgn} ; n = 1 : 0.025 : 4.5$$
 (4.47)

The correlation between the desired signal and the used noise signal is 0.0089. The noise corrupted signal is depicted in Figure 4.43 as per Equation 4.48.

$$d(n) = s(n) + n_o(n) \quad ; \quad n = 1 : 0.025 : 4.5 \quad ; \quad n = 1 : 0.025 : 4.5$$

$$d(n) = 5 * \sin(2 * n) + 2 * \sin(300 * n) + \text{ awgn} \quad ; \quad n = 1 : 0.025 : 4.5$$
(4.48)

The correlation between the desired signal and the noise corrupted signal is 0.9104.



Figure 4.43: Corrupted signal: $d(n) = 5 * \sin(2 * n) + 2 * \sin(300 * n) + \text{awgn}$.

The reference signal utilized in the adaptation process is depicted in Figure 4.44 as per Equation 4.49.

$$x(n) = 2 * \sin(300 * n) + \operatorname{awgn}_{\text{Reference}}; n = 1 : 0.025 : 4.5$$
 (4.49)

The correlation between the used reference signal and the noise signal is 0.8899. A comparison between the reference signal and the noise signal is depicted in Figure 4.45.



Figure 4.44: Reference signal (highly correlated to the noise signal): $x(n) = 2 * \sin(300 * n) + \operatorname{awgn}_{\text{Reference}}$.



Figure 4.45: A comparison between the reference and noise signals.

The filter is invoked to minimize the cost function E[e(n)e*(n)] where e(n) is as per Equation 4.50.

$$e(n) = [s(n) + n_o(n)] - \mathbf{w}^H[x(n)] ; \quad n = 1 : 0.025 : 4.5$$

$$e(n) = [5 * \sin(2 * n) + 2 * \sin(300 * n) + \text{ awgn}] - \mathbf{w}^H[2 * \sin(300 * n) + \text{ awgn}_{\text{Reference}}]$$
(4.50)

The results obtained using the improved SA, standard SA, LMS and NLMS algorithms are depicted in Figures 4.46 and 4.47.



Figure 4.46: Comparison (against the desired signal) of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.



Figure 4.47: Comparison of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.

The correlation between the desired signal and the noise canceled signal using the:

- improved SA algorithm is 0.9883.
- SA algorithm is 0.9851.
- NLMS algorithm is 0.9833.
- LMS algorithm is 0.9786.

As per the results depicted by Figures 4.46 and 4.47, the improved SA algorithm outperforms the other algorithms. The shape of the noise-filtered signal in the case of the improved SA algorithm almost matches the desired signal shape presented in Figure 4.41.

Discussion

The improved SA algorithm is found to generate better results than the other algorithms in all simulated scenarios. The algorithms were run for equal durations (4.5 seconds). Lower noise levels over a uniform noise cancellation period are achieved using the improved SA algorithm as compared to the other algorithms as per Figures 4.29, 4.30, 4.39, 4.40, 4.46 and 4.47. This indicates better convergence speed when using the improved SA algorithm as compared to the other algorithms.

Generated signal graphs and correlation values relay the following information:

- The improved SA algorithm yields a less noise corrupted signal.
- Irrespective of the waveform frequency involved, the improved SA algorithm produces better results.

The improved SA algorithm yields a noise-cancelled signal output more correlated with the desired signal as compared to the other algorithms.

Deductions

Adaptive noise cancellers play a crucial role in modern communication networks. The role of efficient adaptation algorithms for use in adaptive noise cancellers cannot be underestimated. Generally the improved and standard SA algorithms are found to be more efficient than the NLMS and LMS algorithms in MMSE based adaptive noise cancellation as far as sinusoidal signals are concerned.

4.2.2 Irregular waveform

In this section the use of improved and standard SA algorithms in ANC is analyzed against the commonly used NLMS and LMS algorithms in an irregularly shaped signal case. An irregularly shaped signal accommodates a wide variety of signals that feature in many practical occurrences. A randomly generated noise signal has been used. The improved SA algorithm is found to outweigh the performance of the other algorithms. The entire analysis is done through simulations in MATLAB software.

The signal depicted in Figure 4.48 is utilized as the desired signal as per Equation 4.51 in the second set of simulations.

$$s(n) = rand(n; [-2.5:2.5]) ; n = 1:0.2:4.5$$
 (4.51)



Figure 4.48: Desired signal: Irregular waveform. s(n) = rand(n; [-2.5 : 2.5])where n = 1 : 0.2 : 4.5

Equation 4.51 is representative of a random generation of numbers within the range -2.5 to 2.5.

The noise signal is depicted in Figure 4.49 as per Equation 4.52.

$$n_0(n) = rand(n; [-0.5:0.5]) + awgn_{noise} ; n = 1:0.02:4.5$$
(4.52)

The noise corrupted signal is depicted in Figure 4.50 as per Equation 4.53.

$$d(n) = s(n) + n_0(n) \quad ; \quad n = 1 : 0.2 : 4.5$$

$$d(n) = rand(n; [-2.5 : 2.5]) + rand(n; [-0.5 : 0.5]) + awgn_{noise} \quad ; \quad n = 1 : 0.2 : 4.5$$

(4.53)



Figure 4.49: Noise signal: Random waveform with additive gaussian noise. $n_0(n) = rand(n; [-0.5:0.5]) + awgn_{noise}$ where n = 1: 0.02: 4.5



Figure 4.50: Corrupted signal: $d(n) = rand(n; [-2.5 : 2.5]) + rand(n; [-0.5 : 0.5]) + awgn_{noise}$.

The reference utilized signal is depicted in Figure 4.51 as per Equation 4.54.



Figure 4.51: Reference signal (highly correlated to the noise signal): $x(n) = n_0(n) + awgn_{ref}$.

$$x(n) = n_0(n) + awgn_{ref} \quad ; \quad n = 1:0.2:4.5 \tag{4.54}$$

A comparison of the noise and the reference signals is depicted in Figure 4.52. The results obtained using the improved SA, standard SA, LMS and NLMS algorithms are depicted in Figures 4.53 and 4.54.



Figure 4.52: A comparison of the reference and noise signals.



Figure 4.53: Comparison (against the desired signal) of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.



Figure 4.54: Comparison of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.

As per the results depicted by Figures 4.53 and 4.54 the improved SA algorithm outperforms the standard SA algorithm, NLMS and LMS algorithms. The shape of the noise-filtered signal in the case of the improved SA algorithm matches the desired signal shape presented in Figure 4.48 to the greatest extent.

Figure 4.55 shows a performance comparison between the utilized algorithm. The figure depicts faster convergence with the improved SA algorithm as compared to use of the standard SA, NLMS and LMS algorithms.



Figure 4.55: Algorithm performance comparison.

Table 4.6 shows signal correlation comparison between the utilized algorithm results. Going by the presented results, the performance of the improved SA algorithm is better than that of the standard algorithm and the NLMS/ LMS algorithms.

Figure 4.56 shows a point by point Euclidean distance comparison between the utilized algorithm results. Herein, Euclidean distance comparison is of essence in order to bring out in a clear way the minute waveform differences as depicted in Figures 4.53 and 4.54. Going by Figure 4.56 and Table 4.7, the performance of the improved SA algorithm is better than that of the standard algorithm.

Table 4.6: Signal correlation comparison	
Correlation between the desired signal and noise	0.147
Correlation between the desired signal and corrupted signal	0.9777
Correlation between the reference signal and noise	0.8756
Correlation between the desired signal and ISA algorithm result	0.9887
Correlation between the desired signal and SAalgorithm result	0.9851
Correlation between the desired signal and NLMS algorithm result	0.9817
Correlation between the desired signal and LMS algorithm result	0.9812



Figure 4.56: Point by point Euclidean distances comparison

Average Euclidean distance (Improved SA)	0.0401
Average Euclidean distance (SA)	0.0793
Average Euclidean distance (NLMS)	0.1187
Average Euclidean distance (LMS)	0.1546

 Table 4.7: Iregular waveform Euclidean distance comparison

Discussion

The corrupted waveform and the desired waveform correlation value is 0.9777. The improved SA result and the desired waveform correlation value is 0.9887. The standard SA result and the desired waveform correlation value is 0.9851. The NLMS result and the desired waveform correlation value is 0.9817. The LMS result and the desired waveform correlation value is 0.9812.

The improved SA algorithm is found to generate better results than the standard SA algorithm, NLMS algorithm and LMS algorithm in the simulated scenarios. Generated signal graphs and the corresponding correlation values show that the improved SA algorithm yields a less noise corrupted signal.

4.2.3 Electrocardiogram waveform

The signal depicted in Figure 4.57 (fetal electrocardiogram signal) is utilized as the desired signal in the third set of simulations.

The noise signal is depicted in Figure 4.58. The noise signal is composed of maternal heartbeat and sinusoidal noise.

The noise corrupted signal is depicted in Figure 4.59. The noise signal is composed of maternal heartbeat and sinusoidal noise.



Figure 4.57: Desired signal: Typical fetal electrocardiogram signal.



Figure 4.58: Noise signal: Maternal heartbeat and sinusoidal noise.



Figure 4.59: Noise corrupted fetal electrocardiogram signal.

The reference signal utilized in the adaptation process is depicted in Figure 4.60.



Figure 4.60: Reference signal (highly correlated to the noise signal).

A comparison of the noise and the reference signals is depicted in Figure 4.61.



Figure 4.61: A comparison of the reference and noise signals.

The results obtained using the improved SA, standard SA, LMS and NLMS algorithms are depicted in Figures 4.62 and 4.63.



Figure 4.62: Comparison *(against the desired signal)* of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.



Figure 4.63: Comparison of the results obtained upon adaptive noise cancellation using the improved SA, standard SA, LMS and NLMS algorithms.

As per the results depicted by Figures 4.62 and 4.63 the improved SA algorithm outperforms the standard SA algorithm, NLMS and LMS algorithms. The shape of the noise-filtered signal in the case of the improved SA algorithm matches the desired signal shape presented in Figure 4.57 to the greatest extent.

Figure 4.64 shows a performance comparison between the utilized algorithm. The figure depicts faster convergence with the improved SA algorithm as compared to use of the standard SA, NLMS and LMS algorithms.



Figure 4.64: Algorithm performance comparison

Figure 4.65 shows a Euclidean distance comparison between the improved SA result, the standard SA result , the NLMS result and the LMS result. Figure 4.66 shows an average Euclidean distance comparison between the improved SA result (0.0250), the standard SA result (0.0909), the NLMS result (0.1160) and the LMS result (0.1076). Going by Figure 4.66, the performance of the improved SA algorithm is better than that of the standard algorithm.



Figure 4.65: Euclidean distances comparison



Figure 4.66: Average Euclidean distances comparison

Average Euclidean distance (Improved SA)	1.8380e-04
Average Euclidean distance (SA)	0.0043
Average Euclidean distance (NLMS)	0.0055
Average Euclidean distance (LMS)	0.0051

Table 4.8: Electrocardiogram waveform Euclidean distance comparison

Discussion

The corrupted waveform and the desired waveform correlation value is -0.0966. The improved SA result and the desired waveform correlation value is 0.9987. The standard SA result and the desired waveform correlation value is 0.9933. The NLMS result and the desired waveform correlation value is 0.9765. The LMS result and the desired waveform correlation value is 0.9895.

The improved SA algorithm is found to generate better results than the standard SA algorithm, NLMS algorithm and LMS algorithm in the simulated scenarios. Generated signal graphs and the corresponding correlation values show that the improved SA algorithm yields a less noise corrupted signal.

4.3 Summary

In this chapter, the improved Simulated Annealing algorithm's performance has been analyzed on the basis of a variety of signals notably sinusoidal, irregular and fetal electrocardiogram signals. Accurate measurement of fetal electrocardiogram signals signals is vital in assessing physiological changes occurring in a fetus. Such signals are usually contaminated with noise, in particular the maternal heartbeat signal.

Generally the improved SA algorithm is found to be more efficient than the standard SA algorithm, the LMS and the NLMS algorithm in Minimum Mean Square Error (MMSE) based ANC in extracting noise contaminated sinusoidal, irregular and fetal ECG signals. The improved SA algorithm generates better results compared to the other algorithms over identical adaptation durations. The comparison made is in terms of graphical and numeric data involving correlation values and Euclidean distance measures.

CHAPTER FIVE CONCLUSION AND RECOMMENDATIONS

5.1 Conclusion

Noise contamination is a common occurrence upon signal transmission through a noisy channel. Adaptive Noise Cancellation (ANC) is vital in extracting signals from some noise corrupted signal in a receiver. In this study, ANC in a variety of signal models typical of signals encountered in communication channels has been considered.

5.1.1 Highlights and deductions

In particular, the following listing gives the highlights/ deductions made in the work carried out.

- 1. An ANC model has been developed in Matlab. The modeled signals include: sinusoidal signals, fetal electrocardiogram signals and a set of randomly generated signals.
- 2. An improved SA algorithm has been developed on the basis of making modifications to the control parameters of the standard SA. In particular, the acceptance probability and the cooling schedule procedures have been adjusted. An analysis on the acceptance probability and the cooling schedule procedures relays the superiority of the measures taken towards improving the SA algorithm.
- 3. The conventional algorithms utilized in ANC namely Least Mean Squares (LMS) and Normalized Least Mean Squares (NLMS) algorithms have been employed and comparisons made against Simulated Annealing (SA) algorithm. Generally the improved SA algorithm is found to be more efficient than the standard SA algorithm, the LMS and the NLMS algorithm in Minimum Mean Square Error (MMSE) based ANC in extracting noise contaminated sinusoidal, irregular and fetal ECG signals. The improved SA algorithm generates better results compared to the other algorithms over identical adaptation durations. The comparison made is in terms of graphical and numeric data involving correlation values and Euclidean distance measures.

SA algorithm major advantage over other non-gradient based methods (such as Genetic Algorithm, Tabu Search algorithm, Differential Evolution) is an ability to

avoid becoming trapped in local minima. This is because the algorithm employs a random search technique, which not only accepts changes that decrease the objective function f (assuming a minimization problem), but also some changes that increase it with some degree of probability. This therefore guarantees that the SA algorithm performs better than other AI algorithms in optimization problems thus the choice in Adaptive Noise Cancellation (ANC), the subject of this research.

5.1.2 Contribution

A worthwhile contribution of this work has been an analysis on the use of the SA algorithm in ANC involving sinusoidal, irregular and fetal ECG signals. This is alongside making worthwhile improvements on the standard SA algorithm. The improvements are on the basis of making modifications to the control parameters of the standard SA algorithm. In particular, the acceptance probability and the cooling schedule procedures have been adjusted. Moreover, the improved SA algorithm offers a practical solution to noise cancellation problems owing to its inherent low computation complexity. This work lays forward SA algorithm advantages over other existing weight update algorithms in ANC.

5.2 Recommendations

The proposed improved SA algorithm is an attractive candidate for use in ANC. This has been confirmed through simulations involving speech, sinusoidal, irregular and electrocardiogram waveforms.

As such, it is recommended that the following scenarios be studied further to establish the full potential of the proposed SA algorithm:

- It is advisable to do a practical analysis concerning the application of SA in ANC. Due to limitations in laboratory facilities, physical implementations were not possible in this research.
- Further SA algorithm improvements can be considered particularly towards further reducing computational complexity.
- It has been established that the adopted cooling schedule bears a huge impact on the overall SA algorithm performance. Further improvements on the SA algorithm particularly in the cooling schedule structure can be considered.

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APPENDICES

Appendix 1: Published Work

The following papers arising from the research work have been published:

1. Kevin Mwongera, Kibet Lang'at, and E. N. Ndung'u: Design of adaptive noise canceler in speech signals using simulated annealing algorithm. (Proceedings of SIR conference, 2015) In this paper, use of the simulated annealing algorithm in adaptive noise cancelation in speech signals is studied. A comparison is made between use of the simulated annealing algorithm and the commonly used Least Mean Squares (LMS) algorithm. The simulated annealing algorithm is found to perform better than the LMS algorithm. The entire analysis is made through simulations in Matlab software.

2. Kevin Mwongera, Kibet Lang'at, and E. N. Ndung'u: Design of adaptive noise canceler in fetal electrocardiogram signal extraction using simulated annealing algorithm. (Proceedings of SIR conference, 2015) This paper analyzes use of adaptive noise canceling techniques in filtering noisy FECG using simulated annealing algorithm. A comparison is made between use of the simulated annealing algorithm and the commonly used Least Mean Squares (LMS) algorithm. Obtained correlation values are used as the performance measure. The simulated annealing algorithm is found to perform better than the LMS algorithm. The entire analysis is made through simulations in Matlab software.

3. K. Mwongera, K. Lang'at, and E. N. Ndung'u: Fetal Heartbeat Signal Extraction using an Adaptive Noise Canceller implemented with an improved Simulated Annealing Algorithm. (IOSR -JVSP Journal vol 7 issue 5, 2017) In this paper, an improved Simulated Annealing (SA) algorithm is utilized in ANC to yield a minimal-noise fetal electrocardiogram signal through simulations done in MAT-LAB. A performance analysis between use of the improved SA algorithm and the standard SA algorithm (alongside Genetic, Least Mean Squares (LMS) and Normalized Least Mean Squares (NLMS) algorithms) is done. On the basis of convergence rate and final solution accuracy the improved SA algorithm performance is found to outweigh the other algorithms considered in the study.

Appendix 2: Matlab Code

The following are developed code extracts.

Modified cooling schedule

```
clear;
clc;
itmax=6000;
itno=1:1:6000;
Temperature=zeros(1,6000);
TempInit=1;
 for i=1:itmax
   if itno(i) == 1
         Temperature(i)=TempInit;
   end
   if itno(i) > 1 && itno(i) <= itmax/3
         Temperature(i)=Temperature(i-1)*0.9996;
   end
   if itno(i) > itmax/3 && itno(i) <= 2*itmax/3
         Temperature(i)=Temperature(i-1)*0.99991;
   end
   if itno(i) > 2*itmax/3
         Temperature(i)=Temperature(i-1)*0.999;
   end
 end
 figure;plot(itno,Temperature,'k','LineWidth',2);
title('MODIFIED COOLING SCHEDULE');
 ylabel('Temperature');
xlabel('Iteration Number');
```
Modified acceptance probability scheme

```
clear;
clc;
itmax=1000;
itno=1:1:1000;
Temperature=0.6;
ChangeInF=(0.1:-1/10000:1/10000);
Prob=zeros(1,1000);
ProbNew=zeros(1,1000);
Oldstart=tic;
 for i=1:itmax
   Prob(i) = exp(-ChangeInF(i) / Temperature);
 end
 Oldtime=toc(Oldstart)
 Newstart=tic;
 for i=1:itmax
   ProbNew(i) = 1-(ChangeInF(i) / Temperature);
 end
 Newtime=toc(Newstart)
figure; plot(itno, Prob, 'k', 'LineWidth', 2); hold;
plot(itno, ProbNew, 'r', 'LineWidth', 2);
title('ACCEPTANCE PROBABILITY');
 ylabel('Solution Acceptance Probability');
 xlabel('Iteration Number');
 legend('Standard SA', 'Modified SA');
```

Simulated annealing algorithm code

```
def = struct(...
        'CoolSched',@(T) (.8*T),...
        'Generator', @(x)
(x+(randperm(length(x)) == length(x))*randn/100), ...
         'InitTemp',1,...
        'MaxConsRej',100,...
        'MaxSuccess',100,...
        'MaxTries',10000,...
        'StopTemp',1e-10,...
        'StopVal',-Inf,...
        'Verbosity',1);
if ~nargin
    minimum = def;
    return
elseif nargin<2,
   error('MATLAB: anneal: noParent', 'You need to input a first
guess.');
elseif nargin<3,
    options=def;
else
        if ~isstruct(options)
        error ('MATLAB: anneal: badOptions', ...
             'Input argument ''options'' is not a structure')
    end
    fs = {'CoolSched','Generator','InitTemp','MaxConsRej',...
'MaxSuccess', 'MaxTries', 'StopTemp', 'StopVal', 'Verbosity'};
    for nm=1:length(fs)
        if ~isfield(options,fs{nm}), options.(fs{nm}) =
def.(fs{nm}); end
    end
end
% main settings
newsol = options.Generator;
Tinit = options.InitTemp;
minT = options.StopTemp;
cool = options.CoolSched;
minF = options.StopVal;
max consec rejections = options.MaxConsRej;
max_try = options.MaxTries;
max_success = options.MaxSuccess;
report = options.Verbosity;
k = 1;
itry = 0;
success = 0;
finished = 0;
consec = 0;
```

```
if success >= max_success;
        if T < minT || consec >= max_consec_rejections;
            finished = 1;
            total = itry;
            break;
        else
            T = cool(T);
            if report==2,
                fprintf(1,' T = %7.5f, loss =
%10.5f\n',T,oldenergy);
            end
            success = 1;
        end
    end
    newparam = newsol(current);
    newenergy = loss(newparam);
    if (newenergy < minF),</pre>
        parent = newparam;
        oldenergy = newenergy;
        break
    end
    if (oldenergy-newenergy > 1e-6)
        parent = newparam;
        oldenergy = newenergy;
        success = success+1;
        consec = 0;
    else
        if (rand < exp( (oldenergy-newenergy)/(k*T) ));</pre>
            parent = newparam;
            oldenergy = newenergy;
            success = success+1;
        else
            consec = consec+1;
        end
    end
    if itry >= max_try;
            finished = 1;
    end
end
```

Correlation and Euclidean distance evaluation code

```
cancelled_correlation=corr2(abs(cancelled(1:140)), abs(desired(1:
140)))
corrupted_correlation=corr2(abs(corrupted(1:140)), abs(desired(1:
140)))
summ=0;
for idx=1:140
   diff=(cancelled(idx))-(desired(idx));
   diff2=diff^2;
   summ=summ+diff2;
end
   cancelled_euclidean=sqrt(summ)
summ2=0;
for idx=1:140
   dif=(corrupted(idx))-(desired(idx));
   dif2=dif^2;
   summ2=summ2+dif2;
end
corrupted_euclidean=sqrt(summ2)
```

Results comparison

```
FPCFromClipboardUntitled
%clear;clc;
  canceLMS = load ('LMS.mat');
  canceNLMS = load ('NLMS.mat');
  canceISA = load ('ISA.mat');
  canceSA = load ('SA.mat');
  canceGA = load ('GA.mat');
  des = load ('DESIRED.mat');
 t=0:0.0125:2;
figure(21);
plot(t,des.desired,'k--','LineWidth',2);hold on;
plot(t,canceLMS.cancelled,'r','LineWidth',2);grid on;
plot(t,canceNLMS.cancelled,'b','LineWidth',2);
plot(t,canceGA.cancelled,'k','LineWidth',2);
plot(t,canceSA.cancelled,'m','LineWidth',2);
plot(t,canceISA.cancelled,'g','LineWidth',2); hold off;
grid on;
%title('Noise Cancellation Comparison');
ylabel('Magnitude');
xlabel('Signal point');
legend('Desired','LMS','NLMS','GA','Standard SA','Improved SA');
saveas(gcf,'NoiseCancelComparison','png');
close;
  summLMS=0;
  summNLMS=0;
  summGA=0;
  summSA=0;
  summISA=0;
for idx=1:length(t)
   diffLMS(idx)=(canceLMS.cancelled(idx))-(des.desired(idx));
   diff2LMS(idx)=diffLMS(idx)^2;
   summLMS=summLMS+diff2LMS(idx);
   diffNLMS(idx)=(canceNLMS.cancelled(idx))-(des.desired(idx));
   diff2NLMS(idx)=diffNLMS(idx)^2;
   summNLMS=summNLMS+diff2NLMS(idx);
   diffGA(idx)=(canceGA.cancelled(idx))-(des.desired(idx));
   diff2GA(idx)=diffGA(idx)^2;
   summGA=summGA+diff2GA(idx);
```

```
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```

```
FPCFromClipboardUntitled
   diffSA(idx)=(canceSA.cancelled(idx))-(des.desired(idx));
   diff2SA(idx)=diffSA(idx)^2;
   summSA=summSA+diff2SA(idx);
   diffISA(idx)=(canceISA.cancelled(idx))-(des.desired(idx));
   diff2ISA(idx)=diffISA(idx)^2;
   summISA=summISA+diff2ISA(idx);
end
  euclideanLMS=sqrt(diff2LMS);
  SUMeuclideanLMS=sqrt(summLMS)
  euclideanNLMS=sqrt(diff2NLMS);
  SUMeuclideanNLMS=sqrt(summNLMS)
  euclideanGA=sqrt(diff2GA);
  SUMeuclideanGA=sqrt(summGA)
  euclideanSA=sqrt(diff2SA);
  SUMeuclideanSA=sqrt(summSA)
  euclideanISA=sqrt(diff2ISA);
  SUMeuclideanISA=sqrt(summISA)
figure;
plot(t,euclideanLMS,'r','LineWidth',2);hold on;
 plot(t,euclideanNLMS,'b','LineWidth',2);grid on;
plot(t,euclideanGA,'k-','LineWidth',2);
plot(t,euclideanSA,'m','LineWidth',2);
 plot(t,euclideanISA,'g','LineWidth',2); hold off;
 grid on;
 %title('Euclidean distance from desired signal');
 ylabel('Magnitude');
  xlabel('Signal point');
  legend('LMS','NLMS','GA','Standard SA','Improved SA');
  saveas(gcf,'EuclidCompare','png');
  close;
  for idx=1:length(t)
   OverallLMS(idx)=SUMeuclideanLMS;
   OverallNLMS(idx)=SUMeuclideanNLMS;
   OverallGA(idx)=SUMeuclideanGA;
   OverallSA(idx)=SUMeuclideanSA;
   OverallISA(idx)=SUMeuclideanISA;
end
```

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```
FPCFromClipboardUntitled
figure;
plot(t,OverallLMS,'r','LineWidth',2);hold on;
plot(t,OverallNLMS,'b','LineWidth',2);
plot(t,OverallGA,'k-','LineWidth',2);
plot(t,OverallSA,'m','LineWidth',2);
plot(t,OverallISA,'g','LineWidth',2); hold off;
grid on;
%title('Overall euclidean distance from desired signal');
ylabel('Magnitude');
xlabel('Signal point');
legend('LMS','NLMS','GA','Standard SA','Improved SA');
saveas(gcf,'OverallEuclidCompare','png');
```

```
FPCFromClipboardUntitled
figure;
load('LMSFitness.mat');
plot(GAXdata,GAYdata,'r','LineWidth',2);hold on;
load('NLMSFitness.mat');
plot(GAXdata,GAYdata,'b','LineWidth',2);
load('SAFitness.mat');
plot(GAXdata,GAYdata,'m','LineWidth',2);
load('ISAFitness.mat');
plot(GAXdata,GAYdata,'g','LineWidth',2);
title('');
xlabel('Iteration');
ylabel('Objective function value');
grid on;
legend('LMS','NLMS','Standard SA','Improved SA');
%saveas(gcf,'PFitnessComparisonThesis','png');
figure;
load('SAFitness.mat');
plot(GAXdata,GAYdata,'k','LineWidth',2);hold;
load('ISAFitness.mat');
plot(GAXdata,GAYdata,'k--','LineWidth',2);
title('');
xlabel('Iteration');
ylabel('Objective function value');
grid on;
legend('Standard SA','Improved SA');
%saveas(gcf,'PFitnessComparisonThesis2','png');
figure;
load('LMSFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'r','LineWidth',2);hold on;
load('NLMSFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'b','LineWidth',2);
load('SAFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'m','LineWidth',2);
load('ISAFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'g','LineWidth',2);
title('');
xlabel('Time (seconds)');
```

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```
FPCFromClipboardUntitled
ylabel('Objective function value');
grid on;
legend('LMS','NLMS','Standard SA','Improved SA');
```

```
saveas(gcf,'PFitnessComparisonThesisHE','png');
```

figure;

```
load('SAFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'k','LineWidth',2);hold on;
load('ISAFitness.mat');
GAXdataTime=(5*GAXdata)/max(GAXdata);
plot(GAXdataTime,GAYdata,'k--','LineWidth',2);
title('');
xlabel('Time (seconds)');
ylabel('Objective function value');
grid on;
legend('Standard SA','Improved SA');
saveas(gcf,'PFitnessComparisonThesis2HE','png');
```

```
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```